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THE CHEMISTRY OF DIHYDROPYRIDINES

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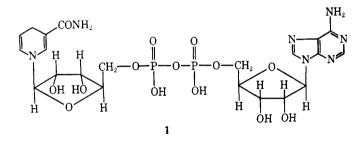
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I. Introduction

Dihydropyridine chemistry began in 1882 when Hantzsch¹ published the synthesis which now bears his name. In the subsequent 50 years modifications of the original synthesis were developed and some reactions of dihydropyridines were

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studied. In the 1930's the discovery that a "hydrogen-transferring coenzyme" was a reduced nicotinamide derivative stimulated work on model dihydropyridines, generally Nsubstituted dihydronicotinamides. While the gross structure of the coenzyme NADH (reduced nicotinamide adenine dinucleotide; the oxidized pyridinium form is known as NAD) was established relatively early, the fine structure did not become recognized until the late 1950's. Early workers believed that NADH was a 1,2-dihydronicotinamide derivative and considerable confusion ensued as a result. Eventually it was proved unambiguously that NADH was the 1,4-dihydronicotinamide 1.



Model dihydropyridines have been used extensively to elucidate the mode of action of the coenzyme and, although considerable progress has been made, the exact mechanism of hydrogen transfer by NADH is still not completely understood. A number of excellent reviews on the structure, synthesis, stereochemistry, and hydrogen-transfer reactions of the pyridine nucleotides are available, 2-7 and this material will not be repeated here except where relevant.

Dihydropyridines, which are readily convertible to pyridines, are important intermediates in the synthesis of the latter. A detailed survey of synthetic reactions covering the literature up to 1957 exists,⁸ but since it was written from the point

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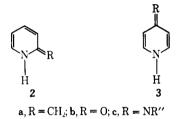
of view of pyridine synthesis rather than as an account of dihydropyridines the pertinent material is scattered and difficult to follow.

Dihydropyridines also play a role as intermediates in the reactions of pyridines, e.g., in nucleophilic substitutions⁹ and reductions, 10 as well as acylations in the presence of pyridine. 11

Finally, dihydropyridines are of the utmost importance in biological systems, particularly NADH which is involved in biological oxidation-reduction. The physiological properties of dihydropyridines include antitumor activity, 12, 13 porphyriainducing activity,14 and various others.15-24 NADH has protecting action against ionizing radiation.²⁵ It has been postulated that dihydropyridines are involved in the cross-linking of elastin²⁶ and in the biosynthesis of indole alkaloids.^{27,28}

II. Scope and Limitations

This review is confined to isolable or spectroscopically identifiable dihydropyridines. Specifically excluded are pyridine methenes 2a and 3a; ketodihydropyridines (dihydropyridones)



2b and 3b; pyridoneimines 2c and 3c; benzodihydropyridines (e.g., dihydroquinolines and -isoquinolines) and quinolizidines. Biochemical aspects of NADH are not covered.

The older literature surveys²⁹⁻³¹ on dihydropyridines deal

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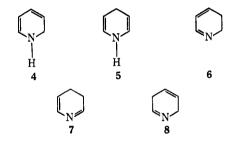
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- (30) J. J. Panouse, Bull. Soc. Chim. Fr., D53 (1953); Chem. Abstr., 48, 5864 (1954).
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with specialized aspects and contain much material which has since been shown to be incorrect. The latter will be discussed under the relevant headings.

III. Structure

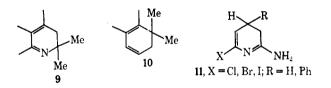
A. CONSTITUTION AND STABILITY

In theory, five isomeric dihydropyridines 4-8 are capable of existence, but in fact most of the known dihydropyridines have either the 1,2-dihydro structure 4 or the 1,4-dihydro structure 5. The reason why 4 and 5 are more common than



7 and 8 is presumably the involvement of the nitrogen lone pair in the π electron system of the former. The isomers 4 and 5 have the highest number of sp²-hybridized centers.

The only authenticated 2,3-dihydropyridines have partial structures 9^{32-34} or 10^{35} in which dehydrogenation to the corresponding pyridines is precluded. The formation of an



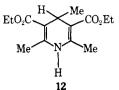
unstable 2,5-dihydropyridine has been reported.³⁴ The structures of other alleged 2,3-dihydropyridines³⁶⁻⁴¹ have not been substantiated, and reinvestigation by modern techniques would be appropriate.

The 3,4-dihydropyridines 11⁴² are stabilized by the amidine grouping as is the analogous 2-ethoxycarbonylamino-3,4dihydropyridine which is regarded as a tautomeric mixture.43 Again some earlier alleged 3,4-dihydropyridine structures 44-46 might be revised using modern techniques.

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Hantzsch¹ assumed the product from the reaction of ethyl acetoacetate, acetaldehyde, and ammonia, now known to be



12, to be a 2,3-dihydropyridine, but it was soon recognized 47-50 to be the 1,4 isomer. However, rigorous proof was presented only much later.^{51,52} In the intervening years there was much confusion concerning the structures of dihydropyridines, particularly with regard to the distinction between the 1,2 and 1,4 isomers. A number of tests, held to be diagnostic for their differentiation, 37, 53 were not reliable and led to the assignment of incorrect structures.^{31,54} This was particularly serious in the case of the coenzyme NADH, 1, which was erroneously regarded³¹ as a 1,2-dihydropyridine until its structure was unambiguously established^{55,56} by deuterium labeling.

The advent of spectroscopic techniques enormously facilitated structure determination and made possible unambiguous assignments,57-63 often in conjunction with chemical evidence.64-66 Several alleged67-70 1,2-dihydropyridines were later^{26,71} shown to be the corresponding 1,4 isomers or vice versa, and one report⁷² of a dihydropyridine structure was shown⁷³ to be erroneous.

No thermodynamic data have been reported for dihydropyridines to date. Studies on hydrogen-transfer reactions74 and equilibration⁷⁵ indicate that the 1,4-dihydropyridines are

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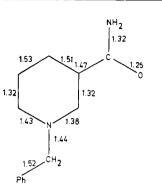


Figure 1. Bond lengths for 1-benzyl-1,4-dihydronicotinamide.⁹⁰

thermodynamically more stable than the corresponding 1.2 isomers.

Little systematic work has been carried out⁷⁶ on the correlation of reactivity with the nature and position of substituents. The parent 1,4-dihydropyridine 5 is described⁷⁷ as a very reactive substance in air; the corresponding 1,2 isomer 4 has not been isolated. Electron-attracting substituents capable of resonance interaction (COR, CO₂R, CN, NO₂) in the 3 and 5 positions stabilize dihydropyridines by extending the conjugation (see section III.C). Substituents in the 3,5 positions which donate electrons by resonance (SC6H5, OC_6H_5)^{78,79} have a destabilizing effect. Alkyl substitution on nitrogen appears to have the same effect,⁸⁰ but a glucosyl substituent on the nitrogen^{20,76,81-86} appears to have a remarkable stabilizing influence. Polycyclic⁸⁷⁻⁸⁹ or otherwise highly substituted dihydropyridines seem to be less reactive; this may be due to steric factors.

B. CONFORMATION

The geometry of the dihydropyridines 13a and 13b has been determined by X-ray crystallography⁹⁰⁻⁹² which has shown the ring to be planar. The bond distances and conformation of the amide group of 13a are shown in Figure 1. The single and

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⁽⁷⁶⁾ K. Wallenfels and M. Gellrich, Justus Liebigs Ann. Chem., 621 149 (1959).

double carbon-carbon bonds have the expected bond lengths and the C_3 - C_4 - C_5 bond angle is essentially tetrahedral. The amide group is within 4° of the plane of the ring in 13a and 22° in 13b.

$$H_{4} H_{1} H_{2} H_{2} H_{2}$$

$$H_{4} H_{1} H_{2} H_{2} H_{2}$$

$$H_{1} H_{2} H_{2}$$

Little is known about the conformation of dihydropyridines in solution. Some authors have speculated⁹³⁻⁹⁶ that the dihydronicotinamides 13 react in a boat-like conformation. However, the 60-MHz spectrum of 13b indicates⁹⁷ that the methylene protons at C-4 are equivalent, implying either a rigid planar structure of the ring or else rapid interconversion of two or more nonplanar conformations. A recent 220-MHz study of reduced pyridine nucleotides98 has shown that in these compounds the protons in the 4 position of the dihydronicotinamide ring are nonequivalent due to the differential shielding by the adenine group. A careful nmr study⁹⁹ has elucidated the conformation of pyridine nucleotides.

The low-temperature nmr spectrum of 1-ethoxycarbonyl-2,4-di-tert-butyl-1,2-dihydropyridine shows⁶³ the presence of two rotational isomers.

C. ELECTRONIC STRUCTURE 99a

Semiempirical LCAO-MO calculations on the dihydropyridines 14b-f and 15c-f using the HMO or SCF methods¹⁰⁰⁻¹⁰⁶ have shown that the π electron distributions are consistent with the assignment of localized double bonds. Theoretical calculations of electronic transitions of 14b,c and of 15a-c have been published. 102, 107, 108

Figures 2 and 3 show molecular diagrams (π electron densities and π bond orders) for 14a and 15a calculated¹⁰⁹ using simple HMO treatment and including, in part, the hyperconjugation of the methylene groups. From the HMO calculations each model apparently has two localized double bonds (bond orders 0.831 and 0.906), and the lone electron pair on the nitrogen is only slightly delocalized (bond orders 0.135

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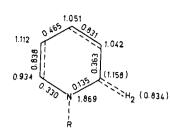


Figure 2. HMO molecular diagram¹⁰⁹ of 1,2-dihydropyridine (14a).

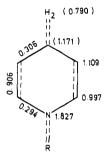
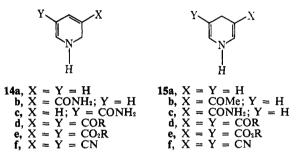


Figure 3. HMO molecular diagram¹⁰⁹ of 1,4-dihydropyridine (15a).



and 0.335, nitrogen π electron densities 1.827 and 1.863, respectively), Analogous HMO molecular diagrams^{103,105} show that in the 3,5-disubstituted dihydropyridines 14d-f and 15d-f π electrons are transferred to the substituents X and Y. The lone electron pair on the nitrogen is delocalized, resulting in decreased basicity of these compounds. Nevertheless, the double bond character of the bonds in 14 and 15 remains substantially unchanged.

The above-mentioned HMO calculations¹⁰⁹ show that the energy of the highest occupied molecular orbitals is high, and therefore the binding energy is low (0.011 β and 0.023 β for 14a and 15a, respectively, similar to the values calculated 100,101 for 14b.c and 15c). These results indicate that 14a and 15a might be expected to be strong electron donors, in agreement with the observed fact of their ready oxidation (see section VI.A) and the formation of stable π complexes with chromium.¹¹⁰ The relatively high values¹⁰⁹ for the free valences in certain positions in 14a and 15a (0.52-0.56) predict considerable reactivity toward radical reagents. This is in accordance with their sensitivity to atmospheric oxygen. Substitution in the 3 and 5 positions with conjugating groups results^{100,101,103,105} in lowered energies of the highest occupied molecular orbitals and transfer of the electronic charge to the substituents with a resulting decrease in reactivity.

Available information on the relative reliability of different π electron approximations is limited. An SCF calculation of the π electron structure of 15c gives¹⁰² π electron densities which are substantially in agreement with those obtained¹⁰¹

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from HMO treatment. However, there is a discrepancy in the π electron densities in the C5–C6 double bond (see Table I).

Table I Calculated π Electron Densities in 13

Position	HMO method ¹⁰¹	SCF method ¹⁰²		
1	1.653	1.623		
2	0.902	1.071		
3	1.201	1.105		
3a	0.766	0.753		
36	1.865	1.775		
3c	1.424	1.506		
5	1.181	1.068		
6	0.942	1.084		

The effect of $\sigma - \pi$ interactions in dihydropyridines has not been investigated thus far. Calculations using the extended Hückel method or the more sophisticated CNDO or MINDO methods might be used to this end.

IV. Synthesis

A. PREPARATION FROM PYRIDINE DERIVATIVES

1. Reaction with Nucleophiles

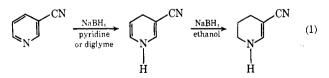
Some of the earlier work on nucleophilic addition to pyridinium salts has been described in ref 111 and 112.

a. Reduction with Complex Hydrides

A number of dihydropyridine derivatives have been prepared by reduction of the corresponding pyridines or pyridinium salts with complex metal hydrides. A review of the literature up to 1966 has been published.¹⁰

Reduction of pyridine with lithium aluminum hydride gave¹¹³ an unstable product with reducing properties which could not be characterized. The structure of a complex formed from lithium aluminum hydride and pyridine has been elucidated (see 183).

Sodium borohydride reduces pyridines with electron-withdrawing substituents in the 3 position, or, better, in the 3 and 5 positions, to dihydropyridines.¹¹⁴⁻¹¹⁶ Thus 3-cyanopyridine was reduced¹¹⁶ to the corresponding 1,4-dihydropyridine in aprotic solvents but to the tetrahydropyridine in ethanol according to eq 1.

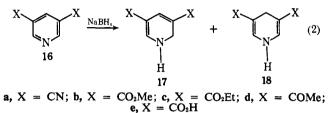


Under the same conditions reduction of the nitrile groups takes place¹¹⁶ in the isomeric 2- and 4-cyanopyridines. This is

- (115) J. Kuthan and E. Janečková, Collect. Czech. Chem. Commun., 29, 1654 (1964).
- (116) S. Yamada, M. Kuramoto, and Y. Kikugawa, Tetrahedron Lett., 3101 (1969).

in accordance with the reactivity of cyanopyridines toward nucleophiles as predicted by quantum mechanical calculations based on simple Hückel approximation.117

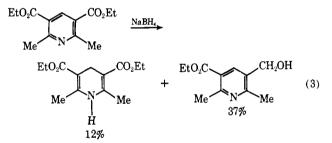
The dinitriles 16a and the diesters 16b,c can be converted into the dihydropyridines 17 and 18 even in protic sol-



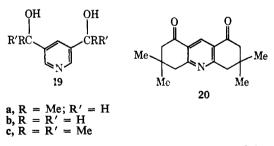
vents^{68,115,118} according to eq 2. The ratio of the 1,2 isomers 17 to the 1,4 isomers 18 is highly solvent dependent, ¹¹⁹ ranging from 13:87 in pyridine to 63:37 in acetonitrile for the diesters 17c and 18c.

Sodium cyanoborohydride in acetic acid yields¹¹⁹ the pure 1.4 isomers 18b-d.

Treatment of diethyl 2,6-dimethylpyridine-3,5-dicarboxylate with borohydride, surprisingly, results in reduction of one of the ester groups; the 1,4-dihydropyridine is also formed¹²⁰ in low yield as shown in eq 3.



Reduction of 3,5-diacetylpyridine with sodium borohydride yields121,122 the diol 19a together with small amounts of the isomeric 3,5-diacetyldihydropyridines 17d and 18d.122 The tricyclic diketone 20, on the other hand, afforded exclusively the corresponding 1,4-dihydro derivative.¹¹⁴



The effect of alkyl substituents on the nature of the reduction products of 3,5-dicyanopyridines has been studied systematically.^{115,123} The results can be interpreted^{104,124} using HMO calculations, taking into account π overlap between the σ -alkyl orbitals and the π electron system of the ring.

(119) E. Booker and U. Eisner, unpublished results.

⁽¹¹¹⁾ R. E. Lyle, Chem. Eng. News., 72 (Jan 10, 1966).

⁽¹¹²⁾ E. Klingsberg, Ed., "Pyridine and Its Derivatives," Part 2, Inter-science, New York, N. Y., 1960.

⁽¹¹³⁾ F. Bohlmann, Chem. Ber., 85, 390 (1952).

⁽¹¹⁴⁾ E. I. Stankevich and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 223 (1961); Chem. Abstr., 58, 4508 (1963).

⁽¹¹⁷⁾ J. Kuthan, Collect. Czech. Chem. Commun., 31, 3593 (1966).

⁽¹¹⁸⁾ J. Paleček, L. Ptáčková, and J. Kuthan, ibid., 34, 427 (1969).

⁽¹²⁰⁾ S. Yamada and Y. Kikugawa, Chem. Ind. (London), 2169 (1966).

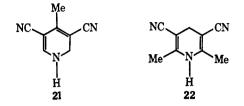
⁽¹²¹⁾ F. Micheel and H. Dralle, Justus Liebigs Ann. Chem., 670, 57 (1963).

⁽¹²²⁾ J. Palecek, L. Vavruška, and J. Kuthan, Collect. Czech. Chem. Commun., in press.

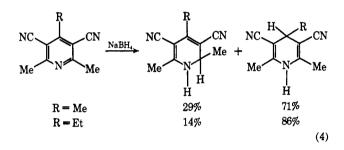
⁽¹²³⁾ J. Kuthan and E. Janecková, ibid., 30, 3711 (1965).

⁽¹²⁴⁾ J. Kuthan and J. Procházková, ibid., 34, 1190 (1969).

In some cases only one of the possible isomers is formed. Thus borohydride reduction of 3,5-dicyano-4-methylpyridine¹¹⁵ and of 3,5-dicyano-2,6-dimethylpyridine^{115,120} affords the dihydropyridines 21 and 22, respectively. This specificity might be due to a combination of steric and electronic factors.



Electronic factors, including hyperconjugation, i.e., the greater deactivating effect of methyl compared to ethyl, seem¹¹⁵ to outweigh steric effects in eq 4 (see also ref 123 and 125).



Lithium aluminum hydride reacts more vigorously and hence less selectively. The only preparatively useful reaction is that of 3,5-dicyanopyridine in which the ring is reduced more readily than the nitrile groups, 115, 125, 126 The effect of alkyl substituents is similar to that found for borohydride reductions, but the yield of 1,2 isomer is slightly higher. 115, 125 The structure of the complex aluminum hydride does not appear to affect the isomer ratio obtained on reduction of 16a except for the reagent NaAlH₂(OCH₂CH₂OMe)₂ which yields¹²⁵ essentially pure 1,4 isomer 18a.

Appreciable quantities of the diol 19b accompanied¹¹⁸ the dihydropyridines 17b,c and 18b,c on reduction of the pyridines 16b,c with lithium aluminum hydride (see also ref 126). The substitution of a methyl group in the 2 position of 16b lowered the yield¹²⁶ of the corresponding 1,4-dihydropyridine. In other cases only the ester groups were reduced.¹²⁶⁻¹²⁸ The alleged formation of a product in which both the ring and the ester groups have been reduced¹²⁹ should be reinvestigated.

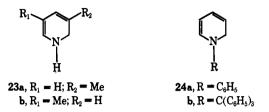
3,5-Dibromopyridine was said¹²⁶ to afford a very unstable dihydro product. Reduction of an N-aryl-2-pyridone to the corresponding 1,2-dihydropyridine¹³⁰ has been reported without conclusive evidence.

Borohydride reduction of pyridinium salts or their alkyl derivatives yields unstable dihydropyridines which have been

(126) F. Bohlmann and M. Bohlmann, Chem. Ber., 86, 1419 (1953).

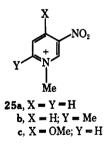
- (128) K. Tsuda, N. Ikekawa, H. Mishima, A. Iino, and T. Mosischige, Chem. Pharm. Bull. Jap., 1, 122 (1953).
- (129) F. Bohlmann, A. English, J. Politt, H. Sander, and W. Weisse, Chem. Ber., 88, 1831 (1955).
- (130) J. A. Berson and J. S. Walia, J. Org. Chem., 24, 756 (1959).

detected spectroscopically.^{131,132} These dihydropyridines are usually reduced further to tetrahydropyridines10,133-135 (see section VI.B.2) unless the hydrogen ion concentration is reduced by the addition of alkali^{30,133} or cyanide.¹³⁶ In that case a mixture of 1,2- and 1,6-dihydropyridines, e.g., 23a,b results. 133



1-Phenylpyridinium chloride afforded⁷¹ the 1,2-dihydropyridine 24a in good yield accompanied by the 1,4 isomer $(\sim 20\%)$, while 1-triphenylmethylpyridinium fluoroborate gave¹³⁷ 24b (77%) together with the corresponding 1,4 isomer (23%). Quaternary salts of pyridine or picoline with acetobromoglucose were erroneously reported^{83,86} to give the corresponding 1,4-dihydropyridines, i.e., the same product which is obtained on dithionite reduction (see section IV.A.1.c). However, recent spectroscopic evidence¹³⁸ shows these to be the expected 1,2 isomers.

Borohydride reduction of the 3-nitropyridinium salts 25 is remarkably regiospecific. 139, 140 Thus 25a affords the corresponding 1,4-dihydropyridine, 25b the 1,2 isomer, and 25c the 1,6-dihydro derivative.



The 3-cyanopyridinium salt 26a is reduced to a mixture of the corresponding di- and tetrahydropyridines 28a and 29a in methanol.¹³⁸ In the presence of alkali the isomeric 1,2and 1.6-dihydropyridines 27a and 28a were isolated.64,133 The reduction of dihydropyridines to tetrahydropyridines is discussed in section VI.B.2. The salt 26b, which has a bulky substituent on the nitrogen, was reduced to a mixture of the isomeric dihydropyridines 27b and 28b in methanol; the 1,6 isomer 28b was isolated from it by crystallization.141

The presence of a methyl substituent in the 4 position of 26b did not affect the course of the reduction and again af-

(135) E. Bordingnon, A. Signor, I. J. Fletcher, A. R. Katritzky, and J. R. Lea, J. Chem. Soc. B, 1567 (1970).

- (136) E. M. Fry and J. A. Beisler, J. Org. Chem., 35, 2809 (1970).
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- (138) U. Eisner, unpublished results.
- (139) T. Severin, H. Lerche, and D. Bätz, Chem. Ber., 102, 2163 (1969).
- (140) T. Severin, D. Bätz, and H. Lerche, ibid., 103, 1 (1970).
- (141) D. L. Coffen, J. Org. Chem., 33, 137 (1968).

⁽¹²⁵⁾ J. Kuthan, J. Procházková, and E. Janečková, Collect. Czech. Chem. Commun., 33, 3558 (1968).

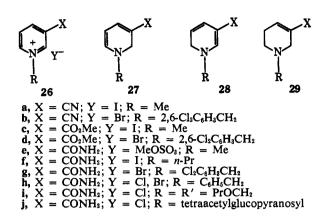
⁽¹²⁷⁾ P. Karrer and S. Mainoni, Helv. Chim. Acta, 34, 2151 (1951).

⁽¹³¹⁾ R. E. Lyle, D. A. Nelson, and P. S. Anderson, Tetrahedron Lett., 13, 553 (1962).

⁽¹³²⁾ P. S. Anderson and R. E. Lyle, ibid., 153 (1964).

⁽¹³³⁾ N. Kinoshita and T. Kawasaki, Yakugaku Zasshi, 83, 123 (1963); Chem. Abstr., 59, 5126 (1963).

⁽¹³⁴⁾ F. E. Ziegler and J. G. Sweeney, J. Org. Chem., 32, 3216 (1967).

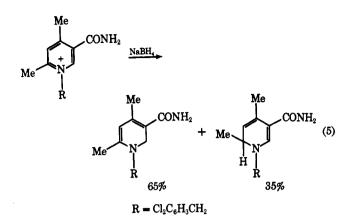


forded¹⁴² a mixture of the corresponding 1,2- and 1,6-dihydropyridines. However, the 4,6-dimethyl derivative of 26b yielded only the corresponding 1,2-dihydropyridine owing to a combination of steric and electronic effects.142

Borohydride reduction of the ester 26c similarly afforded^{143,144} a mixture of the unstable 1,6-dihydropyridine 28c and the tetrahydropyridine 29c. In alkaline solution the 1,2-dihydropyridine 27c together with the tetrahydro derivative 29c were formed. 2-Methoxycarbonyl-1-methylpyridinium iodide similarly afforded a mixture of the corresponding 1,6-dihydro- and 1,2,5,6-tetrahydropyridines while in alkaline solution the 1,2-dihydro derivative was obtained.¹⁴⁵ Similar results were reported for 4-methoxycarbonyl-1-methylpyridinium iodide,¹⁴³ Borohydride reduction of 26d gave⁶⁵ the crystalline 1,6-dihydropyridine 28d. The presence of a 2methyl group in 26d (ethyl ester) and the replacement of the ester grouping by -CH==NNHC6H5 did not affect the nature of the reduction product.65

It has been shown^{65,146,147} that the main products of the borohydride reduction of the nicotinamide derivatives 26e-h are the corresponding 1,6-dihydropyridines 28e-h. It has been variously claimed that the 1,4 isomer¹⁴⁸ or the 1,2 isomer¹⁴⁹ was formed along with the 1,6-dihydropyridine. Recent studies employing spectroscopic techniques⁶² have shown that the 1,6-dihydro derivatives 28h-j are formed on borohydride reduction of the corresponding pyridinium salts and that the introduction of a 4-methyl group into 26h-j does not affect the course of the reaction.62,142 Substitution of the amide hydrogens in 26h by alkyl or aryl groups gave analogous products.65 However, the nature of the anion Y in the pyridinium salt appears to have some effect.⁵² Introduction of methyl groups into the 2 and 4 positions of 26g gave¹⁴² a mixture of the corresponding 1,2- and 1,6-dihydropyridines as shown in eq 5, in contrast with earlier findings. 150

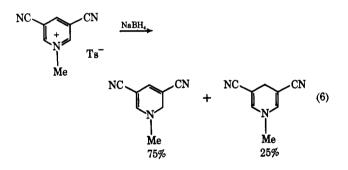
- (146) K. Wallenfels and M. Gellrich, Justus Liebigs Ann. Chem., 621, 198 (1959).
- (147) R. Segal and G. Stein, J. Chem. Soc., 5254 (1960).
- (148) H. Diekmann, G. Englert, and K. Wallenfels, Tetrahedron, 20, 281 (1964).
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In the light of the above more recent results some older formulations^{52,83,151-154} might be revised.

The borohydride reduction of NAD was shown¹⁵⁵ to give a product which had only 50% of the activity of NADH. More recent work^{7,156} has shown that a mixture of the 1.2, 1.4, and 1,6 isomers of NADH was formed in this reaction.

3.5-Disubstituted pyridinium salts have a greater tendency to form dihydropyridines on borohydride reduction than do 3-substituted pyridinium salts. The products from the reduction of the symmetrically substituted dinitriles and diesters are generally mixtures of 1,2- and 1,4-dihydropyridines with the former predominating, e.g., 157 eq 6.



3,5-Dicyano-1,2,4,6-tetramethylpyridinium tosylate could not be reduced with borohydride in alkaline solution since proton abstraction took place instead; at pH 5.5-6.5 the corresponding 1,2-dihydropyridine was formed.¹⁵⁸

Some authors have claimed^{52,65} that only the 1,2 isomers were formed; in the case of 30a it was alleged 150 that the corresponding 1,2-52 or 1,4-65 dihydropyridine was formed exclusively depending on the reaction conditions.

Borohydride reduction of the unsymmetrically substituted pyridinium salts 31a,b gave a mixture of the corresponding 1,2-, 1,4- and 1,6-dihydropyridines as shown¹⁵⁷ by nmr.

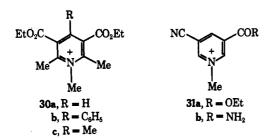
- (151) J. J. Panouse, C. R. Acad. Sci., 233, 1200 (1951); Chem. Abstr., 46, 6643 (1952).
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 (157) W. Hanstein and K. Wallenfels, Tetrahedron, 23, 585 (1967).
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⁽¹⁴²⁾ J. F. Biellmann and H. J. Callot, Bull. Soc. Chim. Fr., 1159 (1968).

⁽¹⁴³⁾ N. Kinoshita, M. Hamana, and T. Kawasaki, Chem. Pharm. Bull. Jap., 10, 753 (1962).

⁽¹⁴⁴⁾ N. Kinoshita, M. Hamana, and T. Kawasaki, Yakugaku Zasshi, 83, 115 (1963); Chem. Abstr., 59, 5126 (1963).

⁽¹⁴⁵⁾ N. Kinoshita and T. Kawasaki, Yakugaku Zasshi, 83, 126 (1963); Chem. Abstr., 59, 5126 (1963).



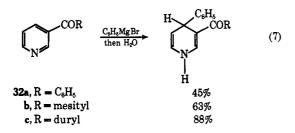
Lithium aluminum hydride reduction of 30b is reported⁵¹ to lead to the 1,2-dihydropyridine. Similar results were also obtained for alkylpyridinium salts. 26,65

b. Addition of Organometallic Reagents

Dihydropyridines have been prepared by the action of organometallic reagents on pyridines, pyridine oxides, or pyridinium salts.

Pyridine and alkylpyridines react with lithium alkyls or aryls to give 2-substituted 1-lithio-1,2-dihydropyridines¹⁵⁹⁻¹⁶¹ which have been isolated as crystalline solids in some cases.¹⁶² These have been converted into the unstable 1,2-dihydropyridines by hydrolysis, and into the corresponding pyridines by loss of lithium hydride on heating^{163,164} or on treatment with oxygen.¹⁶²

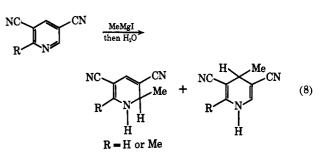
Reaction of the pyridyl ketones 32a-c with Grignard reagents affords 1,4-dihydropyridines^{165,166} as shown in eq 7. Attack of the reagent on the carbonyl group takes place 165, 166 only with 32a.



The action of Grignard reagents on substituted 3,5-dicyanopyridines has been developed as a useful synthetic method.¹⁶⁷⁻¹⁶⁹ Unlike the attack of complex hydrides (section IV.A.1.a) reaction takes place only at the unsubstituted positions. Thus in the case of a pyridine with two nonequivalent positions a mixture of 1,2- and 1,4-dihydropyridines is formed according to eq 8; these may be separated by chromatography.170

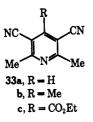
On the other hand, if only one unsubstituted position is available, a single product results, 168, 170, 171 as in the case of

- (162) C. S. Giam and J. L. Stout, ibid., 142 (1969).
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- (167) R. Lukes and J. Kuthan, Angew. Chem., 72, 919 (1960).
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- (170) J. Kuthan, E. Janečková, and M. Havel, ibid., 29, 143 (1964).
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3,5-dicyano-2,4-dimethylpyridine which with methylmagnesium iodide affords168,170 3,5-dicyano-2,4,6-trimethyl-1,2-dihydropyridine.

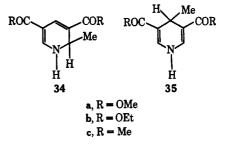
Predictably, reaction of 33a with Grignard reagents led to the expected 1,4-dihydropyridine, but some attack on the cyano group was also observed^{168,172} (see also ref 173). No dihydropyridine was formed by the action of methylmagnesium iodide on 33b¹⁶⁹ or on 33c.^{178,174}



Essentially the same results were obtained when lithium alkyls were used 171, 172 instead of Grignard reagents except for a greater tendency for attack at the cyano groups.

The adducts of methylmagnesium iodide with 3,5-dicyanopyridines have been isolated and shown¹⁰⁶ to be 1-magnesiodihydropyridines of variable composition.

The dimethyl and diethyl esters of pyridine-3,5-dicarboxylic acid 16b,c react with methylmagnesium iodide to give^{80,118} mixtures of the dihydropyridines 34a, 35a and 34b, 35b to-



gether with some diol 19c formed by reaction of the ester groups¹¹⁸ (see also ref 175). 3,5-Diacetylpyridine gives 34c, 35c in low yield, the main product again being the diol 19c, formed by attack of the reagent on the carbonyl groups.¹²²

The reactivity of the various positions in substituted 3,5dicyanopyridines has been interpreted by means of simple HMO treatment. 104, 118, 124

Pyridine 1-oxide reacts¹⁷⁶ with phenylmagnesium bromide to give a compound formulated as 36a which has recently^{176a} been shown to be acyclic.

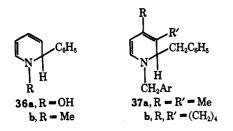
- (173) J. F. Biellmann, H. J. Callot, and M. P. Goeldner, Tetrahedron, 26, 4655 (1970).
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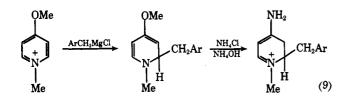
⁽¹⁶¹⁾ R. Levine and W. M. Kadunce, Chem. Commun., 921 (1970).

⁽¹⁷²⁾ J. Paleček, K. Vondra, and J. Kuthan, ibid., 34, 2991 (1969).



Initial attempts to isolate a dihydropyridine from the reaction of 1-methylpyridinium iodide with a Grignard reagent failed,¹⁷⁷ presumably because of the instability of the product. However, more recently 36b was synthesized¹⁷⁸ using phenyllithium. The dihydropyridines 37a,b were prepared 179-181 by the action of Grignard reagents on pyridinium salts and used in further reactions without purification owing to their instability. A related reaction is reported¹⁸² in the patent literature. The structure of the product from nicotine methiodide with methylmagnesium iodide¹⁵ has not been established with certainty. Reaction of 3.5-diethyl-1-phenyl-2propylpyridinium iodide with methylmagnesium iodide gave a product which was originally⁶⁹ believed to be a 1,4-dihydropyridine but is now²⁶ shown to be the 1,6 isomer. 1-Methyl-2,4,6-triphenylpyridinium perchlorate with benzylmagnesium chloride afforded¹⁸³ 4-benzyl-1-methyl-2,4,6-triphenyl-1,4dihydropyridine.

4-Methoxy-1-methylpyridinium iodide reacted with Grignard reagents (see ref 513) to give unstable 1,2-dihydropyridines which were converted into the more stable salts as shown in eq 9.



Recently a method has been described⁶³ in which a mixture of a 4-alkylpyridine and ethyl chloroformate (which react in situ to give the 1-ethoxycarbonylpyridinium salt) is treated with Grignard reagent to afford 1-ethoxycarbonyl-2,4-dialkyl-1,2-dihydropyridines.

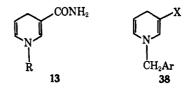
Quaternary salts of nicotinic esters or nitriles react with Grignard reagents or with cadmium alkyls to give^{184,185} the corresponding 1,6-dihydropyridines as the main product, accompanied by some 1,2 isomer. Cadmium alkyls may be used to alkylate the ring of nicotinic esters.¹⁸⁵ Salts of 1,4,6trimethylnicotinic esters afford only the 1,2-dihydropyridine with cadmium alkyls; the presence of a methyl group in the 5 position does not affect the course of the reaction.

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- (184) R. E. Lyle and S. E. Mallett, Ann. N. Y. Acad. Sci., 145, 83 (1967).
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c. Dithionite Reduction

The observation that NAD could be converted into NADH by sodium dithionite¹⁸⁶ led to the preparation of numerous model compounds by this method. Reduction of 3-substituted or 3,5-disubstituted pyridinium salts with sodium dithionite in mildly basic solution affords the corresponding 1,4-dihydropyridines. The 1,2 or 1,6 isomers are formed only in exceptional cases. Sodium hydroxymethylsulfoxylate can replace157 sodium dithionite but no dihydropyridines are obtained with zinc dithionite. 187

1-Tetraacetylglucopyranosylpyridinium bromide was reduced to a product originally^{20,81,83} formulated as a 1,2dihydropyridine which has recently¹³⁸ been shown to be the 1,4 isomer. Numerous 1,4-dihydronicotinamides 13 have been prepared where R is alkyl, 52, 54, 152, 154, 188-191 benzyl or 2.6dichlorobenzyl, 62, 65, 146, 147, 187, 192-195 alkoxymethyl, 62, 84 2chloro- or 2-hydroxyethyl, 196 or a sugar residue. 20, 62, 81, 197



Similarly a number of 1-alkyl-3-cyano-1,4-dihydropyridines have been synthesized. 64, 198, 199 Some of the products had earlier been formulated as 1,2- or 1,6-dihydropyridines, 54,81,151,188-191,200 but these assignments are probably incorrect.

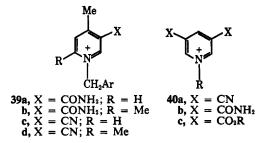
The 1,4-dihydropyridines 38 with various substituents in the 3 position have been prepared with $X = CH = NNHC_6H_5$, COMe, CO₂H, CO₂R, CONMe₂, CONHC₆H₅, 4-methyl-2thiazolyl, benzoyl, and 2-benzthiazolyl.65,84,193,195,198 A spectroscopic study of a series of dihydropyridines 38 has been carried out.62 Dithionite reduction of some pyridinium 3sulfonamides afforded products which were assigned the 1,2dihydropyridine structure²⁰¹ although this assignment is probably not correct. No dihydropyridines could be isolated⁶⁵ from the pyridinium salts 26 when X was hydrogen or alkyl.

Introduction of a methyl group into the 2, 4, or 6 position of a pyridinium salt with an electron-withdrawing substituent

- (187) K. Wallenfels and H. Schüly, ibid., 329, 75 (1957).
- (188) P. Karrer and F. Benz, Helv. Chim. Acta, 19, 1028 (1936).
- (189) P. Karrer and F. J. Stare, ibid., 20, 418 (1937).
- (190) P. Karrer and F. Blumer, ibid., 30, 1157 (1947).
- (191) P. Karrer, T. Ishi, F. W. Kahnt, and J. van Bergan, ibid., 21, 1174 (1938),
- (192) K. Wallenfels and H. Schüly, Angew. Chem., 70, 471 (1958).
- (193) D. C. Dittmer and R. A. Fouty, J. Amer. Chem. Soc., 86, 91
- (1964). (194) W. S. Caughey and K. A. Schellenberg, J. Org. Chem., 31, 1978 (1966).
- (195) J. F. Biellmann and H. J. Callot, Bull. Soc. Chim. Fr., 1154 (1968).
- (196) O. M. Friedman, K. Pollak, and E. Khedouri, J. Med. Chem., 6, 462 (1963).
- (197) P. Karrer and B. H. Ringier, Helv. Chim. Acta, 20, 622 (1937).
- (198) J. H. Supple, D. A. Nelson, and R. E. Lyle, Tetrahedron Lett., 1645 (1963).
- (199) B. J. S. Wang and E. R. Thornton, J. Amer. Chem. Soc., 90, 1216 (1968).
- (200) P. Karrer, Justus Liebigs Ann. Chem., 539, 297 (1939).
- (201) P. Karrer and W. Manz, Helv. Chim. Acta, 29, 1152 (1946).

⁽¹⁸⁶⁾ O. Warburg, W. Christian, and A. Griese, *Biochem. Z.*, 282, 157 (1935).

in the 3 position again gives the expected 1,4-dihydropyridine.62,65,184,202 However, in the case of 39a the 1,6-dihydropyridine (20%) accompanies the 1,4 isomer.142 The 4,6-di-



methyl derivative 39b affords only the 1,4-dihydropyridine contrary to earlier¹⁵⁰ work, while **39c** forms a mixture of 1,2and 1,6-dihydropyridines (2:3) and 39d yields the 1,2-derivative exclusively.142 The reasons for this unusual behavior are not properly understood.

Treatment of 3,5-dicyano-1,2,4,6-tetramethylpyridinium tosylate with sodium hydroxymethylsulfoxylate proceeded slowly and yielded¹⁵⁸ the corresponding 1,4-dihydropyridine accompanied by 15-20% of the 1,2 isomer.

Isolation of a dihydropyridine from the dithionite reduction of 3-benzoyl-1-methyl-4-phenylpyridinium iodide failed.¹⁶⁶

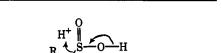
Dithionite reduction of pyridinium salts with electronwithdrawing substituents in the 3 and 5 positions affords exclusively the corresponding 1,4-dihydropyridines. In this way the pyridinium salts 30a-c, 37, 52, 65, 203, 204 31a,b, 157 40a, 157 40b, 65, 192, 205 and 40c65 were converted into the corresponding 1,4-dihydropyridines. 3,5-Diacetyl-1,4-diphenyl-1,4-dihydropyridine²⁰⁶ was prepared analogously. Diethyl 1,2,6-trimethyl-1.4-dihydropyridine-3.4-dicarboxylate was alleged³⁷ to be the product obtained by dithionite reduction of the corresponding pyridinium salt, but adequate structure proof is lacking.

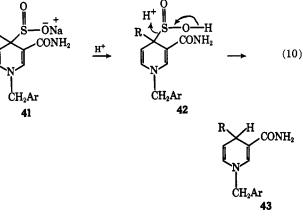
The mechanism of dithionite reduction has been elucidated.^{194,195,207} The reaction proceeds via an intermediate sodium sulfinate, e.g., 41a, which is stable in alkaline solution and which has been isolated. In neutral or acid solution the salt 41 is converted into the unstable acid 42 which decomposes as shown in eq 10. Earlier work^{192,205} which formulated the intermediate sulfinates as 1,2-dihydropyridines is probably incorrect as is the formation of a charge-transfer complex.²⁰⁸

It has not been unequivocally established that the salt 41 is the primary addition product and a one-electron process has not been rigorously excluded; radical ions have been detected in certain analogous reactions. 209, 210

When dithionite reduction was carried out in deuterium oxide the monodeuterated dihydropyridine 43b was formed.¹⁹⁴ Repeated oxidation to the pyridinium salt followed by dithionite reduction in D₂O yields the 4,4-dideuterio derivative,¹⁹⁴

- (204) A. F. E. Sims and P. W. G. Smith, Proc. Chem. Soc., 282 (1958). (205) K. Wallenfels and H. Schüly, Justus Liebigs Ann. Chem., 621, 178 (1959).
- (206) N. Sugiyama, K. Kubota, G. Inouye, and T. Kubota, Bull. Chem. Soc. Jap., 37, 637 (1964).
- (207) J. F. Biellmann and H. J. Callot, Bull. Soc. Chim. Fr., 1299 (1969). (208) E. M. Kosower and S. W. Bauer, J. Amer. Chem. Soc., 82, 2191
- (1960). J. Winters, A. L. Borror, and N. Smith, Tetrahedron Lett.,
- 2313 (1967). (210) J. G. Carey, J. F. Cairns, and J. F. Colchester, Chem. Commun., 1280 (1969).





$\mathbf{a}, \mathbf{R} = \mathbf{H}; \mathbf{b}, \mathbf{R} = \mathbf{D}$

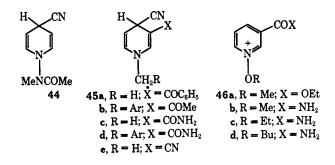
and several deuterated dihydropyridines have been prepared in this way. 193, 194, 199

d. Addition of Cyanide Ion

The cyanide ion, which has a lower nucleophilicity than the reagents discussed in sections IV.A.1.a-c, reacts only with the more electron-deficient pyridinium salts.

The reaction of 1-methylpyridinium iodide with cyanide ion has been investigated ¹⁶⁰ by nmr. The unstable adduct 44, obtained by the action of cyanide on the corresponding pyridinium salt, has been isolated.²¹¹ Similarly, the reaction of cyanide ion with pyridinium salts having electron-withdrawing substituents in the 3 position^{75,111,160,212-217} and the 3,5 positions^{75,111,160} have been studied spectroscopically. Formation of 1,4-dihydro adduct is usually reversible,²¹⁴ and rate and equilibrium constants have been measured.214-218 Substituent and solvent effects have also been examined. 212, 214, 215

Some cyano dihydro derivatives have been isolated, e.g., 45b, 148, 219 45c, d, 215, 220 and 45e 199, 215 (for further examples of 45 and the corresponding 4-methyl derivatives see ref 216 and 217). The ketone 45a was described 166 as an unstable



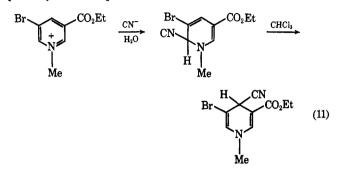
- (211) T. O. Kamoto, M. Kirobe, C. Mizuskin, and A. Osawa, Chem. Pharm. Bull. Jap., 11, 780 (1963); Chem. Abstr., 59, 9752 (1963).
- (212) M. R. Lamborg, R. M. Burton, and N. O. Kaplan, J. Amer. Chem. Soc., 79, 6173 (1957).
- (213) H. Tani, Chem. Pharm. Bull. Jap., 7, 930 (1959).
- (214) K. Wallenfels and H. Diekmann, Justus Liebigs Ann. Chem., 621, 166 (1959).
- (215) R. N. Lindquist and E. H. Cordes, J. Amer. Chem. Soc., 90, 1269 (1968).
- (216) A. C. Lovesay, J. Med. Chem., 12, 1018 (1969).
- (217) A. C. Lovesay, ibid., 13, 693 (1970).
- (218) R. N. Lindquist, Diss. Abstr., 29B, 4077 (1969).
- A. G. Anderson and G. Berkelhammer, J. Org. Chem., 23, 1109 (1958).
- (220) M. Marti, M. Viscontini, and P. Karrer, Helv. Chim. Acta, 39, 1451 (1956).

⁽²⁰²⁾ A. Stock and F. Ötting, Tetrahedron Lett., 4017 (1968).

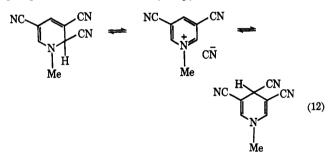
⁽²⁰³⁾ P. R. Brock and P. Karrer, Justus Liebigs Ann. Chem., 605, 1 (1957).

solid. Cyanide adducts of 46, which may be detected spectroscopically, readily eliminate ROH to form cyanopyridines, 213, 221

It has been shown⁷⁵ that cyanide attack takes place initially in the 6 position to give the product of kinetic control. On standing this is converted into the thermodynamically more stable 1.4 derivative as shown in eq 11. The generality of this pathway has been questioned. 160, 215



Another well-documented case is cyanide addition to 3,5dicyano-1-methylpyridinium tosylate.^{222,223} The initially formed 1,2-dihydropyridine on heating is converted into the 1,4 isomer. It was suggested²²³ that the rearrangement proceeds via an intermediate pyridinium salt as shown in eq 12. Cyanide addition to 1-methyl-3,4,5-tricyanopyridinium salts again takes place in the 2 position affording 1-methyl-2,3,4,5tetracyano-1,2-dihydropyridine.^{222,223} These results were explained by the mesomeric and inductive effects of the cyano groups which stabilize the dihydropyridines.



Cyanide addition to the fully substituted 3,5-dicyano-1,2,-4,6-tetramethylpyridinium tosylate afforded¹⁵⁸ the 1,4-dihydropyridine in 43% yield, the other products being the isomeric pyridine methenes (analogous to 2a and 3a) resulting by proton abstraction from the 2- and 4-methyl groups, respectively.

The structures of some cyanide adducts have not been rigorously established, 1,4-dihydro^{224,225} and 1,2-dihydro^{50,208} structures having been somewhat arbitrarily assigned to the products.

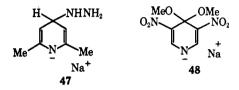
The recent findings^{209, 226} of intermediate radical ions in cyanide addition imply that a one-electron step may be significant in these reactions.

- (223) K. Wallenfels and W. Hanstein, Justus Liebigs Ann. Chem., 709, 151 (1967).
- (224) O. Mumm and G. Hingst, Ber., 56, 2301 (1923).
- (225) K. Wallenfels and H. Schüly, Justus Liebigs Ann. Chem., 621, 86 (1959). (226) L
- J. Winters, N. G. Smith, and M. I. Cohen, Chem. Commun., 642 (1970).

e. Reaction with Other Nucleophiles

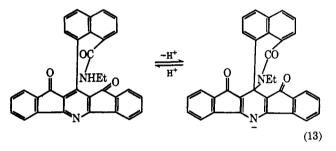
In principle it is possible to prepare dihydropyridines by addition of various nucleophiles to pyridines or pyridinium salts. Whether such a reaction is a useful preparative method depends on the reactivity of the pyridine or pyridinium salt, the nucleophilicity of the reagent, and the stability of the dihydropyridine.

Pyridines react only with powerful nucleophiles. Thus the action of sodium hydrazide on 2,6-lutidine was reported²²⁷ to give the adduct 47 which was stable in boiling benzene.



The Meisenheimer complexes 48 were prepared^{228, 229} by the action of sodium methoxide on 4-methoxy- or 4-chloro-3,5dinitropyridine. Analogous products or their 1,2 isomers, obtained from other substituted 3,5-dinitropyridines, 230-234 were observed spectroscopically; they readily aromatized to pyridines.

Certain polyclic pyridines are converted into their dihydro derivatives by intramolecular nucleophilic attack, 235-237 e.g.,²³⁸ eq 13. These dihydropyridine anions have found application in a color test for primary amines.



Bisulfite reduction converts a polycyclic pyridine, e.g., 20, into the corresponding dihydropyridine.²³⁹ However, simple pyridinium salts give complex products since the resulting dihydropyridines are themselves able to react with bisulfite (see section VI.C.1).

Pyridinium salts, being more electrophilic than pyridines, react with a variety of nucleophiles. Thus, pyridinium salts

- (228) J. E. Dickenson, L. K. Dyall, and V. A. Pickles, Aust. J. Chem., 21, 1267 (1968).
- (229) P. Bemporad, G. Illuminati, and F. Stegel, J. Amer. Chem. Soc., 91, 6742 (1969).
- (230) C. A. Fyfe, Tetrahedron Lett., 659 (1968).
- (231) G. Illuminati and F. Stegel, ibid., 4169 (1968).
- (232) C. Abbolito, C. Iavarone, G. Illuminati, F. Stegel, and A. Vazzo-ler, J. Amer. Chem. Soc., 91, 6746 (1969).
- (233) R. Schaah, F. Terrier, J. C. Halle, and A. P. Chartrousse, Tetra-hedron Lett., 1393 (1970).
- (234) A. Chartrousse, F. Terrier, and R. Schaah, C. R. Acad. Sci., Ser. C, 271, 1477 (1970).

⁽²²¹⁾ K. Wallenfels and H. Schüly, Angew. Chem., 70, 471 (1958).

⁽²²²⁾ K. Wallenfels and W. Hanstein, Angew. Chem. Intern Ed. Engl., 4, 869 (1965); Angew. Chem., 77, 861 (1965).

⁽²²⁷⁾ T. Kauffmann and H. Hacker, Chem. Ber., 95, 2485 (1962).

⁽²³⁵⁾ G. Vanags and E. I. Stankevich, Zh. Obshch. Khim., 30, 3287 (1960); Chem. Abstr., 55, 21119 (1961).

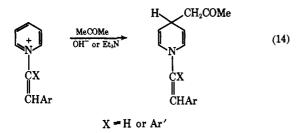
⁽²³⁶⁾ L. Leitis, G. Duburs, M. Simanska, and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 41 (1963); Chem. Abstr., 59, 12182 (1963).

⁽²³⁷⁾ L. Geita and G. Vanags, Zh. Obshch. Khim., 93 (1960); Chem. Abstr., 55, 507 (1961).

⁽²³⁸⁾ G. Duburs and G. Vanags, Dokl. Akad. Nauk SSSR, 134, 1356 (1960); Chem. Abstr., 55, 10438 (1961).

⁽²³⁹⁾ E. I. Stankevich and G. Vanags, D 607 (1961); Chem. Abstr., 56, 4728 (1962). Dokl. Akad. Nauk SSSR, 140,

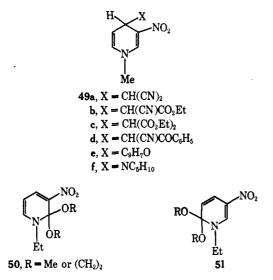
with bulky substituents on the nitrogen react with a number of carbanions derived from ketones, diethyl malonate, or nitromethane^{61,240,241} as shown in eq 14. The structure of the resulting unstable 1,4-dihydropyridines was established spectroscopically.⁶¹



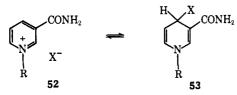
 $H \xrightarrow{CH_2 - CMe}_{NH}$ $H \xrightarrow{CH_2 - CMe}_{NH}$ $H \xrightarrow{NaHCO_3}_{aqueous \ ether}$ $H \xrightarrow{NaHCO_3}_{NC}$ $H \xrightarrow{NaHCO_3}_{S5}$ $H \xrightarrow{H}_{S5}$

OH

1-Methyl-3-nitropyridinium iodide reacted¹³⁹ with carbanions derived from malononitrile, cyanoacetic and malonic esters, phenacyl cyanide, and indanone, and with piperidine, to give the 1,4-dihydropyridines **49a**-f while treatment of the 2- or 6-chloro-3-nitropyridinium salts with sodium methoxide yielded¹⁴⁰ the dihydropyridines **50** and **51**, respectively.



A series of 4-substituted dihydronicotinamides 53 was prepared from the corresponding pyridinium salts 52 with which they are in equilibrium.²²⁵ Thus adducts, assumed to have structures 53, were obtained with nitromethane and with sodium sulfide; the latter product may be oxidized to the corresponding disulfide.²²⁵

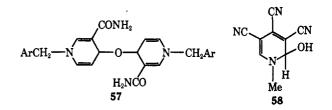


Reaction of a nicotinamide salt 52 with acetone under basic conditions yields an adduct²⁴² the structure of which has been confirmed⁶⁰ as 54. In a related reaction ring closure of 55 to 56 takes place under unusually mild conditions.²⁴⁴ An intra-

- (241) H. Albrecht and F. Kröhnke, ibid., 704, 133 (1967).
- (242) J. W. Huff, J. Biol. Chem., 167, 151 (1947).
- (243) M. Saunders and E. H. Gold, J. Amer. Chem. Soc., 88, 3376 (1966).
- (244) R. M. Wilson and F. DiNinno, Tetrahedron Lett., 289 (1970).

molecular cyclization has been proposed for a 2-pyridone derivative, ²⁴⁵ but no structural evidence has been presented.

The action of hydroxide ion on pyridinium salts was first reported in 1881²⁴⁶ and is further discussed in section VI.A.3. Treatment of 3-substituted pyridinium salts with hydroxide ion has been described by several workers.^{59, 219, 226, 247} The products were too unstable for isolation and tentative structure assignments were made on the basis of uv spectra.^{219, 225} The action of aqueous sodium hydroxide on the nicotinamide salt **52** gave a product formulated⁵⁹ as **57** (for another dimer of similar structure see ref 219). On treatment of **57** with ethanol a cyclic trimer was formed.⁵⁹



The pseudo base 58, prepared from the corresponding pyridinium salt, could be isolated.^{222,223} The structure of an analogous compound derived from a 3,5-diacetyl-1,4-diphenylpyridinium salt has not been established with certainty.²⁰⁶

Treatment of a 1-*tert*-butoxypyridinium salt with methoxide ion yielded 1-*tert*-butoxy-2-methoxy-1,2-dihydropyridine, the structure of which was confirmed²⁴⁸ by nmr.

The reversible addition of sulfite ion to pyridinium salts 52 (X = SO₃⁻) has been investigated by spectroscopy, and the equilibrium constants have been determined²⁴⁹ under various conditions and with other pyridinium substrates. The product was assumed to be the 1,4-dihydropyridine 53. Similarly, arylsulfinate ion reacted with 52 to give a charge-transfer complex whereas 1-benzyl-3-bromopyridinium bromide gave a stable dihydropyridine under these conditions.²⁶⁰

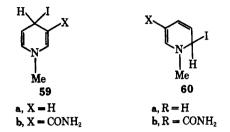
The action of alkaline hydrogen peroxide on the nicotinamide salts $52 \ led^{251}$ to the isolation of secondary products formed from an intermediate dihydropyridine hydroperoxide.

- (246) A. W. Hofmann, Ber., 14, 1497 (1881).
- (247) R. M. Burton and N. O. Kaplan, Arch. Biochem. Biophys., 101, 139 (1963).
- (248) A. R. Katritzky and E. Lunt, Tetrahedron, 25, 4291 (1969).
- (249) G. Pfleiderer, E. Sann, and A. Stock, Chem. Ber., 93, 3083 (1960).
- (250) J. Nadelson, Diss. Abstr., 28B, 1858 (1967).
- (251) D. W. Bristol and D. C. Dittmer, J. Org. Chem., 35, 2487 (1970).

⁽²⁴⁰⁾ F. Kröhnke, K. Ellegast, and E. Bertram, Justus Liebigs Ann. Chem., 600, 176 (1956).

⁽²⁴⁵⁾ O. Mumm and R. Petzold, Justus Liebigs Ann. Chem., 536, 1 (1938).

The nature of pyridinium halides is still an open question. In 1932 Hantzsch²⁵² suggested covalent structures, e.g., **59a** or **60a**, for the yellow modification of 1-methylpyridinium iodide, on the basis of conductivity measurements. Later²⁵³ an equilibrium between **60** and the ionic pyridinium salt was



put forward as a result of uv spectroscopic studies. Such an equilibrium was invoked²²⁵ to explain the solvent effects on the uv spectra of dihydronicotinamides such as **60b**. On the other hand, it has been suggested²⁵⁴ that pyridinium halides are in equilibrium not with dihydropyridines such as **59** or **60** but with charge-transfer complexes. Further uv studies led to a more general theory²⁵⁵ which stated that if a pyridinium salt formed a charge-transfer complex with an anion, attack would take place in the 4 position; if not, attack would take place in the 2 or 6 positions. An alternative view was advanced²⁵⁶ correlating attack at the 2 and 4 positions in pyridines with the hardness and softness, respectively, of the nucleophile.

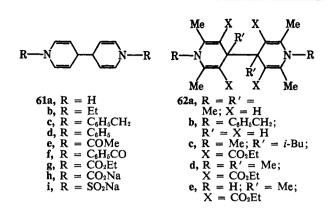
2. One-Electron Reduction

a. Reduction with Metals

Treatment of pyridines or pyridinium salts with metals can result in transfer of one electron into the lowest unoccupied molecular orbital with the formation of a radical intermediate which either dimerizes or else undergoes further reduction. Dimerization tends to take place in solvents of low polarity, but protic solvents are required for formation of monomeric pyridines. Metals such as sodium, sodium amalgam, zinc, or activated aluminum have been commonly used; occasionally magnesium, copper-zinc couple, or chromous salts have been employed.

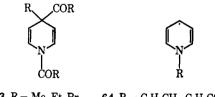
The reaction of pyridine with sodium in aprotic solvents followed by treatment with moist ether yields²⁵⁷ an unstable compound, presumably the tetrahydrobipyridyl **61a**, which could be dehydrogenated to 4,4'-bipyridyl.²⁵⁸ Alkylation of the pyridine-sodium adduct gives a mixture of **61b** or **61c** together with the corresponding alkylpyridinium salt. The alkyl derivatives **61b,c** are obtained more conveniently by reduction of the corresponding alkylpyridinium salts with sodium amalgam^{43, 258-260} or with vanadous chloride.²⁵¹ Analogous tetrahydrobipyridyls **62a-d** have been prepared by

- (253) E. M. Kosower, J. Amer. Chem. Soc., 77, 3883 (1955).
- (254) E. M. Kosower and P. E. Klinedienst, ibid., 78, 3493 (1956).
- (255) E. M. Kosower and P. E. Klinedienst, ibid., 78, 3497 (1956).
- (256) G. Klopman, ibid., 90, 223 (1968).
- (257) B. Emmert, Ber., 50, 31 (1917).
- (258) B. Emmert, ibid., 52, 1351 (1919).
- (259) E. Weitz, A. Roth, and A. Nelken, Justus Liebigs Ann. Chem., 425, 161, 187 (1921).
- (260) J. E. Colchester and J. H. Entwistle (Imperial Chemical Industries), U. S. Patent 3,478,042 (Nov 11, 1969); Chem. Abstr., 72, 31627a (1970).
- (261) J. B. Conant and A. W. Sloan, J. Amer. Chem. Soc., 45, 2466 (1923).



reduction of suitable pyridinium salts²⁶²⁻²⁶⁵ but rigorous structure proof is lacking. A compound resulting from the reduction of a pyridinium salt with amalgamated aluminum was formulated as **62d**,⁴⁴ but later it was regarded³⁷ as a 2,2'tetrahydrobipyridyl. Reinvestigation of this and other^{37,44,265} reduction products might clarify some contradictory findings. Reduction of 1-phenylpyridinium chloride with sodium amalgam yields largely 1-phenyl-1,4-dihydropyridine^{43,67,68,71} with only small quantities of **61d**.^{43,67}

The acylated tetrahydrobipyridyls **61e–g** are best prepared by the action of zinc on pyridine in acetic anhydride^{72, 266–268} or acid chlorides.^{259, 269–271} 4-Alkylpyridines, on the other hand, under these conditions formed²⁷² not the tetrahydrobipyridyls **61**^{72, 266} but the monomeric dihydropyridines **63**. More recently this reaction has been extended²⁷³ to several 4-substituted pyridines which on treatment with zinc and methyl chloroformate yield a variety of products including 1-methoxycarbonyl- and 1,4-dimethoxycarbonyl-1,4-dihydropyridines and 2,2'-tetrahydrobipyridyls. Similarly, 4triphenylsilyl-1,4-dihydropyridine is formed when pyridine is treated with lithium and hexaphenyltrisilane.^{278a}



63, R = Me, Et, Pr 64, $R = C_6H_5CH_2$, C_6H_5CO , MeCO

Treatment of the sodium-pyridine adduct with carbon dioxide²⁷⁴ or sulfur dioxide²¹ has been claimed to give the tetrahydrobipyridyls **61h,i**, but no structure proof was given.

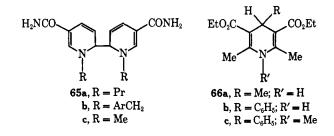
- (262) B. Emmert and O. Varenkamp, Ber., 56, 491 (1923).
- (263) B. Emmert and O. Werb, ibid., 55, 1352 (1922).
- (264) O. Mumm, O. Roder, and H. Ludwig, ibid., 57, 865 (1924).
- (265) O. Mumm and H. Ludwig, ibid., 59, 1605 (1926).
- (266) O. Dimroth and R. Heene, ibid., 54, 2934 (1921).
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 (273) P. M. Atlani and J. F. Biellmann, C. R. Acad. Sci., Ser. C, 271, 688 (1970); Chem. Abstr., 74, 22667 (1971).
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- (274) W. E. Kramer, L. A. Joo, and R. M. Haines, U. S. Patent, 3,147,-262 (1964); Chem. Abstr., 61, 13291 (1964).

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The formation of dimers such as 61 or 62 is supported by molecular orbital calculations²⁷⁵ which show that 64 is a true representation of the π electron distribution in the intermediate radical; dimerization of two radicals 64 then leads to 61.

A long-standing debate concerning the reversible dissociation of 61 to the radicals 6472, 259, 266, 267, 276-278 has been settled.²⁶⁸ Modern techniques have established the absence of free radicals. The color of the "yellow form" obtained from 61e on heating has been shown²⁶⁸ to be due to small amounts of the colored 4,4'-dihydrobipyridyl. The thermolysis of tetrahydrobipyridyls is discussed in section IV.C.4.

Reduction of nicotinamide salts 52 with zinc-copper couple or magnesium or chromous salts yielded²⁷⁹ a dimer which was formulated as the 6,6'-tetrahydrobipyridyl 65. Recent nmr data do not entirely rule out the 4,4'-tetrahydrobipyridyl structure.148



In other instances reduction with metals yields monocyclic dihydropyridines. Treatment of pyridine with sodium in alcohol followed by hydroxylamine led²⁸⁰ to the isolation of glutaraldehyde oxime; alkylpyridines behaved similarly²⁸¹ (see section VI.E). Reduction of certain Hantzsch pyridines with sodium amalgam²⁶⁵ or amalgamated aluminum⁴⁴ yielded the monocyclic dihydropyridines 66a,b; 66c was similarly obtained from the corresponding pyridinium salt.³⁷ Diethyl 2,6dimethyl-1,4-dihydropyridine-3,4-dicarboxylate was likewise obtained by reduction with amalgamated aluminum.^{37,44} The reduction with sodium amalgam of 1-phenylpyridinium chloride 43,67,68,71 has been discussed above; similar results were obtained with 1-p-methoxyphenylpyridinium68 and 1methyl-4-phenylpyridinium²⁸² salts although the structures of the resulting 1,4-dihydropyridines have not been established with certainty.

Reduction of pyridinium salts with chromous ion is abnormal²⁸³ since their half-wave potentials lie above that of the reducing agent. No explanation for this behavior has been advanced.

b. Electrolytic Reduction

Electrolytic reduction of 1-ethyl- and 1-benzylpyridinium salts at a platinum electrode gave²⁸⁴ the dimers 61b and 61c, re-

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- (280) B. D. Shaw, J. Chem. Soc., 215 (1925).
- (281) B. D. Shaw, ibid., 300 (1937).
- (282) B. Emmert and O. Varenkamp, Ber., 55, 2322 (1922).
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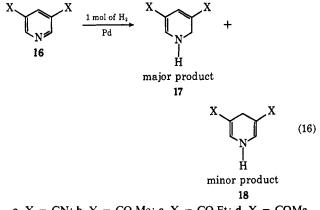
spectively, and several electrochemical preparations of dihydronicotinamides have been described. 152, 154, 285 Electrolysis of the salt of 1-methylnicotinamide at controlled potentials permitted the isolation of either 1-methyl-1,4-dihydronicotinamide or the 6,6'-tetrahydrobipyridyl 65c according to eq 15. The corresponding 1-propyl derivative is said²⁸⁶ to give the 4,4'-tetrahydrobipyridyl. However, this work conflicts with earlier¹⁵⁴ results and characterization of the products leaves something to be desired.

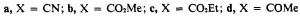
$$65c \quad \underbrace{\stackrel{e^-}{\underset{-1.2 \text{ V}}{\leftarrow}}}_{\text{Me}} \quad \underbrace{\stackrel{\text{CONH}_2}{\underset{-1.8 \text{ V}}{\leftarrow}}}_{\text{Me}} \quad \underbrace{\stackrel{2e^- + \text{H}^+}{\underset{-1.8 \text{ V}}{\leftarrow}}}_{\text{Me}} \quad \underbrace{\stackrel{\text{CONH}_2}{\underset{-1.8 \text{ V}}{\leftarrow}}}_{\text{Me}} \quad (15)$$

c. Catalytic Hydrogenation

This method is somewhat limited and has so far been used only for the preparation of some 3,5-disubstituted 1,2-dihydropyridines which are not accessible by other means. Hydrogenation must be carried out under controlled^{87, 287, 288} conditions since the resulting dihydropyridines can undergo reduction (see section VI.B.1) or disproportionation²⁸⁷⁻²⁸⁹ (see section VI.A.3).

Hydrogenation of the disubstituted pyridines 16a-d yields products which consist mainly of the 1,2-dihydropyridines 17a-d with only small amounts of the 1,4-dihydro isomers 18a-d as shown in eq 16.





Substitution of methyl groups, particularly in the 2,6 positions of 16, reduces the rate of hydrogen uptake, and disproportionation and other reactions become competitive so that complex mixtures result.^{288, 290} Pentasubstituted pyridines do not take up hydrogen under these conditions.

Reduction of a substituent can compete with hydrogenation of the ring as shown²⁸⁸ in eq 17.

Hydrogenation of the polycyclic compounds 67a,b takes place²⁹¹ with formation of the corresponding 1,4-dihydro-

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- (288) U. Eisner, Chem. Commun., 1348 (1969).
- (289) E. Knoevenagel and J. Fuchs, Ber., 35, 1788 (1902).
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⁽²⁷⁶⁾ E. Weitz and A. Nelken, Justus Liebigs Ann. Chem., 425, 187 (1921).

⁽²⁷⁷⁾ R. L. Frank, F. Pelletier, and F. W. Starks, J. Amer. Chem., Soc., 70, 1767 (1948). (278) E. Weitz, Angew. Chem., 66, 658 (1954).

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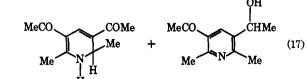
⁽²⁸⁶⁾ J. N. Burnett and A. L. Underwood, J. Org. Chem., 30, 1154 (1965).

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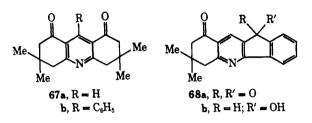
MeCO

Me

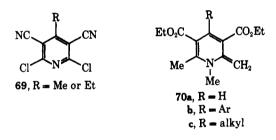




pyridine while under the same conditions **68a** is converted²⁹² into **68b**.



Hydrogenation of the dichloropyridines **69** yields the corresponding 4-alkyl-3,5-dicyano-1,2-dihydropyridines;²⁹³ hydrogenolysis of the chlorine takes place prior to reduction of the ring.

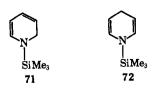


Hydrogenation of the pyridinemethenes 70 has been used 50, 52, 65, 224 for the preparation of the corresponding 1,2dihydropyridines. However, Karrer⁵² has shown that the products were mixtures containing both 1,2- and 1,4-dihydropyridines. Some of the early work²⁶⁵ might be usefully reinvestigated.

Pyridinium salts are reduced to tetrahydropyridines via 1,2or 1,4-dihydropyridines which have been detected spectroscopically.¹⁸⁴

d. Silylation

Trimethylsilylation of pyridine by trimethylsilane in the presence of palladium^{29 4, 295} leads to complex mixtures from which the dihydropyridines 71 and 72 were isolated. Methanolysis of 72 gave the parent 1,4-dihydropyridine. The pico-lines were also converted into the corresponding 1-trimethyl-



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- (293) R. Lukeš and J. Kuthan, Collect. Czech. Chem. Commun., 25, 2173 (1960).
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silyl dihydro derivatives, the reactivity being in the order 3-picoline > 4-picoline > 2-picoline.²⁹⁵ A free-radical mechanism was suggested for trimethylsilylation. Hexachlorodisilane adds to pyridine in a similar manner.^{295a}

e. Miscellaneous

In the presence of acid chlorides pyridine reacts with acenaphthenone, ²⁹⁶ acetophenone, ¹¹ homophthalic anhydride, ²⁹⁷ indoles, ^{298-301a} N-formylalanine, ³⁰² and 5-acyloxyoxazoles ³⁰³ to yield 1,4-disubstituted 1,4-dihydropyridines as exemplified ¹¹ in eq 18.

$$\begin{array}{c} & & \\ & &$$

The action of dimethylaniline and benzoyl chloride gave³⁰⁴ 4-(*p*-dimethylaminophenyl)pyridine; the intermediate dihydropyridine could not be isolated. On treatment with benzoyl chloride in dimethylformamide 4-picoline afforded³⁰⁵ 1benzoyl-4-methyl-1,4-dihydropyridine, whereas addition of 4-acyloxyoxazoles to 4-substituted pyridines resulted³⁰³ in the formation of a 1,2-dihydropyridine. In a related reaction pyridine and acetic anhydride in the presence of niacytin or oxidized pyrrole degradation products yielded²⁰⁶ the unstable 1-acetyl-1,2-dihydropyridine-2-acetic acid.

An ionic mechanism was proposed for this type of reaction, ^{297, 303, 307} but more recently a mechanism involving radicals has been suggested ²⁷⁵ based on semiempirical LCAO-MO methods (see also ref 272). Mechanistic studies of this kind of reaction seem desirable.

Dihydropyridines have been postulated ^{308, 309} as intermediates in the transformation of pyridinyl radicals.

Pyridine reacts with silver phenylacetylide in the presence of benzoyl chloride to afford³¹⁰ the acetylenic 1,2-dihydropyridine **73a** and with methyl propiolate to give³¹¹ **73b**. Hydrogen

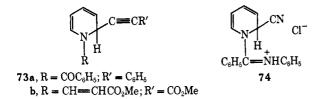
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- (297) J. Schnekenburger, Arch. Pharm. (Weinheim), 298, 722 (1965); Chem. Abstr., 64, 3469 (1966). (208) H. von Dobeneck, H. Deubel, and F. Heichele, Angew. Chem. 71
- (298) H. von Dobeneck, H. Deubel, and F. Heichele, Angew. Chem., 71 310 (1959).
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⁽³⁰¹⁾ A. S. Bailey, N. C. Chum, and J. J. Wedgewood, Tetrahedron Lett., 5953 (1968).

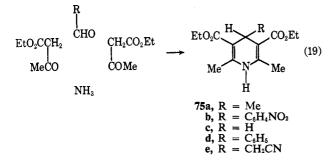
cyanide and the chloroimidate $C_6H_5CC_6H_5$ react³¹² with pyridine with the formation of 74.



B. HANTZSCH SYNTHESIS AND RELATED CONDENSATIONS

1. Hantzsch Synthesis

The original Hantzsch dihydropyridine synthesis¹ consisted of the reaction of ethyl acetoacetate with aldehyde-ammonia which affords 75a as shown in eq 19. This method has been



widely used for the preparation of the dihydropyridines 75 where R is an aliphatic. 80, 313-324 aromatic, 16, 19, 324-338 or heterocyclic^{16-19, 325, 339-342} residue. α,β -Unsaturated alde-

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- (332) L. E. Hinkel, E. E. Ayling, and W. H. Morgan, ibid., 1835 (1931).
- (333) L. E. Hinkel, E. E. Ayling, and W. H. Morgan, ibid., 1112 (1932).
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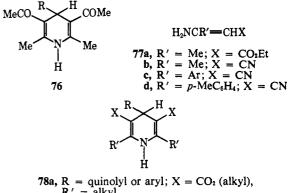
hydes^{48,324} and glyoxylic acid^{175,343,344} have also been used in place of acetaldehyde.

1,3-Diketones have occasionally been used^{57, 836, 846, 846} instead of ethyl acetoacetate to give 3,5-diacyl-1,4-dihydropyridines 76.

The Hantzsch synthesis is here defined as the reaction of an aldehyde with an active methylene compound and ammonia (or a primary amine). The reaction is usually carried out by warming the reagents in alcohol, and yields are good to excellent. For a summary of work up to 1957 see ref 8, pp 500 and 510; included are tables listing reagents, products, conditions, yields, and references.

2. Use of Enamines

It was soon found³⁴⁷ that ethyl 3-aminocrotonate (77a) could replace ethyl acetoacetate, and this modification has been used, for example, to prepare a series of dihydropyridines 78a of medicinal interest.^{17–19} Application of this method to



b,
$$X = CN$$
; $R' = Me$
c, $X = CN$; $R' = Ar$

the preparation of 75b resulted in improved yields.¹⁶ Reaction of terephthalaldehyde with 77a gave the corresponding bisdihydropyridine.³⁴⁸ In some instances an aldehyde has been treated with a 1:1 mixture of ethyl acetoacetate and 77a, 17, 19, 327, 349-351 although this method does not appear to have any significant advantages. Details on the above reactions are given in ref 8, pp 522 and 523.

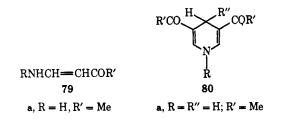
The use of 3-aminocrotononitrile (77b) leads to 3,5-dicyano-1,4-dihydropyridines 78b. 35 2-357 An improved method has

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been described. 358, 359 Similarly, 3-aryl-3-aminocrotononitriles (77c) afforded the related 2,6-diaryldihydropyridines. 356, 360-362 Interestingly, reaction of salicylaldehyde with 3-aminocrotononitrile (77b) gave a product $C_{18}H_{14}N_2O_2$ (*i.e.*, $2C_7H_6O_2$ + $C_4H_6N_2 - 2H_2O$ instead of the expected dihydropyridine; however, the aryl derivative 77d reacted normally.³⁵⁶ Ketones, 360 chloromethyl ketones, 363 and glyoxylic acid 175, 344, 364 have been used instead of aldehydes; condensation is carried out in the presence of mineral acid. For summaries see ref 8, pp 523 and 527.

More recently, 3,5-diacyldihydropyridines lacking substituents in the 2,6 positions, 80, have been prepared from β amino- α,β -unsaturated ketones 79 in the presence of piperidine or acetic acid.85, 121, 365-368 An alternative preparation of 80a involved the sodium salt of acetoacetaldehyde, ammonium



chloride, and hydrochloric acid. 369, 370

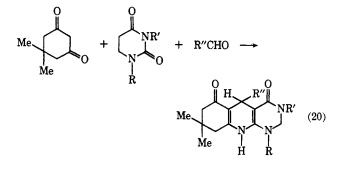
Substituted 3-aminocyclohex-2-enones have been condensed³⁷¹ with aldehydes in acetic acid to give polycyclic dihydropyridines.

The use of two different enamines permits isolation of unsymmetrical dihydropyridines^{3 49, 350, 372, 373} (see ref 8, p 523). In one case³⁶¹ the symmetrical 3,5-dicyanodihydropyridine 78a was obtained as a by-product.

Another method for preparing unsymmetrical 1,4-dihydropyridines consists of the condensation of aldehydes with cyclic 1,3-diketones such as cyclohexane-1,3-dione,³⁷⁴ dimedone. 375, 376 or indan-1,3-dione 377, 378 and the enamines 77a,

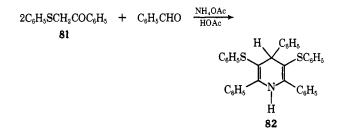
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- (377) E. I. Stankevich and G. Vanags, Dokl. Akad. Nauk, SSSR, 140, 607 (1961); Chem. Abstr., 56, 4728 (1962).
 (378) G. Duburs and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 311 (1962); Chem. Abstr., 59, 6356 (1963).

77b, or 79. 4-Aminouracil derivatives have similarly been condensed with an aldehyde and dimedone³⁷⁹ as shown in eq 20. No symmetrical dihydropyridines have been found in any of these reactions.

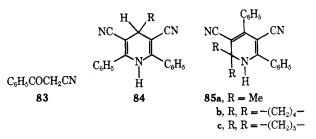


3. Use of Ammonium Acetate-Acetic Acid

This method sometimes works with active methylene compounds which do not react under the conditions of the Hantzsch synthesis. Thus 81 was converted⁷⁹ into the dihydropyridine 82, and ω -cyanoacetophenone (83) reacted with aldehydes to give 84. Surprisingly acetone, cyclopentanone, or cyclohexanone reacted³⁸⁰ with 83 in the presence of ammo-



nium acetate to give the 1,2-dihydropyridines 85a-c. Analogously, acetone reacted with the sodium salt of acetoacetaldehyde and ammonium chloride to give 3,5-diacetyl-2,2-di-



methyl-1,2-dihydropyridine; with aldehydes instead of acetone the 1,4-dihydropyridines 80 were formed.³⁷⁰ These are the only authenticated instances of the formation of a 1,2-dihydropyridine in a Hantzsch-type synthesis (but see ref 333).

Cyclohexane-1,3-dione³⁷⁴ and dimedone³⁸¹ afforded polycyclic 1,4-dihydropyridines with aldehydes and ammonium acetate-acetic acid.

4. Use of 1.5-Diketones

Since many active methylene compounds react with aldehydes to give 1,5-diketones, this behavior was exploited in another

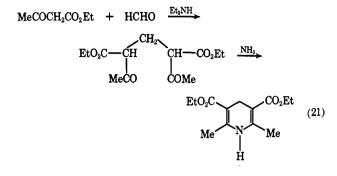
⁽³⁵⁸⁾ A. Courts and V. Petrow, J. Chem. Soc., 1 (1952).

⁽³⁷⁹⁾ E. E. Grinshtein, E. I. Stankevich, and G. Duburs, Khim. Geterot-sikl. Soedin., 395 (1967); Chem. Abstr., 70, 87768 (1969).

⁽³⁸⁰⁾ A. Sakurai and H. Midorikawa, Bull. Chem. Soc. Jap., 42, 220 (1969).

⁽³⁸¹⁾ G. Vanags and E. I. Stankevich, Zh. Obshch. Khim., 30, 3287 (1960); Chem. Abstr., 55, 21119 (1961).

variation on the Hantzsch synthesis^{345, 382-390} (see ref 8, p 302). A typical example is given^{318, 391} in eq 21; the diketone is

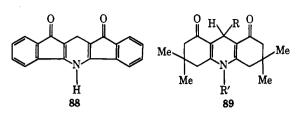


used here without isolation. 3,3-Dimethylglutaraldehyde has been used in a similar cyclization. 392

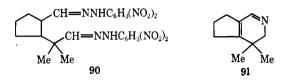
The early literature is full of contradictions with regard to this reaction. Some 1,5-diketones were said to give dihydropyridines with ammonia while others did not. 385, 387, 393 This confusion was cleared up only recently when it was shown³⁹⁴ that many so-called 1,5-diketones were in fact 3-hydroxycyclohexanones, i.e., products of a subsequent intramolecular aldol condensation. The action of ammonia on the diketone 86 gave the isolable intermediate 87 which cyclized with concomitant dehydrogenation to 2,4,6-triphenylpyridine rather than to the expected dihydropyridine.³⁸⁷

Ammonium acetate-acetic acid serves as an excellent reagent for the ring closure of 1,5-diketones. 78, 79, 335, 395, 396 Representative of a number polycyclic 1,4-dihydropyridines which have been prepared under these conditions are 88 and 89. These arise from the condensation products of aldehydes with indan-1,3-dione 46, 88, 89, 378, 397-401 and dimedone, 239 respectively.

- (383) E. Scholtz, Ber., 30, 2295 (1897).
- (384) D. Vorlander, Justus Liebigs Ann. Chem., 309, 348 (1899).
- (385) P. Rabe and F. Elze, ibid., 332, 18 (1904).
- (386) A. Baeyer, J. Piccard, and W. Gruber, ibid., 407, 332 (1915).
- (387) K. W. Merz and H. Richter, Arch. Pharm. (Weinheim) 275, 294 (1937); Chem. Abstr., 31, 7059 (1937).
- (388) F. Micheel and W. Möller, Justus Liebigs Ann. Chem., 670, 63 (1963)
- (389) A. Rieche and C. Bischoff, Chem. Ber., 96, 2607 (1963).
- (390) J. W. Lewis, P. L. Myers, and M. J. Readhead, J. Chem. Soc. C, 771 (1970).
- (391) E. Mohr and W. Schneider, J. Prakt. Chem., 69, 245 (1904).
- (392) E. M. Kosower and T. S. Sorensen, J. Org. Chem., 27, 3764 (1962).
- (393) E. Knoevenagel, Ber., 36, 2180 (1903). (394) D. Wilson, J. Org. Chem., 28, 314 (1963).
- (395) A. Peres de Carvalho, Ann. Chim. (Paris), [11] 4, 449 (1935); Chem. Abstr., 30, 2189 (1936).
- (396) R. Rehberg and F. Kröhnke, Justus Liebigs Ann. Chem., 717, 91 (1968).
- (397) L. Geita and G. Vanags, J. Gen. Chem. USSR, 27, 1058 (1957); Chem. Abstr., 53, 4232 (1959).
- (398) G. Duburs and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 119 (1962); Chem. Abstr., 59, 5128 (1963).
- (399) G. Duburs and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 287 (1962); Chem. Abstr., 59, 6356 (1963).
- (400) L. Geita and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 235 (1962); Chem. Abstr., 59, 6355 (1963).
- (401) G. Vanags and E. J. Ozola, Zh. Obshch. Khim., 32, 1151 (1962); Chem. Abstr., 58, 2430 (1963).



Acid treatment of the 2,4-dinitrophenylhydrazone 90 has been reported³⁵ to afford the 2,3-dihydropyridine 91 in low yield; presumably 2,4-dinitroaniline is eliminated in this reaction. In the absence of a quaternary carbon in the dialdehyde derivative, the pyridine is formed.

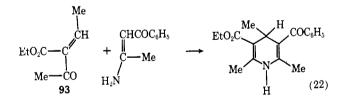


3-Aminocrotononitrile, (77b) reacted 402 with aromatic aldehydes to give the bis-enamines 92 which cyclized to the dihydropyridines 78b in acid medium (see ref 8, p 309).

$$H_2NCMe = C(CN)CHArC(CN) = CMeNH_2 \xrightarrow{H^+} 78b$$
92

5. Use of α,β -Unsaturated Ketones

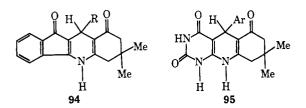
Aldehydes may be condensed with active methylene compounds to give α,β -unsaturated ketones such as 93. These can react with an enamine, or a ketone and ammonia, to give an unsymmetrical 1,4-dihydropyridine; e.g., 403 see eq 22.



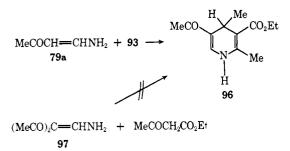
There are many examples of this reaction which are known, 57, 336, 358, 359, 40 4- 409 and the older results are summarized in ref 8, p 449. Sometimes this method gives good results when the usual Hantzsch synthesis fails, as in the case of an ortho-substituted aromatic aldehyde.358 Arylidene derivatives of indan-1,3-dione^{371,378,410} and of barbituric acid³⁷⁹ have been treated with enamines to give polycyclic 1,4-dihydropyridines such as 94 and 95, respectively.

- (402) E. Mohr, J. Prakt. Chem., 56, 124 (1897).
- (403) C. Beyer, Ber., 24, 1662 (1891).
- (404) E. Knoevenagel and W. Ruschhaupt, ibid., 31, 1025 (1898).
- (405) B. Flürscheim, ibid., 34, 787 (1901).
- (406) U. Basu, J. Indian Chem. Soc., 8, 319 (1931); Chem. Abstr., 26, 458 (1932).
- (407) N. Palit and J. N. Chatterjea, J. Indian Chem. Soc., 27, 667 (1950);
 (408) J. N. Chatterjea, J. Indian Chem. Soc., 29, 323 (1952); Chem. Abstr., 47, 9972 (1953).
- (409) J. A. Berson and E. Brown, J. Amer. Chem. Soc., 77, 750 (1955).
- (410) E. I. Stankevich and G. Vanags, Zh. Obshch. Khim., 32, 1147 (1962); Chem. Abstr., 58, 2429 (1963).

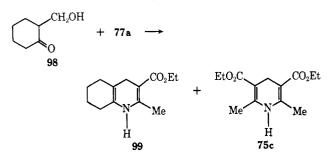
⁽³⁸²⁾ E. Knoevenagel, Justus Liebigs Ann. Chem., 281, 94 (1894).



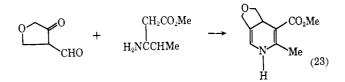
Ketones⁴¹² or 1,3-diketones⁴¹¹ and ammonia can be used instead of an enamine. The reaction of 93 with 4-aminobuten-2-one (79a) gives the dihydropyridine 96, whereas ethyl acetoacetate and the enamine 97, which should give the same dihydropyridine, instead afford a mixture of pyridines.⁴¹³



Somewhat related is the reaction of 2-hydroxymethylcyclohexanone (98) with ethyl 3-aminocrotonate (77a) which yields⁴¹⁴ a mixture of 99 and 75c, the latter presumably arising from 77a and formaldehyde (formed on hydrolysis of 98).



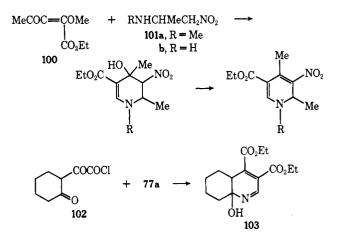
The following two reactions are somewhat remote from the Hantzsch synthesis but are included at this point because they involve the condensation of amines with ketones. They are the reaction (eq 23) of a β -ketoaldehyde with a β -amino ester,⁴¹⁵ which was formulated by the authors as a 1,4-dihydropyri-



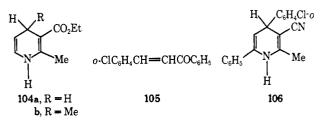
dine, and the condensation ⁴¹⁶ of the enol ether **100** with **101a**. With **101b** only an acyclic product is formed.

The structure of the putative hydroxydihydropyridine 103, formed from 102 and ethyl 3-aminocrotonate (77a), is not in accord with its reported properties⁴⁰ and should probably be revised.

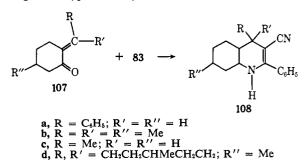
- (412) E. Knoevenagel, Justus Liebigs Ann. Chem., 281, 25 (1894).
- (413) H. Henecka, Chem. Ber., 82, 41 (1949).
- (414) J. Kenner, W. H. Ritchie, and R. L. Wain, J. Chem. Soc., 1526 (1937).
- (415) P. G. Stevens, U. S. Patent, 2,734,063 (1956); Chem. Abstr., 50, 13099 (1956).
- (416) C. A. Grob and K. Camenisch, Helv. Chim. Acta, 36, 37 (1953).



Whereas α -carbonyl- α , β -unsaturated ketones, e.g., 93, give dihydropyridines with ketones and ammonia, simple α,β -unsaturated ketones give pyridines.^{354,417} The initially formed dihydropyridine either undergoes disproportionation or it is dehydrogenated by the unsaturated ketone; evidence for both pathways exists (see ref 8, p 436). There are a few exceptions, however. Thus acrolein or crotonaldehyde condense⁴¹⁸ with ethyl 3-aminocrotonate (77a) in the presence of piperidine to give the dihydropyridines 104a and 104b, respectively. Acrolein similarly reacts⁴¹⁹ with 3-aminocrotononitrile (77b). The latter compound condenses with chalcone to yield 3-cyano-4,6-diphenyl-2-methyl-1,4-dihydropyridine⁴⁰⁸ and with the chalcone derivative 105 to give 106. The meta and para isomers of 105 give pyridines under the same conditions. 417



Chalcone and ω -cyanoacetophenone (83) gave a mixture of 3-cyano-2,4,6-triphenyl-1,4-dihydropyridine and the corresponding pyridine.⁷⁹ The cyclohexanone derivatives 107a and 107b afforded the dihydropyridines 108a and 108b, respectively, on treatment with 83, but 107c yielded the corresponding pyridine.³⁸⁰ 4-Methylcyclohexanone reacted with 83 to give 108d, presumably³⁸⁰ via 107d.



⁽⁴¹⁷⁾ J. N. Chatterjea and K. Prasad, J. Sci. Ind. Res., 14B, 383 (1955); Chem. Abstr., 50, 13908 (1956).

⁽⁴¹¹⁾ E. I. Stankevich and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 283 (1962); Chem. Abstr., 59, 6356 (1963).

⁽⁴¹⁸⁾ K. Tsuda, Y. Satch, N. Ikekawa, and H. Mishima, J. Org. Chem., 21, 800 (1956).

⁽⁴¹⁹⁾ Y. Sato and T. Nashimura, Takamine Kenkyusho Nempo, 10, 27 (1958); Chem. Abstr., 55, 2634 (1961).

Simple aldehydes or ketones and ammonia generally give pyridines (ref 8, p 474). However, with primary amines or imines dihydropyridines are sometimes obtained. Thus propionaldehyde reacts with aniline,⁶⁹ *n*-butylamine,⁴²⁰ or *n*-butylidenebenzylamine^{70,421} to give a compound which was variously described as 3,5-diethyl-2-propyl-1,2-⁷⁰ or 1,4-⁶⁹ dihydropyridine. Recent work^{26,421a} has unequivocally established the former to be correct.

Acetone reacts with ammonia to give a compound believed to be 2,2,4,6-tetramethyl-1,2-dihydropyridine, 422-425 although its structure has not been rigorously proved. Other ketones give mixtures of dihydropyridines with ammonia. 423,424

Propionaldehyde and ammonium acetate react ⁴²³⁸ to give 3,5-diethyl-2-propylpyridine via a 2,3-dihydropyridine.

6. Source of Nitrogen

The source of nitrogen in the Hantzsch and related syntheses is usually ammonia or ammonium acetate although formamide has also been used.³⁴⁶ Occasionally aldehyde-ammonia adducts, *e.g.*, hexamethylenetetramine^{426,427} or aldehydeammonia,^{1,428} have been employed.

The use of primary amines instead of ammonia in the Hantzsch synthesis is rare; ³³⁸ yields are reported to be low⁴⁷ or the reaction fails completely. ^{52, 429} Better results are obtained by first forming the substituted enamines RNHCH=CHX, where $X = CO_2Et$, ⁴³⁰⁻⁴³³ CN, ³⁶³ or COMe. ^{85, 366} Benzalaniline, which supplied both benzaldehyde and aniline, gave a dihydropyridine with ethyl acetoacetate. ^{329, 431} 1,5-Diketones are cyclized by primary amines, ^{239, 337, 392, 411, 433} including hydrazine. ^{386, 387} The action of hydroxylamine on 1,5-diketones affords pyridines (ref 8, p 307).

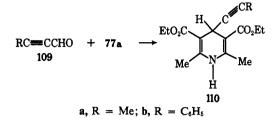
The dianils $CH_2(MeCOC \longrightarrow CHNHAr)_2$ are converted into N-substituted 1,4-dihydropyridines by hydrochloric acid.³⁶⁸ Ethyl 3-methylaminocrotonate and ethyl ethylideneaceto-acetate (93) failed to give a dihydropyridine.⁴³⁴

7. The Aldehyde Component

Aldehydes are sometimes used in combination with amines as mentioned above. 1,2-Dichloroethyl ethyl ether has been used as a source of chloroacetaldehyde^{432,436,436} and certain geminal dihalides can take the place of formaldehyde or acetalde-

- (423) N. V. de Bataafsche, British Patent, 640,189 (1950); Chem. Abstr. 44, 10739 (1950).
- (423a) H. B. Charman and J. M. Rowe, Chem. Commun., 476 (1971). (424) V. E. Haury, U. S. Patent, 2,516,625 (1950); Chem. Abstr., 45, 670 (1951).
- (425) N. C. Hancox, Aust. J. Chem., 6, 143 (1953).
- (426) P. Griess and G. Harrow, Ber., 21, 2740 (1888).
- (427) M. Jonescu and V. N. Georgescu, Bull. Soc. Chim. Fr., [4] 41, 692 (1927); Chem. Zentr., II, 832 (1927).
- (428) A. Hantzsch, Ber., 16, 1946 (1883).
- (429) C. Paal and C. Strasser, ibid., 20, 2756 (1887).
- (430) O. Kuckert, ibid., 18, 618 (1885).
- (431) B. Lachowicz, Monatsh., 17, 343 (1896).
- (432) E. Benary, Ber., 44, 489 (1911).
- (433) J. G. Erickson, J. Amer. Chem. Soc., 67, 1382 (1945).
- (434) E. Knoevenagel and E. Reinecke, Ber., 32, 418 (1899).
- (435) E. Benary, ibid., 51, 567 (1918).
- (436) E. Benary and G. Löwenthal, ibid., 55, 3429 (1922).

hyde.⁴³⁷ Ketones³⁶⁰ and chloromethyl ketones³⁶³ react with 3-aminocrotononitrile (77b) in the presence of mineral acid. Acetylenic aldehydes **109a** and **109b** reacted with ethyl 3aminocrotonate, (77a) to give the dihydropyridines **110a** and **110b**, respectively.⁴³⁸



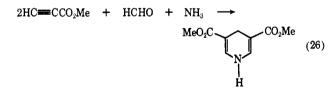
Propargylaldehyde and ethinyl ketones underwent a totally different reaction⁴³⁸ (eq 24). Propiolic acid, on the other hand,

$$\begin{array}{ccc} CH & CHR' & H \\ \parallel & \parallel & \parallel \\ C & + & H_2 NCR'' & \longrightarrow \begin{array}{c} HC & CC \\ \parallel & HC & CC \\ RCO & H_2 N & CR'' \end{array} \rightarrow \begin{array}{c} R & R' \\ R & R'' \\ RCO \end{array}$$
(24)

does not give a pyridone in an analogous reaction, but instead gives a 4-methyl-1,4-dihydropyridine⁴³⁹ as shown in eq 25. A mechanism for this reaction has been proposed by the authors.

$$HC = CCO_{2}H + 77a \rightarrow \underbrace{EtO_{2}C}_{Me} \xrightarrow{H}_{Me} CO_{2}Et \\ H \\ H \\ 75a \qquad (25)$$

In contrast, methyl propiolate¹³⁸ reacted with hexamethylenetetramine to give dimethyl 1,4-dihydropyridine-3,5dicarboxylate according to eq 26.



8. By-Products

Dihydropyridines have occasionally been formed as unexpected by-products in various reactions.⁴⁴⁰⁻⁴⁴² On the other hand, by-products in dihydropyridine syntheses are rare. Ethyl 3-anilinocrotonate (111) reacted⁴³³ with benzaldehyde to give 112 as well as the expected dihydropyridine 113. Presumably 112 is formed by C-alkylation of the enamine 111 with benzaldehyde or benzalaniline, followed by reaction with a molecule of benzalaniline or benzaldehyde, and cyclization.

(437) E. Benary, ibid., 46, 1375 (1913).

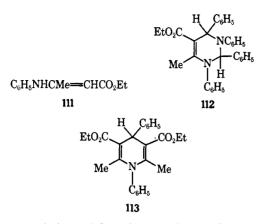
- (438) F. Bohlmann and D. Rahtz, Chem. Ber., 90, 2265 (1957).
- (439) G. Schroll, S. P. Nygaard, S. O. Lawesson, A. M. Duffield, and C. Djerassi, Ark. Kemi, 29, 525 (1968).
- (440) I. Guareschi, Atti Reale Accad. Sci. Torino, 32, 11; Chem. Zentr., I, 927 (1897).
- (441) L. E. Hinkel and D. H. Hey, Recl. Trav. Chim. Pays-Bas, 48, 1280 (1929).
- (442) N. Palit, J. Indian Chem. Soc., 14, 219 (1937).

⁽⁴²⁰⁾ G. N. Burkhardt and P. K. Bingham, Research (London), 2, 244 (1949); Chem. Abstr., 44, 1109 (1950).

⁽⁴²¹⁾ E. V. Gluesenkamp and T. M. Patrick, U. S. Patent, 2,704,759 (1955); Chem. Abstr., 50, 1926 (1956).

⁽⁴²¹a) G. Crow, E. Michener, and K. C. Ramey, *Tetrahedron Lett.*, 3653 (1971).

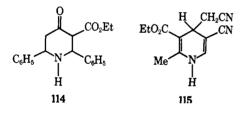
⁽⁴²²⁾ E. Matter, Helv. Chim. Acta, 31, 612 (1948).



A compound, formed from benzaldehyde, ethyl acetoacetate, and ammonia, which was assigned⁴³¹ the structure C_6H_5 -CH=NCH(C_6H_5)NHCMe=CHCO₂Et, is more likely to be a tetrahydropyrimidine analogous to **112**.

Ethyl acetoacetate, benzaldehyde, and ammonium acetate in acetic acid afforded³²² the piperidone **114** and not the expected dihydropyridine **75d**; with aliphatic aldehydes the dihydropyridines **75** were obtained under these conditions.

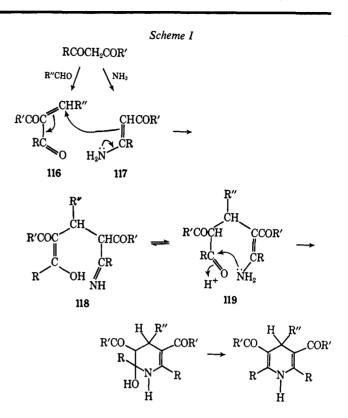
Cyanoacetaldehyde reacted ³²³ with ethyl 3-aminocrotonate (77a) to give not only the expected dihydropyridine 75e but also 115, resulting from the condensation of 2 mol of the aldehyde with one of 77a.



9. Reaction Conditions and Mechanism

Conditions for dihydropyridine synthesis vary widely and range from basic media, as used in the original Hantzsch method, to strong acid solution required for the reaction of 3-aminocrotononitrile with ketones. An early investigation⁴⁴³ showed that ethyl acetoacetate, formaldehyde, and ammonia formed a dihydropyridine both in acid and in basic solution. In a more systematic study³⁴⁶ it was established that acetylacetone, acetaldehyde, and ammonia reacted in aqueous solution at pH 5.5–9.3 with an optimum yield at pH 6.6–8.5, and ethyl acetoacetate, acetaldehyde, and ammonia yielded the dihydropyridine **75a** at pH 6–10 with an optimum yield at pH 8.5. Similar results using aromatic aldehydes were reported.³²⁴ Another study⁴⁴⁴ contradicts this work with the observation that good yields of **75a** could be obtained at pH 3.25–5.0.

A series of substituted benzaldehydes has been allowed to react with ethyl acetoacetate under a set of standardized conditions, and the effect of the substituents on the isolated yield of dihydropyridines has been determined.^{327,328,331,333,334} In general, the yields could be directly correlated with the electron-withdrawing capacity of the substituent. Yields are lowered with ortho-substituted benzaldehydes because of steric effects (for examples of failure of reactions with orthosubstituted benzaldehydes see ref 356 and 409). Meta-sub-



stituted benzaldehydes give slightly higher yields than the corresponding para-substituted derivatives. Similar yield vs. substituent correlations have also been reported for aliphatic aldehydes.³²⁰ It is doubtful that such experiments are very meaningful, but no kinetic or other mechanistic studies have been carried out on this reaction.

The mechanism of the Hantzsch reaction was proposed very early^{393,403,445} and has changed little.^{346,446} It may be depicted as shown in Scheme I.

The active methylene compound reacts with an aldehyde to give **116** and with ammonia to give **117**. Michael addition of these results in the tautomeric system **118** which undergoes cyclization to the hydroxytetrahydropyridine **119** followed by loss of water.

The available evidence is based largely on isolated intermediates. Thus it is well established that the unsaturated ketones 116, the enamines 117, and 1,5-diketones, the precursors of 118, are all effective starting materials for the preparation of dihydropyridines. It has been shown⁴⁴⁴ that ethyl acetoacetate with acetaldehyde and ammonia give intermediates corresponding to 116 and 117. The isolation of an imine 118 has been claimed although no structure proof was given.⁴¹⁰ An intermediate corresponding to 119 has been isolated and its structure established unequivocally.⁴⁴⁶ Another, less fully authenticated example was reported earlier.⁴⁰⁸ An alternative mechanism, involving condensation of 116 and 117 in the opposite sense, has been disproved.⁵⁷

C. MISCELLANEOUS SYNTHESES

1. Cyclization of Nitriles and Amides

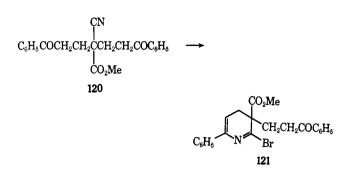
The action of hydrogen bromide on the cyano ketone 120 is said to give the 3,4-dihydropyridine 121 which is in equilib-

⁽⁴⁴³⁾ R. Schiff and P. Prosio, Gazz. Chim. Ital., 25, 65 (1895); Chem. Zentr., II, 894 (1895).

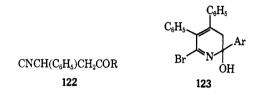
⁽⁴⁴⁴⁾ A. Ehsan and Karimullah, Pakistan J. Sci. Ind. Res., 11, 5 (1968); Chem. Abstr., 69, 96403 (1968).

⁽⁴⁴⁵⁾ E. Knoevenagel, Ber., 31, 739 (1898).

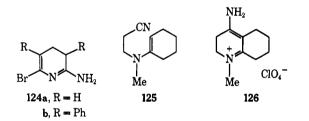
⁽⁴⁴⁶⁾ K. L. Marsi and K. Torre, J. Org. Chem., 29, 3102 (1964).



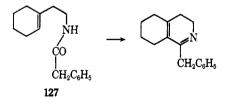
rium with the open-chain bromo imine.⁴⁵ Similarly treatment of 122 with bromine is claimed 36, 447 to result in 123. Reinvestigation of the structure of 121 and 123 is clearly desirable.



Cyclization of glutaronitrile or its 2,4-diphenyl derivative with hydrogen bromide afforded dihydropyridinium salts which were converted into 124a or 124b with mild base.42 Ring closure of the unsaturated enaminonitrile 125, using magnesium perchlorate as condensing agent, yielded^{448,449} the dihydropyridinium salt 126.

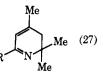


In a similar cyclization, analogous to the Bischler-Napieralski reaction, unsaturated amides such as 127 gave dihydropyridines^{38, 39, 41} with phosphorus pentoxide or oxychloride.



The use of diols or of unsaturated alcohols in the Ritter reaction has yielded dihydropyridines, ³²⁻³⁴, e.g., eq 27.

$$Me_2C(OH)CH_2C(OH)Me_2 + RCN + H_2SO_4 -$$

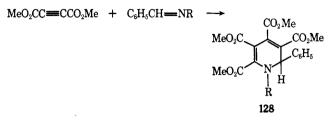


⁽⁴⁴⁷⁾ E. P. Kohler and F. A. Allen, J. Amer. Chem. Soc., 46, 1522 (1924).

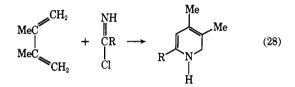
- (448) A. I. Meyers, J. C. Sircar, and S. Singh, J. Heterocycl. Chem., 4, 461 (1967).
- (449) A. I. Meyers and J. C. Sircar, J. Org. Chem., 32, 1250 (1967).

2. Cycloaddition Reactions

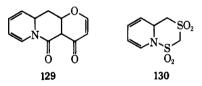
The reaction of pyridines, quinolines, etc., with dimethyl acetylenedicarboxylate, which gives quinolizines, is beyond the scope of this review; a recent account⁴⁵⁰ deals with this subject. In only one case,⁴⁵¹ the reaction of dimethyl acetylenedicarboxylate with Schiff bases, has this method been applied to the preparation of simple dihydropyridines 128. 3,4-Dihydroisoquinoline reacts analogously.



Imino chlorides have been subjected⁴⁵² to cycloaddition with dienes according to eq 28. However, the vigorous reaction conditions used make the proposed structures somewhat suspect, and reinvestigation by modern techniques would be desirable.



Ketene forms an adduct with pyridine, 453-455 the structure of which has been established as 129 only recently⁶⁶ (see also ref 455a). Sulfene also forms an adduct 130 with pyridine.456



3. From Pyridones and Reduced Pyridones

N-Substituted 2-pyridones on treatment with oxalyl chloride are reported to give the corresponding 2,2-dichloro-1,2dihydropyridines.⁴⁵⁷⁻⁴⁵⁹ There is a report¹³⁰ of the lithium aluminum hydride reduction of an N-aryl-2-pyridone to the corresponding 1,2-dihydropyridine although the structure of the latter is in doubt.

(453) H. Staudinger, H. W. Klever, and P. Kober, Justus Liebigs Ann. Chem., 374, 1 (1910).

(454) O. Wollenberg, Ber., 67, 1675 (1934). (455) J. A. Berson and W. M. Jones, J. Amer. Chem. Soc., 78, 1625 (1956).

(456) J. S. Grossert, Chem. Commun., 305 (1970).

(457) M. M. Shemyakin and E. I. El'kina, J. Gen. Chem. USSR, 11, 349 (1941); Chem. Abstr., 35, 5893 (1941).

(458) E. I. El'kina and M. M. Shemyakin, J. Gen. Chem. USSR, 13, 301 (1943); Chem. Abstr., 38, 1504 (1944).

(459) Ya. L. Danyushevskii and Ya. L. Gol'dfarb, Dokl. Akad. Nauk SSSR, 72, 899 (1950); Chem. Abstr., 44, 9446 (1950).

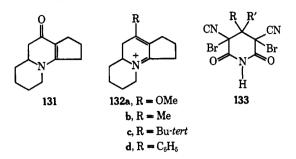
⁽⁴⁵⁰⁾ R. M. Acheson, Advan. Heterocycl. Chem., 1, 125 (1963).

⁽⁴⁵¹⁾ R. Huisgen and K. Herbig, Justus Liebigs Ann. Chem., 688, 98 (1965).

⁽⁴⁵²⁾ M. Lora-Tamayo, G. G. Munoz, and R. Madronera, Bull. Soc. Chim. Fr., 1331 (1958).

⁽⁴⁵⁵a) R. N. Pratt, D. P. Stokes, G. A. Taylor, and S. A. Procter, J. Chem. Soc. C, 1472 (1971).

O-Alkylation (see ref 512) of the dihydropyridone 131 yields the corresponding enol ether salt 132a, while reaction of 131 and other dihydropyridones with Grignard reagents, followed by perchloric acid, gives⁴⁶⁰ the salts 132b-d. The

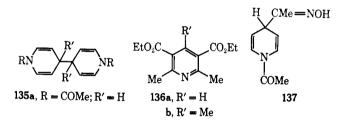


action of triphenyl phosphite on the glutarimide **133** yields⁴⁶¹ 4,4-disubstituted 2,6-dibromo-3,5-dicyano-1,4-dihydropyridines (**134**).



4. From Tetrahydrobipyridyls

One-electron reduction of pyridines or pyridinium salts leads to the tetrahydrobipyridyls 135 (see section IV.A.2.a). On heating these break down to a 1:1 mixture of the corresponding pyridine and 1,4-dihydropyridine.^{37,44,72,73,264,265,270,273}



The tetrahydrobipyridyls are generally formulated as the 1,4 isomers as shown; however, in the case of the pyridine **136a**, an unstable primary reduction product was obtained which isomerized to a stable tetrahydrobipyridyl on heating. Both isomers gave the 1,4-dihydropyridine on pyrolysis.^{37,44} A series of tetrahydrobipyridyls derived from the pyridines **136** was prepared;²⁶⁵ the ease of dissociation increased in the order $\mathbf{R}' = \mathbf{H} < \mathbf{Me} < \mathbf{Et} < i$ -Bu. Although it was earlier believed that on heating **135a** gave 1,4-diacetyl-1,4-dihydropyridine⁷² it was subsequently shown that this was incorrect.⁷³ However, it was confirmed⁷³ that action of hydroxylamine on **135a** afforded⁴⁶² the dihydropyridine **137**.

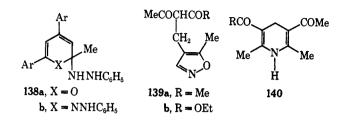
5. From Other Heterocycles

Very few conversions of pyrans into dihydropyridines are known although the formation of pyridines from pyrylium salts is a well-known reaction (ref 8, p 210). It has been claimed,⁴⁶³ without any evidence, that 2-methyl-4,6-ditolylpy-

(462) B. Emmert and A. Wolpert, Ber., 74, 1015 (1941).

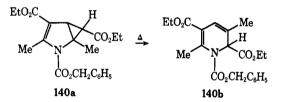
(463) O. Diels and K. Alder, ibid., 60, 716 (1927).

rylium perchlorate with phenylhydrazine gives first 138a and then 138b. Certain complex polycyclic pyran derivatives have been converted into the corresponding dihydropyridines, *e.g.*, **88**, by heating with ammonia or primary amines.^{87,88,464,465}

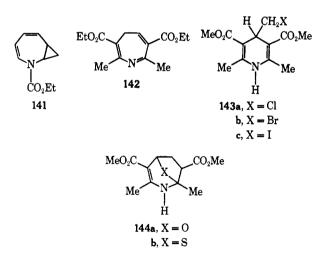


The isoxazoles 139a and 139b on catalytic hydrogenation are converted into the 1,4-dihydropyridines 140 by hydrogenolysis of the N-O bond, recyclization, and loss of water. 466. 466a

Pyrolysis of the homoazepine 141 afforded 1-ethoxycarbonyl-2-vinyl-1,2-dihydropyridine,⁴⁶⁷ while the homopyrrole, diethyl 2-azo-2-benzyloxycarbonyl-1,3-dimethylbicyclo[3.1.0]hex-3-ene-4,6-dicarboxylate (140a), yielded⁴⁶⁸ the isomeric



diethyl 1-benzyloxycarbonyl-3,6-dimethyl-1,2-dihydropyridine-2,5-dicarboxylate (140b) (see also ref 468a).



The action of hydrogen chloride or bromide on the 4*H*-azepine 142 produced the dihydropyridines 143a and 143b,

⁽⁴⁶⁰⁾ A. I. Meyers and S. Singh, Tetrahedron, 25, 4161 (1969).

⁽⁴⁶¹⁾ M. Leduc, M. F. Chasle, and A. Foucaud, Tetrahedron Lett., 1513 (1970).

⁽⁴⁶⁴⁾ L. Geita and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 127 (1958); Chem. Abstr., 53, 11371 (1959).

⁽⁴⁶⁵⁾ L. Geita and G. Vanags, Zh. Obshch. Khim., 28, 2801 (1958); Chem. Abstr., 53, 9165 (1959).

⁽⁴⁶⁶⁾ M. Ohashi, H. Kamachi, H. Kakisawa, and G. Stork, J. Amer. Chem. Soc., 89, 5460 (1967).

⁽⁴⁶⁶a) G. Stork, M. Ohashi, H. Kamachi and K. Kakisawa, J. Org. Chem., 36, 2784 (1971).

⁽⁴⁶⁷⁾ W. H. Okamura, Tetrahedron Lett., 4717 (1969).

⁽⁴⁶⁸⁾ J. F. Biellmann and M. P. Goeldner, Tetrahedron, 27, 2957 (1971)

⁽⁴⁶⁸a) F. W. Fowler, Angew. Chem., Intern. Ed. Engl., 10, 135 (1971); Angew. Chem., 83, 148 (1971).

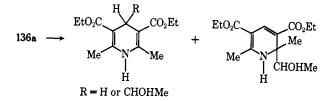
respectively; bromine reacted analogously.469 Similarly, 143a was obtained when hydrochloric acid reacted with a compound formulated as a 2-hydroxy-2,3-dihydro-4Hazepine;470 the reported properties of the latter, however, are in better accord with the structure 144a. The sulfide 144b with methyl iodide underwent a series of complex rearrangements^{471,472} to give 143c.

6. Miscellaneous

After catalytic hydrogenation and distillation the amino ketone C₆H₅CH₂OCONHCH₂CH₂CH(OMe)CH₂COCH(CO₂-Et)₂ afforded ⁴⁷³ the dihydropyridine 145.



Irradiation of the pyridine 136a or 136b in alcohols resulted in photoaddition of the solvent and photoreduction, with the formation of 1,2- and 1,4-dihydropyridines, 474 e.g.



V. Physical Properties

A. ELECTRONIC SPECTRA

1. Dihydropyridines

Until the advent of nmr spectroscopy ultraviolet and visible spectroscopy was the most useful technique for the identification of dihydropyridines and even now it is still an invaluable diagnostic tool.

Among the many applications are structure determination (ref 26, 59, 62, 126, 141, 143, 279), kinetic measurements (ref 76, 215, 218, 233, 363, 475-486), determination of equilib-

- (469) M. Anderson and A. W. Johnson, J. Chem. Soc., 2411 (1965).
- (470) M. Anderson and A. W. Johnson, ibid., C, 1075 (1966).
- (471) J. Ashby and U. Eisner, ibid., C, 1706 (1966).
- (472) J. Ashby, L. A. Cort, J. A. Elvidge, and U. Eisner, *ibid.*, C, 2311 (1968).
- (473) B. R. Baker, R. E. Schaub, M. V. Querry, and J. H. Williams, J. Org. Chem., 17, 97 (1952).
- (474) R. M. Kellogg, T. J. van Bergen, and H. Wynberg, Tetrahedron Lett., 5211 (1969).
- (475) J. L. Kurz, R. Hutton, and F. H. Westheimer, J. Amer. Chem. Soc., 83, 584 (1961).
- (476) H. R. Mahler and C. Dahl, Arch. Biochem. Biophys., 93, 491 (1963).
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- (481) O. M. Grishin and A. A. Yasnikov, Ukr. Chim. Zh., 34, 70 (1968); Chem. Abstr., 69, 43241 (1968).
- (482) A. Lombardo, Diss. Abstr., 28B, 4501 (1968).
- (483) L. A. Negievich, O. M. Grishin, and A. A. Yasnikov, Ukr. Khim. Zh., 34, 381 (1968); Chem. Abstr., 69, 76221 (1968).
- (484) L. A. Neglevich, O. M. Grishin, and A. A. Yasnikov, Ukr. Khim. Zh., 34, 802 (1968); Chem. Abstr., 70, 28776 (1969).
- (485) O. P. Polumbrik, G. F. Dvorko, and O. M. Grishin, Dopov.

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rium constants (ref 216, 217, 487, 488), mechanistic studies (ref 65, 75, 111, 131, 152, 157, 184, 205, 213, 225, 247, 286), and analysis of isomer mixtures (ref 119, 489).

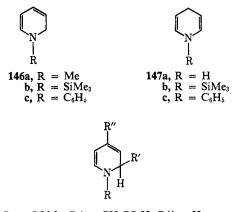
As a result of their conjugated structures dihydropyridines absorb light above 240 nm. Transparency in that region has been used 490 to identify some unconjugated 2,5-dihydropyridinium salts. Dihydropyridines usually have two absorption maxima, band I in the region of 200-240 nm, and band III at 300-400 nm. The former band is often not reported, possibly because of inadequate instrumentation or inappropriate solvents. A third band, II, at 250-300 nm is frequently present in cross-conjugated 1,2- or 1,6-dihydropyridines and has been used to distinguish this type from the 1,4 isomers which normally display a two-banded spectrum. 52, 58, 65, 142, 150, 168

Molecular extinction coefficients range from 3000 to 5000 for simple alkyl-substituted dihydropyridines to 5000-25,000 for dihydropyridines with conjugating substituents. Band I is generally more intense than band III, and band II is of variable intensity.

Table II summarizes the uv and visible spectra of the most characteristic dihydropyridine types 146-157. The data are for the simplest known representative of a given type, and references to analogous compounds are listed. Unless otherwise stated the spectra were determined in ethanol or methanol.

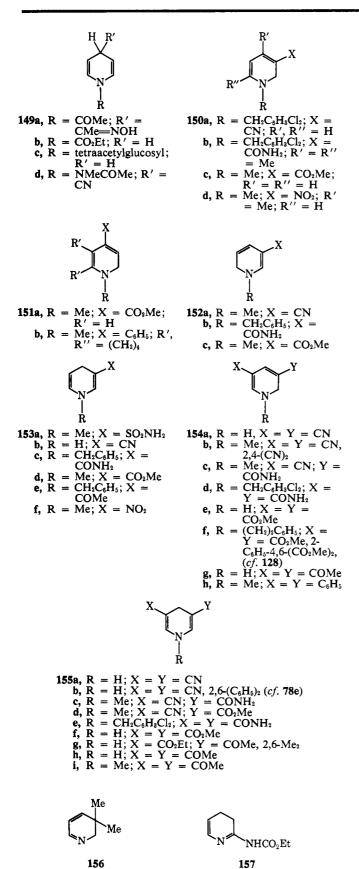
Table II is not intended to be exhaustive. Among others, certain polycyclic^{236, 238, 362, 399, 401, 488, 491-493} or otherwise complex^{61,84,141,178,183,198} dihydropyridines have been excluded.

The following dihydropyridines are illustrative of the most common type of chromophore.



148a, R = COMe; $R' = CH_2CO_2H$; R'' = H **b**, $R = CO_2Et$; R' = R'' = Bu-tert **c**, R = OH; $R' = C_6H_5$; R'' = H **d**, R = tetraacetylglucosyl; <math>R' = R'' = H **e**, $R, R' = SO_2CH_2SO_2CH_2$; $R'' = H, 3,5-Me_2$ (cf. 130)

- Akad, Nauk Ukr. RSR, Ser. B, 812 (1969); Chem. Abstr., 72, 2806 (1970).
- (486) L. E. Brown and G. A. Hamilton, J. Amer. Chem. Soc., 92, 7225 (1970).
- (487) G. Pfleiderer, E. Sann, and A. Stock, Chem. Ber., 93, 3083 (1960). (488) G. Duburs and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 235 (1961); Chem. Abstr., 58, 4509 (1963).
- (489) E. Janečková and J. Kuthan, Collect. Czech. Chem. Commun., 29, 1495 (1964).
- (490) E. Fry, J. Org. Chem., 28, 1869 (1963).
- (491) G. Duburs and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 229 (1961); Chem. Abstr., 58, 4509 (1963).
- (492) E. Stankevich and G. Vanags, Latv. PSR Zinat. Akad. Vestis, 84 (1963); Chem. Abstr., 59, 12309 (1963).
- (493) R. M. Acheson and M. W. Foxton, J. Chem. Soc. C, 378 (1968).



Little systematic work has been done on the correlation of the nature and position of dihydropyridine substituents with their uv spectra.⁸⁰ Since band III was found⁸⁰ to be most sensitive to substituent effects, these will be discussed only with respect to this band. Table II shows that 1,2-dihydropyridines absorb at longer wavelengths than the corresponding 1,4 isomers (or the crossconjugated 1,6 isomers, *e.g.*, **152**). Decreases in the wavelengths of the absorption maxima are in the order $NO_2 >$ COR, $C_6H_5 > CO_2R$, $CONH_2 > CN > SO_2NH_2$ for substituents in the 3 and/or 5 positions (see also ref 65, 80, and 500).

Substituent effects are particularly apparent at the 1 position. The absorption maxima of a series of 1-(para-substituted phenyl)-4,4-dimethyl-1,4-dihydropyridines³⁹² show striking differences, ranging from 413 nm for *p*-nitrophenyl to 278 nm for *p*-methoxyphenyl. The electron-releasing trimethylsilyl group, on the other hand, produces a bathochromic shift (*cf.* **147a,b**). Introduction of 1-alkyl substituents into dihydropyridines with conjugating substituents in the 3 and/or 5 positions results in a substantial red shift⁸⁰ (*cf.* ref 288 and 504; 503 and 157).

Electron-withdrawing groups in the 1 position produce a hypsochromic shift (*cf.* **148** and **149** with **146** and **147**). The shifts in NADH model compounds which have a sugar residue at nitrogen are well documented.^{62,81,85,138,189,197,216,487,496} For other 1-substituted derivatives, see ref 84, 85, and 196.

Substitution of alkyl groups at other positions in the ring results in hypsochromic shifts. In the absence of steric effects (see below), small but definite blue shifts result from introduction of alkyl groups at unsaturated centers in the ring. $^{35, 142, 295, 494}$

Substituents in the 4 position of 1,4-dihydropyridines^{52,67,58,62,80,118,142,168,170,195,216,323,367,363,503} or the 2 position in 1,2-dihydropyridines^{58,118,142,168,170} in general cause a substantial blue shift, the magnitude of which is dependent on the substitution pattern of the molecule. It is believed^{52,80,367} to be steric in origin, as a result of nonbonded repulsion between it and an adjacent chromophore in the 3 and/or 5 position.

In the absence of an adjacent substituent there is no spectral change, *e.g.*, on going from 1-benzyl-4-methyl-1,6-dihydronicotinamide to the corresponding 4,6-dimethyl derivative,¹⁴² or from 1-phenyl-1,4-dihydropyridine⁷¹ to the 4,4-dimethyl analog.³⁹²

Alternative explanations attribute the operation of this effect to the ground⁵⁰⁹ or excited³⁵⁷ state. However, the

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- (497) O. Warburg and W. Christian, ibid., 19E, 79 (1936).
- (498) D. Mauzerall and F. H. Westheimer, J. Amer. Chem. Soc., 77, 2261 (1955).
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- (505) J. Paleček, L. Vavruška, and J. Kuthan, Collect. Czech. Chem. Commun., in press.
- (506) E. A. Braude, J. Hannah, and R. P. Linstead, J. Chem. Soc., 3249 (1960).
- (507) E. Bullock, B. Gregory, A. W. Johnson, P. J. Brignell, U. Eisner, and H. Williams, Proc. Chem. Soc., 122 (1962).

(508) B. E. Norcross, P. E. Klinedinst, and F. H. Westheimer, J. Amer. Chem. Soc., 84, 797 (1962).

(509) W. D. Closson, S. F. Brady, and P. J. Orenski, J. Org. Chem., 30, 4026 (1965).

Table II

Ultraviolet Spectra of Dihydropyrid	inesa
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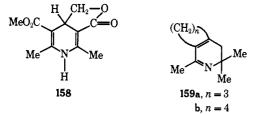
						λ_{max}, nm			
Compd	R	X	Y	Other	Ī	Band II	m	Ref	Analog references
146a	Me						325	494	26, 69, 71, 131, 490
146b ³	SiMe₃				220		320	295	
147a	н						278	294	
147b°	SiMe ₈						288	295	43, 59, 68, 146, 392
148a	COMe			2-CH ₂ CO ₂ H			298	306	66, 273, 303, 310, 455
148b	CO ₂ Et			2,4-Bu ⁴ 2			292	63	· · · · · · ·
148c	OH			2-C ₆ H₅		237	313	176	
148d	TAG ^e						310	138	86ª
148u	SO ₂ CH ₂			2-CH ₂ SO ₂ , 3,5-Me ₂			287	456	
149a	COMe			4-CMe=NOH			252	73	268, 272, 297, 302, 303, 305
149b ^b	CO ₂ Et			+-CIVICI\011			230	273	200, 272, 277, 302, 303, 303
1490 ⁻	TAG ⁶						275	138	
				4 CN					
149d	NMeCOMe			4-CN			275	211	132
150a	DCB.	CN		4-Me			390	142	133
150b	DCB.	CONH₂		4,6-Me2			392	142	150
150c	Me	CO ₂ Me					432	143	144
150d	Me	NO_2		4-Me	255		520	139	
151a	Me	CO ₂ Me				260	320	143	
151b	Me	C₅H₅		5,6-(CH ₂) ₄			312	460	
152a	Me	CN				240	349	64	65, 142, 244
152b	$CH_2C_6H_5$	CONH ₂				267	358	62	52, 65, 142, 150, 216, 225, 279
152c	Me	CO ₂ Me				263	362	143	65, 131, 144, 150
153a/	Me	SO ₂ NH ₂					317	201	
153b	н	CN					330	116	64, 65, 133, 195, 198
153c	$CH_2C_6H_5$	CONH₂					352	62	43, 52, 60, 65, 81, 84, 131, 142, 152–154, 189, 191, 194–196, 216, 496–502
153d	Me	CO ₂ Me					363	143	65, 131, 150, 418, 497, 500
153e	$CH_2C_6H_5$	COMe					371	500	65, 166, 195, 198
153f	Me	NO ₂					400	139	
154a	н	CN	CN		213	254	382	503	58, 123, 157, 158, 168, 170, 171, 222, 223, 293, 489
154b	Me	CN	CN	2,4-(CN) ₂		263	418	223	
154c	Me	CN	CONH ₂				396	157	
154d	DCB ^e	CONH ₂	CONH ₂			286	391	65	
154e	Н	CO ₂ Me	CO₂Me		213	281	386	118	52, 65, 150
154f	$(CH_2)_2C_6H_5$	CO ₂ Me	CO ₂ Me	2-C ₆ H ₅ -4,6- (CO ₂ Me) ₂	206, 233	281	388	451	
154g	н	COMe	COMe	· • - • / •	217	281	386	288	370, 504, 505
154h	Me	C ₆ H ₅	C ₆ H ₅			320	415	131	
155a	H	CN	CN		206		352	503	58, 80, 126, 157, 158, 168, 170, 173, 222, 223, 323, 357, 363, 489
155b	н	CN	CN	2,6-(C ₆ H ₅) ₂	206	242, 263	365	362	
155c	Me	CN	CONH₂				377	157	
155d	Me	CN	CO ₂ Me				379	157	323
155e	DCB ^e	CONH ₂	CONH ₂				381	65	
155f	H	CO ₂ Me	CO₂Me		213	242'	374	118	52, 57, 65, 80, 126, 195, 225, 323, 344, 357, 446, 469- 472, 503, 506-508
155g	Н	CO ₂ Et	COMe	2,6-Me ₂	244	265	392	466	57, 375
155h	Ĥ	COMe	COMe	-,	232	265	395	80	57, 85, 344, 370, 371, 376, 492
156¢ 157 ^h						240 286		35 43	33, 34, 460

^a In ethanol or methanol unless otherwise specified. ^b Cyclohexane. ^c Tetraacetyl- β -D-glucopyranosidyl. ^d Erroneously described as 1,4dihydropyridines. ^e 2,6-Dichlorobenzyl. ^f Erroneously described as the 1,2-dihydropyridine. ^g Water. ^h Tautomeric mixture. ⁱ Inflection.

situation becomes more complex when other substituents are present and has been systematically investigated⁸⁰ for 1,4dihydropyridines **155**. With further substitution in the 2,6 and the 1,2,6 positions, a "buttressing" effect comes into play where neighboring groups appear to bend the 3,5 substituents out of the plane of the ring. When "saturation" is reached, additional substitution does not produce further shifts (see also ref 158). Steric, electronic, and conformational factors are delicately balanced and interpretation of the spectral shifts is difficult.

Steric effects have also been shown²⁶ to affect the spectra of alkyl dihydropyridines. Steric interaction between substituents in the 1 and 2 position is more severe in 1,6- than in 1,2-dihydropyridines which accounts²⁶ for the former absorbing at shorter wavelengths than the latter.

Conformational effects have scarcely been investigated and such a study is likely to yield interesting results. For example, 3,5-diacetyl-2,6-dimethyl-1,4-dihydropyridine (76, R = H) has λ_{max} 404 nm and appears to be abnormal when compared to a series of other 1,4-dihydropyridines,⁸⁰ while the polycyclic dihydropyridine **89** (R = R' = H),⁴⁰⁸ in which the conformation of the carbonyl groups is fixed, has an absorption maximum (388 nm) in line with that of the abovementioned dihydropyridines. Another illustration is the difference in the absorption of the 1,4-dihydropyridine ester **75a** (λ_{max} 349 nm)⁸⁰ with that of the lactone **158** (λ_{max} 360 nm).⁴⁷² Comparison of the 2,3-dihydropyridine **159a** (λ_{max} **263** nm)³³ with the six-membered analog **159b** (λ_{max} 255 nm)³⁴ shows the effect of ring size in bicyclic systems.



Substituents in the 4 position of 1,4-dihydropyridines and the 2 position in 1,2-dihydropyridines sometimes exert what appears to be an electronic effect, particularly when steric effects are small, although the evidence is somewhat conflicting. The relatively small-sized electron-withdrawing cyano group produces a blue shift when introduced into the 4 position of a 1,4-dihydropyridine^{200,212,214,216,510} or the 2 position of a 1,2-dihydropyridine^{157,223} However, in some tetraacetylglucosyl-1,4-dihydronicotinamides a red shift is actually observed.^{62,216} Introduction of a cyano substituent into the 4 position of the highly substituted 3,5-dicyano-1,-2,4,6-tetramethyl-1,4-dihydropyridine does not change the spectrum.¹⁵⁸

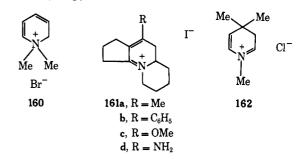
The effect of the electron-releasing SO_2^- group appears to be in the opposite direction, ^{194, 195} but this may be partly due to solvent effects since spectral measurements of the dithionite adducts **41** were not carried out under the same conditions as those on the corresponding dihydronicotinamides **43**.

There are conflicting reports on the effect of a carboxylate group in the 4 position of a 1,4-dihydropyridine. In the case of the Hantzsch ester **75c** introduction of a 4-carboxyl group results in an appreciable hypsochromic shift;¹⁹⁵ similar shifts have been observed for related compounds.^{173,511} Esters of the above acid¹⁷⁵ expectedly are shifted to shorter wavelengths, presumably because of their greater bulk. Addition of alkali to the above acid does not change the spectrum. On the other hand, treatment of carboxylic acids derived from polycyclic dihydropyridines, *e.g.*, **89** (R = CO₂H, R' = H) with base results^{344,468} in a bathochromic shift. It is possible that electronic effects in this case predominate over steric factors, whereas the reverse may hold for 75. Enolization of the carbonyl groups in 89 is also possible. Electron-withdrawing substituents such as $CH(CN)_2$ in the 4 position of 153f cause a hypsochromic shift of 55 nm relative to 153a, whereas the electron-releasing piperidino group produces a shift of only 35 nm.¹³⁹ Some data on para-substituted phenyl groups in the 4 position of 1,4-dihydropyridines have been recorded.⁵⁷

Solvent effects on the spectra of dihydropyridines have been found^{150, 157, 357, 392, 499} to be relatively small, suggesting that excited states are only slightly more polar than ground states.

2. Dihydropyridinium Cations

Although a number of dihydropyridine spectra have been measured in acid solution, 51, 52, 64, 65, 154, 166, 392, 452, 475, 501 it cannot be presumed, *a priori*, that these represent protonated species. Dihydropyridines undergo acid-catalyzed reactions in nucleophilic solvents (see section VI.C.1), and, unless protonation is demonstrably reversible, results should be treated with caution. Table III summarizes the known data on the dihydropyridinium cations **160–162** (see also section VI.D). The cations absorb at shorter wavelengths than the neutral dihydropyridines.



Although data are scant it may be seen from Table III that, in accordance with expectation, electron-releasing substituents at the end of the conjugated immonium salt **161** cause a bathochromic shift.⁵¹²

Table III

Dihydropyridinium Cations

Compd	Solvent	R	λ_{max} , nm	Ref	Analog references
160	EtOH		247	243	
161a	CHCl ₃	Me	304	460	448, 449, 490, 494
161b	CHCl₃	C_6H_5	332	460	
161c	EtOH	OMe	334	512	
161d 162	EtOH MeCN	NH₂	348 278	512 392	513

3. Dihydropyridine Anions

Dihydropyridines are weakly acidic and the action of strong bases affords the corresponding anions, the spectra of which are shown in Table IV. Appreciable red shifts occur on con-

⁽⁵¹⁰⁾ S. P. Colowick, N. O. Kaplan, and M. M. Ciotti, J. Biol. Chem., **191**, 447 (1951).

⁽⁵¹¹⁾ J. F. Biellmann and M. P. Goeldner, Tetrahedron, 27, 1789 (1971).

⁽⁵¹²⁾ A. I. Meyers, A. H. Reine, and R. Gault, Tetrahedron Lett., 4049 (1967).

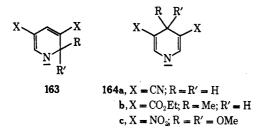
⁽⁵¹³⁾ M. Takeda, A. E. Jacobson, K. Kanematsu, and E. L. May, J. Org. Chem., 34, 4154 (1969).

	<i></i>	Calculated	d spectra		Observed		
Compd	$E_{\max}, e^{V^{a,c}}$	f¢	$E_{\max}, eV^{b,c}$	f¢	E_{\max}, eV	. f	Ref
146	3.82	0.27	4.33	0.28	3.8	~0.1	49 0
	5.72	0.0022	4.43	0.04	4.5	~ 0.1	494
147	4.30	0.09	4.63	0.095	4.6	0.07	
	5.61	0.13	5.24	0.017	5.4	0.17	392
153e	3.44	0.25	4.23	0.20	3.2-3.3	0.2	102, 500
	5.36	0.12	5.15	0.006	5.2		
153c	3.47	0.23			3.5	0.2	102
	5.05	0.11					
	5.53	0.22			5.8	0.2	
	6.29	0.92					

	Table V	•	
Calculated and Observed Uv	Spectra	of Some	Dihydropyridines ¹⁰²

^a Using singly excited configurations. ^b Using singly and doubly excited configurations. ^c E_{max} = transition energy, f = oscillator strength.

version of a dihydropyridine into its anion. Some polycyclic dihydropyridines are strong enough acids to form anions with weaker bases in protic solvents. ^{238, 371, 399, 401, 488, 491}



Although data are scarce, the same trends that are found in neutral dihydropyridines are evident; *i.e.*, 1,2 isomers absorb at longer wavelengths than 1,4-dihydropyridines and λ_{max} decreases in the order NO₂ > CO₂Et > CN. The effect of additional alkyl substituents is also similar.⁸⁰

Table IV

Dihydropyridine Anions

Compd	Solvent	X	R	R'	λ _{max} , nm	Ref	Analog ref
163	DMSO	NO2	OMe	н	487	230	229, 234
164a	DMSO	CN	Н	н	426	80	
164b	DMSO	CO ₂ Et	Me	Н	452	80	
164c	MeOH	NO₂	OMe	OMe	455	232	230, 234

4. Theoretical Considerations

The uv absorption characteristics of the dihydropyridines **150**, **152**, **153**, **154**, and **155** were originally discussed in terms of valence bond canonical structures, ^{65, 157} or of independent excitations of different parts of the conjugated system. ^{157, 499} The relative positions of band III in isomeric 1,2-, 1,4-, and 1,6-dihydronicotinamides and in some derivatives of **154** and **155** have been correctly predicted by simple Hückel LCAO-MO treatment.^{101, 103, 105, 107} Application^{102, 108} of LCAO-SCF-MO calculations to electronic transitions in the dihydropyridines **146**, **147**, **150**, **152**, and **153**, using the method of limited configuration interaction, has been relatively successful. In particular, the low intensity of absorption of **147** compared to that of **153** has been satisfactorily interpreted¹⁰² on the basis of computed transition moments. The computa-

tional significance of doubly excited configurations has been postulated.^{102, 108} Calculations are shown in Table V.

B. FLUORESCENCE

Although the characteristic fluorescence of numerous dihydropyridines has been known for a long time only a few fluorescence spectra have been measured. The emission maxima for 1,6- and 1,4-dihydronicotinamide derivatives were found^{59,60,154} to be at 443-505 nm and 395-480 nm, respectively; no quantum yields were reported. The activation maxima are at 310-470 nm.^{59,60}

In earlier days fluorescence characteristics were used^{53, 496} to distinguish 1,2- (1,6-) from 1,4-dihydropyridines. The latter, *e.g.*, **153** and **155**, usually fluoresce in the solid state as well as in solution on exposure to ultraviolet light; a strong blue^{37, 53, 66, 85, 146, 170, 206, 362, 439, 496} or yellow^{118, 146, 362} fluorescence is observed. The presence of 1-alkyl substituents reduces or eliminates this fluorescence.^{52, 319} Under the same conditions the 1,2- or 1,6-dihydropyridines **151**, **152**, **154** display either a weak yellow or blue-green fluorescence, or no fluorescence at all.^{66, 170, 206} However, these criteria are far from general or reliable and may be seriously misleading; their use is not recommended. Certain secondary reaction products of 1,4dihydropyridines also fluoresce strongly.^{60, 242}

Fluorescence of dihydropyridines may be used for their detection on thin-layer chromatograms^{118,123,125,170,362,503} or for following certain biochemical reactions.^{497,510,514}

A qualitative hypothesis correlating fluorescence characteristics with dihydropyridine structure has been proposed,⁵³ but this should be explored using modern theory. Fluorescence may be considered to support the concept of a rigid planar structure for the dihydropyridine ring.

C. INFRARED SPECTRA

Correlations of dihydropyridine structure with absorption maxima in the ir region has not been developed to any extent. However, dihydropyridines give rise to characteristic bands in the following regions.

1. All dihydropyridines show absorption in the 1500-1700cm⁻¹ region which is assigned to the C=C or C=N stretching modes.⁶⁴ In the presence of a conjugating substituent (*e.g.*, C=O), which absorbs in or near the same region, only the

⁽⁵¹⁴⁾ N. O. Kaplan, S. P. Colowick, and C. C. Barnes, J. Biol. Chem., 191, 461 (1951).

skeletal vibrations of the whole conjugated system are observed. 59, 379, 491, 515, 516 In the light of this fact, some earlier assignments might be revised. 59,85,166,206,517 It is possible that in the case of some N-unsubstituted dihydropyridines absorption due to NH bending is also present. 362, 491, 515

2. Substituents on a dihydropyridine ring absorb at 1700-3100 cm⁻¹. Characteristic stretching modes of the C==O or C=N groups are shifted to lower frequencies^{58,64,169,206,491} than those in the corresponding pyridine derivative, indicating a higher degree of conjugation with the dihydropyridine ring. The bands at 1564-1575 cm⁻¹ are reported⁵¹⁵ to be characteristic of 1-alkyl-1,4-dihydropyridines 155 (see also ref 363 and 518).

3. All N-unsubstituted dihydropyridines absorb in the 3100-3500-cm⁻¹ region and show the characteristic stretching frequencies for bonded and nonbonded NH groups.57,58,323,461,516 The number and position of these depend on structural factors and on the conditions of measurement. Absorption in this region has been used for structure determination. 58, 165, 362, 367, 516, 519 Isomeric 3,5-dicyanodihydropyridines, e.g., 154a and 155a, could be distinguished⁵⁸ by the differences in this and in the 1500-1700-cm⁻¹ regions.

Some correlations between C=C, C=O, and C=N stretching vibrations and π bond orders in 155 have been made^{106,520} by means of simple Hückel LCAO-MO treatment.

Table VI lists a number of typical dihydropyridines with their characteristic ir frequencies. Since no structure-frequency correlations have been made, a range of frequencies rather than individual frequencies are listed in some cases. The table is not intended to be exhaustive and a number of published spectra have been omitted (see ref 42, 43, 52, 61, 103, 141, 198, 229, 241, 302, 310, 379, 399, 456, 490, 493, 516, 521).

Absorption at 300-600 cm⁻¹ and the characteristic Al-H stretching frequencies have been used¹⁰⁶ to elucidate the structures of some 3,5-dicyanopyridine adducts 165 and 166 with Grignard reagents and with complex hydrides, respectively.

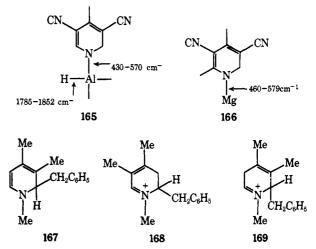
The Raman spectra of some dihydropyridines have been determined.517

D. NUCLEAR MAGNETIC RESONANCE

Nuclear magnetic resonance has been found to be an invaluable tool for the investigation of dihydropyridine chemistry. Up to now only proton magnetic resonance spectra have been determined, but no doubt eventually the spectra of other nuclei (13C, 15N) will be studied.

The most useful application of nmr has been to structure determination, 5218 specifically of simple 1,2-dihydropyri-

- (520) Ya. F. Freimanis and E. I. Stankevich, Zh. Prikl. Spektrosk., 11, 124 (1969); Chem. Abstr., 71, 123287 (1969).
- (521) Yu. E. Pelcere, E. E. Grinstein, E. I. Stankevich, and G. Vanags, Khim. Geterotsikl. Soedin., Sb. 1: Azotsoderzhashchie Geterotsikly, 406 (1967); Chem. Abstr., 70, 87742 (1969).
- (521a) A. Kamal, R. Akhtar, T. Begum, and A. A. Qureshi, Pak. J. Sci. Ind. Res., 14, 6, 11 (1971).



dines, 26, 71, 148, 159, 243, 295, 306, 467 2,3-dihydropyridines, 34, 513 1,4dihydropyridines, 61,71,148,272,294,295,392 1,4-dihydronicotinamides, 43, 52, 60, 62, 97, 142, 148, 193-195, 216, 501, 522 other 3-substituted 1,4dihydropyridines^{116, 139, 148, 193, 195, 204, 217, 244, 480, 495} and related 1,2 and 1,6 isomers, 62, 139, 140, 142, 148 Hantzsch-type 1,4-dihydropyridines 80, 157, 158, 173, 175, 204, 324, 353, 466, 468-470, 472, 518, 523, 524 and some of their 1,2 isomers, 158, 288, 370, 380, 504 2-amino-3,4dihydropyridine derivatives,42,43 NADH and related compounds, 98, 525 anions from 3,5-dinitropyridines, 229-232, 234 and other, more complex structures. 141, 198, 244, 302, 456, 493, 516

Specifically deuterated dihydropyridines have been found particularly useful in nmr investigations. 139, 160, 193, 194, 501, 522

Another important use of nmr spectroscopy has been the detection and identification of dihydropyridine intermediates which may or may not have been isolated as, for example, in the reaction of pyridines or pyridinium salts with complex hydrides,74 organometallic reagents,159,160,162 sodium dithionite, 194, 195, 207, 526 alkoxides, 229, 230, 232, 234, 248 and cyanide ion.148,160,215-217

Reaction kinetics^{218,522} and tautomeric equilibria⁴³ have been followed by nmr techniques.

Table VII summarizes some typical dihydropyridine spectra. The chemical shifts of the ring protons at unsaturated centers range from τ 2.4 to 5.6; as might be expected, proximity of an electron-withdrawing substituent or of the ring nitrogen results in shifts to lower field. The ring protons at saturated centers produce signals at τ 5.5-7.0, but an unusually lowfield shift at τ 4.46 has been noted ³⁰⁶ for the proton in the 2 position of 1-acetyl-1.2-dihydropyridine-2-acetic acid (148a).

Vicinal coupling constants across a double bond (CH=CH) are generally larger than those across a single bond (=CH-CH=). The NH proton is frequently coupled to the adjacent 2 and/or 6 proton (e.g., ref 288), but whether or not this occurs may depend on the solvent.⁸⁰ The ring methylene protons are equivalent^{71,80,97,141,148,194} indicating a planar or rapidly inverting conformation of the ring (see section III.B). Longrange coupling across the ring is frequently observed (see Table VII).

The anomeric configuration of the sugar residue in NADH was established by nmr method.526a

- 87494 (1969). (524) J. F. Biellmann and H. J. Callot, Tetrahedron, 26, 4809 (1970).
- (525) D. P. Hollis, Org. Magn. Resonance, 1, 305 (1969).
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⁽⁵¹⁶⁾ E. I. Stankevich and G. Vanags, Zh. Org. Khim., 1, 815 (1965); Chem. Abstr., 63, 6817 (1965).

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⁽⁵¹⁸⁾ R. F. Childs and A. W. Johnson, J. Chem. Soc. C, 1950 (1966). (519) R. H. Abeles, R. F. Hutton, and F. Westheimer, J. Amer. Chem. Soc., 79, 712 (1957).

⁽⁵²²⁾ K. S. Choi and S. G. A. Alivisatos, Biochemistry, 7, 190 (1968).

⁽⁵²³⁾ L. Geita, R. Gaile, and G. Vanags, Khim. Geterotsikl. Soedin., Sb. 1: Azotsoderzhashchie Geterotsikly, 327 (1967); Chem. Abstr., 70,

⁽⁵²⁶a) R. U. Lemieux and J. W. Lown, Can. J. Chem., 41, 889 (1963).

	<u></u>	$-\nu_{\rm max}, cm^{-1}$					
Compound	Region 1	Region 2	Region 3	Ref	Analog references		
167	1582,º 1605, 1653			490	494		
146b	1552, 1625			295			
147a	1680		3450	294			
148e	1610			456			
149a	1630, 1660	1700		73	300		
151a	1633, 1643, 1667	1725		143			
152	1580-1600, 1640-1653	2188	3190, 3400 ^b	59	64		
153	1605-1650, 1670-1690	2180-2200	3000-3440 ^b	59	43, 64, 165, 166, 418, 495		
154a	1505, 1540, 1560, 1642	2192	3300	503	58, 123, 170, 171		
154e	1522, 1649	1690	3140, 3340	118			
154f	1688-1693	1708-1740°		451			
154g	1642	1682	3420	288			
155a	1500, 1620, 1685	2192	3325, 3380	503	58, 123, 168, 170, 172, 173, 323, 363		
155b	1583, 1658	2212	3290, 3431	362	, , , , , , , , , , , , , , , , , , ,		
115	1610, 1675	2220	3300, 3420	323			
155f	1510,° 1618	1720	3350, 3472	118	52, 323, 324, 446, 469–472 479, 515		
155g	1650	1700	^d	466	375, 446		
155h	1600, 1660	2970, 2880		80	85, 165, 172, 206, 367, 376, 381, 468		
89	1480–1596,° 1600–1608		3007, 3090 3120, 3215°	239	371, 515		
157	1667	1695, 1727	3145	43			
159a	1600, 1667			33	34		
161a	1626, 1681			460			
161c	1563, 1681			512			
161d	1536, 1656		3165, 3356	512	513		
168	1597,ª 1678		•	4 9 0	494		
169	1600, 1678, 1706			4 9 0	494		

Table	VI	

Infrared Spectra of Dihydropyridines

^a Band due to phenyl group. ^b Only when NH₂ or CONH₂ substituents present. ^c One or two maxima. ^d Not reported. ^e Inflection at this value.

Table VII

N	lucle	ar N	Agnetic	Resonance	Spectra	of	Dihydropyridines ^a	
---	-------	------	----------------	-----------	---------	----	-------------------------------	--

Compound	2H	3H	4H	5H	6H	$J_{2,3}$	$J_{3,4}$	$J_{4,5}$	$J_{5,6}$	$J_{2,4}$	$J_{3,5}$	$J_{ m 3.6}$	$J_{4,6}$	$J_{2,6}$	Ref
170	6.16	5.23	4.39	5.65	4.06	4.2	9.8	5.4	7.1	1.1	1.3	0.9	1.4		159
147a	4.27	5.23	6.85	5.58	4.275										294
146c	5.74	4.79	4.20	5.06	3.59	3.6	7.7	4.5	6.9	1.5	0.9	0.9	0.9		71
147c	3.73	5.47	7.02	5.47	3.73	9.0	3.9			1.6					71
152b	2.90		4.34	5.33	6.12			9.4	3.9	1.5				1.0	62
153c	2.81		6.82	5.24	4.25			3.4	8.2	0.5			1.7	1.5	62
153b	3.41		6.9 0	5.40	4.20 ^b										116
154e	4.36		7.49		7.61					0.75			1.5°		288
155e ^d	3.26		6.40		3.26										80

^a In CDCl₃ unless otherwise stated. ^b Coupling constants not reported. ^c $J_{1,6} = 7.0$ Hz; $J_{1,2} = 1.7$ Hz. ^d Diethyl ester in C₆D₆; $J_{1,2} = 5.0$ Hz.



Detailed investigations of mass spectral fragmentations have been reported, ^{199, 439, 527, 528} and no doubt this method will acquire increasing importance in structure determination.

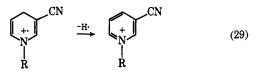
The most important fragmentation process is the formation of the aromatic pyridinium ion. This may take place either by loss of a hydrogen radical^{199,439} as shown in eq 29 or by loss of a radical \mathbb{R} from the 4 position of a substituted 1,4-dihydro-

E. MASS SPECTROMETRY

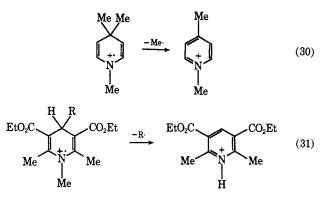
In recent years mass spectrometry has occasionally been used in structure determination of dihydropyridines.^{173, 215, 306, 456}

(527) R. E. Lyle and E. White, Tetrahedron Lett., 1871 (1970).

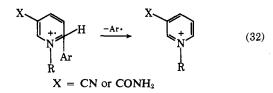
⁽⁵²⁸⁾ R. E. Lyle and E. White, J. Org. Chem., 36, 772 (1971).



pyridine as shown^{199,439} in eq 30 and 31. Similarly, sub-



stituted 2-aryl-1,2-dihydropyridines preferentially $lose^{527,528}$ an aryl radical to give a pyridinium ion as shown in eq 32.



Other, less important fragmentations are the loss of *N*-alkyl substituents,^{199,527,528} cleavage of 3 and/or 5 substituents,⁴³⁹ and opening of the heterocyclic ring. The kinetic isotope effect $k_{\rm H}/k_{\rm D}$ for a 4H (4D) substituent was found¹⁹⁹ to be inversely related to the ionizing voltage.

F. MISCELLANEOUS

The measured ⁴⁹⁹ dipole moment (3.89 D) of 1-benzyl-1,4dihydronicotinamide was found to be much smaller than that calculated ¹⁰² (5.9 D) by the Pople LCAO–SCF method.

Molecular exaltations were shown⁵²⁹ to distinguish between certain isomeric dihydropyridines.

Very few pK_a values have been determined.^{392,530} Optical rotations of dihydropyridines with sugar residues in the 1¹³⁸ or 4³⁸⁸ positions have been reported.

2,4,4,6-Tetraphenyl-1,4-dihydropyridine has photochromic properties.^{396,631}

VI. Chemical Properties

According to one author (ref 8, p 81) "the most important reaction of dihydropyridines is their oxidation to the corresponding pyridine." While this is clearly a matter of opinion, there is no doubt that a vast volume of the work on dihydropyridines has been concerned with this aspect. This is understandable in view of the important role of NADH in hydrogen transfer in biological systems. A number of reviews on this subject exist, 4-7 and it is not intended here to deal with the biochemical aspects.

A. OXIDATION

While a classification of dihydropyridine oxidations into dehydrogenation, hydrogen transfer, and disproportionation might seem somewhat arbitrary, it is adopted here for the sake of clarity. Under the heading "dehydrogenation" are listed reactions, the principal aim of which is the preparation of a pyridine. "Hydrogen transfer," on the other hand, includes studies designed to investigate the mode of action of NADH, and the nature of the reduced product is of greater importance than the pyridine. Finally, the term "disproportionation" is confined to those reactions in which the dihydropyridine is both the donor and the acceptor of hydrogen.

1. Dehydrogenation

Nitrous or dilute nitric acids are among the oldest and still most commonly used reagents.^{1, 318, 338, 381, 391} The former is used either in the form of dinitrogen tetroxide or else it is generated from sodium nitrite-acetic acid. Chromic acid is another popular reagent. 49, 58, 206, 358 Sulfur is particularly useful since it is often the only reagent which dehydrogenates without any side reactions (see below). 320, 321, 323, 827 A number of dihydropyridines have been dehydrogenated 335, 418, 532 by heat alone although it is not entirely clear whether this is due to aerial oxidation or disproportionation. A series of Hantzsch esters has been dehydrogenated by palladium in a hydrocarbon solvent containing a catalytic amount of acetic acid;533 potassium permanganate in acetic acid could also be used.533 Some dihydropyridines have been dehydrogenated in moderate yield by heating with palladium on carbon. 43,58,170,534 High-potential quinones such as chloranil¹⁶⁵ or dichlorodicyanoquinone⁴² have found application. Other reagents include p-nitrosodimethylaniline,61,241 hydrogen peroxide, 235, 415 diisoamyl disulfide, 32 silver nitrate, 196 platinum in acetic acid,69 mercuric acetate,361 iodine,71 and iron or nickel carbonyls.¹¹⁰ In one case treatment of an alleged bis(hydroxymethyl)dihydropyridine derivative with thionyl chloride gave¹²⁹ the corresponding bis(chloromethyl)pyridine; presumably the reaction was carried out in the presence of air which caused the dehydrogenation. The formation of 4-(1acetyl-3-indolyl)pyridinium chloride instead of the expected 4-(1-acetyl-3-indolyl)-1,4-dihydropyridine was traced 300 to the presence of excess 1-acetylpyridinium chloride which acted as a hydrogen acceptor. Oxygen or air have been used in a number of instances, 26, 37, 269, 298, 460 and a mechanism for this reaction has been proposed.³⁷ Quantitative estimation of dihydropyridines by titration with iodine or potassium ferricyanide has been reported. 26, 65, 197, 279, 496 The older work is summarized in ref 8, p 236.

Oxidation of the tetrahydrobipyridyls 171 may give different products according to conditions. With air, manganese dioxide, lead dioxide, or dinitrogen tetroxide the corresponding bipyridyls 172 are obtained.²⁶⁶ The reaction with air or oxygen has been shown^{268–270} to proceed via the dihydrobipyridyl 173.

Occasionally dehydrogenation of a dihydropyridine proceeds abnormally. One such reaction commonly encountered is the loss of a substituent, usually, but not always, from the 4

⁽⁵²⁹⁾ K. Auwers, Ber., 63, 2111 (1930).

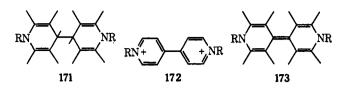
⁽⁵³⁰⁾ E. I. Stankevich, J. Popelis, E. Grinshtein, A. Ozola, and G. Duburs, *Khim. Geterotsikl. Soedin.*, 122 (1970); *Chem. Abstr.*, 72, 89602 (1970).

⁽⁵³¹⁾ A. Peres de Carvalho, C. R. Acad. Sci., 200, 60 (1935).

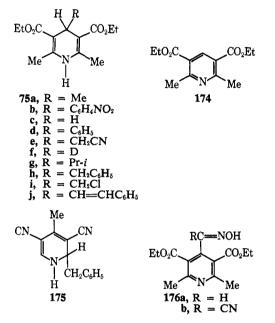
⁽⁵³²⁾ I. Guareschi and E. Grande, Atti Reale Accad. Sci. Torino, 34, 18/6 (1899); Chem. Zentr., II, 440 (1899).

⁽⁵³³⁾ A. Kamal, M. Ahmad, N. Mohd, and A. M. Hamid, Bull. Soc. Chem. Jap., 37, 610 (1964).

⁽⁵³⁴⁾ R. E. Misner, Diss. Abstr., 29B, 2817 (1969).

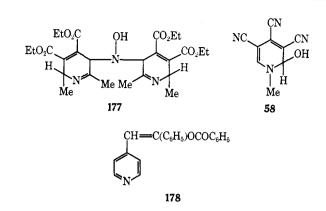


position. Thus dehydrogenation of the Hantzsch esters 75 gave the dealkylated pyridines 174 when $R = isopropyl^{313}$ (but not *n*-propyl³¹⁴), benzyl, ^{316, 335} *p*-dimethylaminophenyl, ³²⁸ carboxyl, ¹⁷⁵ or cyanomethyl. ³²³ In the case of the nitrile 175 a benzyl group was lost from the 2 position. ^{171,635} Dehydrogenation with the loss of a substituent took place with nitrous

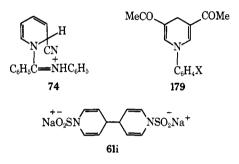


acid, or, in one case, chloranil,³²³ but when sulfur was used the expected pyridine was obtained.^{320, 321, 323, 327} In a systematic study of this reaction it was found⁵³⁶ that loss of a substituent occurred if a stable carbonium ion could be formed (*e.g.*, isopropyl, *tert*-butyl, benzyl). Steric factors were also involved since the isopropyl group was lost from **75g**, but not from the corresponding dinitrile (**75g**, CN instead of CO₂Et). Benzyl alcohol, benzyl acetate, and benzaldehyde were isolated when **75h** was subjected to oxidative dealkylation, and a mechanism for the reaction was proposed.⁵³⁶ A slightly different mechanism was put forward⁵³⁵ for loss of the 2-benzyl group from **175**. In certain cases substituents in the 4 position were lost on heating.⁵³²

Other abnormal dehydrogenations include reaction of nitrous acid with a substituent. Thus dehydrogenation of **175i** or **175e** gave the pyridines **176a** and **176b**, respectively.^{323,436} Diethyl 2,6-dimethyl-1,4-dihydropyridine-3,4-dicarboxylate under similar conditions was claimed³⁷ to give the unlikely product **177**, formulated as a 2,5-dihydropyridine. 1-Methyl-3,4,5-tricyano-1,4-dihydropyridine afforded the stable hydroxy-1,2-dihydropyridine **58**. With dinitrogen tetroxide **58** could be further oxidized to the corresponding pyridone.^{222,223} Loss of an *N*-acyl substituent usually occurs on dehydrogenation; thus, 1-benzoyl-4-phenacyl-1,4-dihydropyridine with oxygen, although iodine converted it into the enol ether **178**. On



heating with nitrobenzene the dihydropyridine 74 gave picolinamide.³¹²



Little work has been reported on the correlation of structure with ease of oxidation and more information is clearly desirable. Some quantitative data⁷⁶ are shown in Table VIII.

Table VIII

Rates of Dehydrogenation of Dihydropyridines

Isomer	R_1	R ₃	$R_{\mathfrak{z}^a}$	Oxi- dizing agent	K ^b	E₄, kcal
1,4	DCB ^c	CONH ₂	Н	BQ ^d	800	
				DPP ^e	220	3.8
1, 2	DCB ^c	CONH₂	Н	BQ	540	
				DPP	190	3.1
1,4	DCB ^c	COMe	Н	BQ	45	
				DPP	35	
1,4	DCB ^c	CO_2Et	Н	DPP	420	
1,4	$C_6H_5CH_2$	CONH₂	Н	DPP	420	
1,4	C ₆ H ₅ OCH ₂	CONH ₂	Н	DPP	16.5	
1,4	TAG/	CONH ₂	Н	DPP	2.7	7.2
1,4	DCB	CONH₂	CONH₂	DPP	2.6	
1, 2	DCB	CONH ₂	CONH ₂	DPP	1.5	
1,4	DCB	CO₂Me	CO₂Me	DPP	3.0	
1, 2	DCB	CO ₂ Me	CO ₂ Me	DPP	<0.05	

^a Substituents in the 1, 3, and 5 position, respectively. ^b Secondorder rate constant. ^c 2,6-Dichlorobenzyl. ^d Benzoquinone. ^e Dichlorophenol indophenol. ^f Tetraacetylglucopyranosidyl.

The above dehydrogenations were hydride-transfer reactions as shown by their second-order kinetics and low activation energies.

Surprisingly, the 1,2-dihydropyridines were dehydrogenated less readily than the corresponding 1,4 isomers. The relative rates of dehydrogenation decrease with the substituents in the 3 position in the order $CONH_2 > CO_2Et > COMe$, and with the substituents on the nitrogen in the order $C_6H_5CH_2 >$

⁽⁵³⁵⁾ J. Kuthan and R. Bartoničková, Z. Chem., 4, 271 (1964).

⁽⁵³⁶⁾ B. Loev and K. M. Snader, J. Org. Chem., 30, 1914 (1965).

 $Cl_2C_6H_3CH_2 > C_6H_5OCH_2 > TAG$, in agreement with other work.537

This work has recently been repeated and extended.²¹⁶ It was found that 1-alkyl-1,6-dihydro-4-methylnicotinamides were dehydrogenated at a faster rate than the corresponding 4-unsubstituted derivatives. The presence of a methyl substituent in the 4 position of a 1,4-dihydronicotinamide did not appreciably affect the rate.

The rates of dehydrogenation in a series of 1-substituted 3,5-diacetyl-1,4-dihydropyridines 179 were shown³⁶⁸ to decrease in the order p-HOC₆H₄ > C₆H₅CH₂ > C₆H₅.

MO calculations predict^{103,105} that 1,2-dihydropyridines with electron-withdrawing groups in the 3 and 5 positions should be more readily oxidized than their 1,4 isomers. This may be true for free radical dehydrogenations (see below), but it has not been verified experimentally so far. Silver oxide selectively dehydrogenates⁵⁰³ 3,5-dicyano-1,2-dihydropyridine in the presence of the corresponding 1,4 isomer.

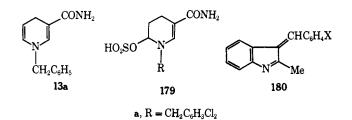
Reports exist^{16,460} on dihydropyridines which resist dehydrogenation. One tricyclic 1,4-dihydropyridine on attempted dehydrogenation with nitrous acid afforded⁸⁸ the corresponding N-nitroso derivative. It has been claimed²¹ that hydrogen peroxide oxidizes 61i to the corresponding disulfonate. Ozonolysis of 75a or 75d gave⁵² acetic and benzoic acid, respectively, indicating that addition of ozone to the double bonds competes favorably with dehydrogenation.

In reactions somewhat analogous to dehydrogenations, dihydropyridines have been converted into pyridines by elimination of lithium hydride, 162, 163 benzoic acid, 310 trimethylsilane,²⁹⁵ phenol,^{78,79} water,¹⁷⁶ carbon dioxide,¹⁷⁵ or N-methylacetamide.²¹¹

2. Hydrogen Transfer

Most of the experiments on the hydrogen transfer of dihydropyridines have been designed to elucidate the mode of action of NADH. Although many details, including stereochemistry,4,538,539 of hydrogen transfer in enzymatic systems have been clarified, one unresolved question is whether it is a oneelectron or a two-electron reduction. Examples of both types of mechanism have been encountered in model systems: the older work has been extensively reviewed⁴⁻⁶ and will only be briefly mentioned here.

Early work showed that 1-benzyl-1,4-dihydronicotinamide (13a) could reduce malachite green, diphenylpicrylhydrazyl,



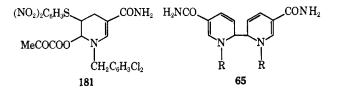
and various quinones.54,495,498 Other reducible compounds include hexachloroacetone, 495, 540 pyruvic acid, 96, 498 aromatic

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- (538) A. San Pietro, N. O. Kaplan, and S. P. Colowick, J. Biol. Chem., 212, 941 (1955).
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 (540) D. C. Dittmer, L. J. Steffa, J. R. Potoski, and R. A. Fouty, Tetra-
- hedron Lett., 827 (1961).

nitro compounds and their reduction products,⁵⁴¹ the alkyl sulfite⁵⁴² 179, thiobenzophenone,⁵¹⁹ and tropylium ion.⁴⁸⁰ The Hantzsch ester 75c has been used to reduce pyruvic acid,543 chloranil,506,544 maleic acid and its derivatives,545 various olefins,545 azo compounds,545 quinoline and isoquinoline, 546 indolenines, 547-550 certain α,β -unsaturated ketones. 508, 544, 545 and dipyridyl N-oxides. 5508

Evidence for direct hydride transfer was obtained for deuterium-labeled NAD⁵³⁸ and for the reduction of pyruvic acid in deuterium oxide, which gave unlabeled lactic acid.543 Deuterium transfer was shown to take place from 75f to 1phenyl-4-trifluorobut-2-en-1-one,508 3-benzoylacrylic acid,508 and thiobenzophenone.⁵¹⁹ Kinetic results were used to establish hydride transfer for the reduction of quinones.⁷⁶ tropylium ion, 480, 481 dichlorophenol indophenol, 76 riboflavine, 537 and thiobenzophenone.⁵¹⁹ The rates of reduction for the indolenines 180 decreased in the order $X = p - NO_2 > o - Cl > p - MeO_1$, and a Hammett ρ value of +0.6 was found⁵⁴⁷ for the reaction (see also ref 548 and 549). Reduction of hexachloroacetone with 13a in formamide gave hexachloro-2-propanol in high yield by direct hydrogen transfer; 193, 495 in cyclohexane tetraand pentachloroacetone were produced by a free-radical reaction.¹⁹⁸ Reduction of hexachloroacetone to the corresponding alcohol also took place in aqueous solution where the yield was dependent upon pH.²⁰² Pyruvic acid on treatment with 1-(2',6'-dichlorobenzyl)-5-(2',4'-dinitrophenylthio)-1,4-dihydronicotinamide gave the adduct 181 which decomposed to lactic acid and the pyridinium salt.⁹⁶

Intramolecular hydrogen bonding in aromatic aldehydes is important; thus, substituted salicylaldehydes dehydrogenate 75c under conditions where substituted benzaldehydes do not.551



Few examples of free-radical hydrogen-transfer reactions are known. The dihydropyridine 75c was dehydrogenated photolytically by bromotrichloromethane, 475 and by 2-sulfhydrylbenzophenone.⁵⁵² Since there was no deuterium transfer in the latter reaction, it was implied⁵⁵² that enzymatic reactions proceed by hydride transfer. Benzophenone, benzaldehyde, and cinnamaldehyde were reduced by dihydro-

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- (542) K. Wallenfels and D. Hofmann, Tetrahedron Lett., 151 (1962),
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- (546) E. A. Braude, J. Hannah, and R. Linstead, ibid., 3268 (1960).
- (547) K. Schellenberg, G. W. McLean, H. L. Lipton, and P. S. Lietman, J. Amer. Chem. Soc., 89, 1948 (1967).
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- (551) U. K. Pandit and F. R. Mas Cabré, Chem. Commun., 552 (1971).
- (552) K. A. Schellenberg and F. H. Westheimer, J. Org. Chem., 30, 1859 (1965).

pyridines in the presence of sodium or lithium metal.553 presumably via the metal ketyls. The dimer 65 appears to be oxidized by a free-radical process.²⁷⁹ Interaction of 13a with p-benzoquinone or 1,4-naphthoquinone, but not chloranil or 2,6-di-tert-butylbenzoquinone, was shown to give esr signals.554 The formation of a charge-transfer complex in the oxidation of NADH by ferric ion points to a one-electron process; with a large excess of ferric ion the NADH becomes a two-electron donor.555

The kinetics and mechanism of the dehydrogenation of the 1,4-dihydropyridines 13a and 75c with triphenylverdazyl radical and triphenylverdazyl cation have been studied. 485, 556-558 The cation reacts 106 times faster than the radical. 485 Solvent effects on the rates have been investigated, and the ratedetermining step is believed to be hydrogen transfer from the radical-dihydropyridine charge-transfer complex rather than a one-electron transfer.558

A somewhat similar complex between a dihydropyridine cation radical and tetramethylthiocarbamate anion is believed⁵⁵⁹ to be an intermediate in the cleavage of the S-S bond in tetramethylthiuram disulfide by the dihydronicotinamide 13a.

Electrochemical oxidation of dihydronicotinamide derivatives to the corresponding pyridinium salts has been shown⁵⁶⁰ to proceed via a one-electron process giving an intermediate radical ion. The fate of the latter depends on conditions: in the presence of base it undergoes proton transfer, in the presence of oxygen hydrogen peroxide is formed, and in buffered solution disproportionation takes place with the formation of complex products.

A number of catalyzed hydrogen-transfer reactions have been discovered. For example, the rate of aerial oxidation of 13a is enhanced by guinones, 476, 561 and a mechanism has been proposed.⁵⁶¹ Flavines and related compounds catalyze the aerial oxidation of various dihydropyridines via radical intermediates.⁵⁶² A general mechanism to account for the hydrogen transfer from reduced nicotinamides to flavines has been postulated.486 Phenazine, alone or, better, in the presence of cupric ion, catalyzes the oxidation of 13a, and two possible mechanisms have been proposed. 483, 484, 556, 557, 563 One of these involved the intermediacy of the hydroperoxide of 13a. The same intermediate was postulated⁵⁶⁴ in the oxidation of cyclobutanone to butyrolactone and butyric acid by oxygen in the presence of 13a. Fluorenone reacts with 75c in the presence

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(558) G. F. Dvorko and O. P. Polumbrik, Dokl. Akad. Nauk SSSR, 192, 1278 (1970); Chem. Abstr., 73, 130465 (1970).

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(561) G. Cilento and M. Dasararujo, Chem. Commun., 1420 (1968).

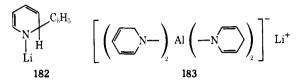
(562) D. D. Mozzhukhin, M. L., Khidekel, E. N. Aleksandrova, S. N. Zelenin, and V. M. Berezovskii, *Izv. Akad. Nauk SSSR, Ser. Khim.*, 1692 (1965); Chem. Abstr., 64, 2046 (1966).

(563) L. A. Negievich, O. M. Grishin, and A. A. Yasnikov, Dopov. Akad. Nauk Ukr. RSR, Ser. B, 720 (1967); Chem. Abstr., 68, 48747 (1968).

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of catalytic amounts of various aldehydes or ketones: a freeradical mechanism has been put forward.565

Dihydropyridine-metal complexes are able to reduce carbonyl compounds. Thus, pyridine solutions of phenyllithium⁵⁶⁶ and lithium aluminum hydride⁷⁴ which contain the complexes 182 and 183, respectively, selectively reduce



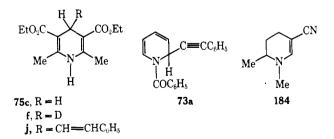
aromatic ketones. A solution of *n*-butyllithium in pyridine in the presence of tetramethylethylenediamine similarly reduced benzophenone.567 The rates of hydrogen transfer from the 1,2- and 1,4-dihydropyridine units in 183 are about equal.568

3. Disproportionation

The earliest reported²⁴⁶ dihydropyridines allegedly resulted from action of sodium hydroxide on quaternary pyridinium salts. The products were unstable reducing substances, and their structures were never established. It was later⁵⁶⁹ suggested that, by analogy with the behavior of quinolines, the initially formed 1-substituted 2-hydroxy-1,2-dihydropyridines disproportionated into 2-pyridones and presumably 2hydroxytetrahydropyridines.

Disproportionation of dihydropyridines has been carried out by means of concentrated hydrochloric acid, 1, 69, 443 or by heat, 429,532 However, the mildest and most useful method is probably the action of palladium. Early workers^{289,570} found that on heating the dihydropyridine 75c with palladium the corresponding pyridine together with a compound believed to be a hexahydropyridine resulted. This was subsequently²⁸⁷ shown to be the 1,4,5,6-tetrahydropyridine. Similar results were obtained with other dihydropyridines^{418,455} at room temperature. Diethyl 1,2-dihydropyridine-3,5-dicarboxylate disproportionated about 25 times faster than its 1,4 isomer.²⁸⁸

Intramolecular disproportionation took place on heating 75j, affording the corresponding pyridine having a phenethyl substituent in the 4 position.³³⁵ The dihydropyridine 73a on treatment with alkali gave 2-styrylpyridine.310



The "disproportionation" of tetrahydrobipyridyls has been discussed earlier (section IV.C.4).

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- (569) H. Decker, Ber., 25, 3326 (1892); 36, 2568 (1903).
- (570) E. Knoevenagel and J. Fuchs, ibid., 36, 2848 (1903).

⁽⁵⁵³⁾ A. S. Astakhova and M. L. Khidekel, Izv. Akad. Nauk SSSR Ser. Khim., 1909 (1964); Chem. Abstr., 62, 2726 (1965).

⁽⁵⁵⁴⁾ L. A. Negievich, O. M. Grishin, U. D. Pokhodenko, and A. A. Yasnikov, Ukr. Khim. Zh., 33, 756 (1967); Chem. Abstr., 67, 107922 (1967).

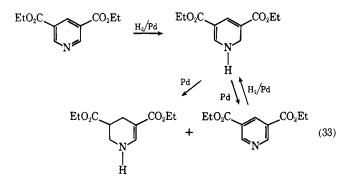
⁽⁵⁵⁵⁾ M. Gutman, R. Margalit, and A. Schejter, Biochemistry, 7, 2778 (1968).

⁽⁵⁶⁵⁾ A. S. Astakhova and M. L. Khidekel, Dokl. Akad. Nauk SSSR, 162, 1057 (1965); Chem. Abstr., 63, 6928 (1965).

B. REDUCTION

1. Catalytic Hydrogenation

Catalytic hydrogenation of dihydropyridines can yield the corresponding tetrahydro 37, 64, 166, 181, 270, 302, 425, 571 or hexahvdro^{11,66,70,144,176,189,295,310,392,421,425,571} derivative. Tetrahydrobipyridyls appear to give bipiperidyls on hydrogenation^{268, 462} although piperidines have also been obtained.^{37, 279} It has been reported^{37,52,224,264} that 1,2- and 1,4-dihydropyridines (at least of the Hantzsch-type 75) may be distinguished by hydrogenation. The former take up 1 mol of hydrogen to give tetrahydropyridines, whereas the latter are slowly reduced to piperidines. A series of pyridinium salts was shown to give tetrahydropyridines on hydrogenation, whereas the corresponding pyridine gave the piperidine.287 The former reaction presumably proceeds via a 1,2-dihydropyridine, the latter via the 1,4 isomer. Later work184 gave essentially similar results but showed that N-benzyldinicotinamide (155e) was reduced to the debenzylated tetrahydro derivative via a relatively stable 1,2-dihydropyridine. N-Substituted 3-cyano-1,4and 1,6-dihydropyridines both gave the corresponding tetrahydro compound¹⁸⁴ in contrast with the Hantzsch esters 75 (see above). 3-Cyano-1,6-dimethyl-1,2- and -1,6-dihydropyridines each gave 3-cyano-1,6-dimethyl-1,4,5,6-tetrahydropyridine on hydrogenation, which was explained by isomerization on the catalyst surface.184 It has been proposed 288 that hydrogenation of diethyl pyridine-3,5-dicarboxylate to the corresponding 1,4,5,6-tetrahydropyridine does not proceed by hydrogenation of the intermediate 1.2-dihydropyridine (which can be isolated) but by its disproportionation according to eq 33.

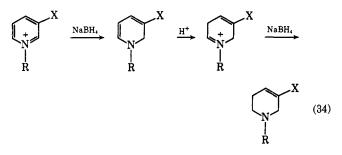


Bulky substituents in the 4 position of 1,4-dihydropyridines inhibit hydrogenation.^{19,297,324} Bicyclic 2,3-dihydropyridines or their salts are reduced to the corresponding 1,2,3,6-tetrahydropyridines.^{38,39} Hydrogenation of 4-cyano-1-methyl-1,4dihydronicotinamide gave a mixture of 1-methyltetra- and -hexahydronicotinamides;²²⁰ cyanide ion is presumably eliminated first, followed by reduction of the resulting quaternary pyridinium salt.

2. Hydride and Other Types of Reduction

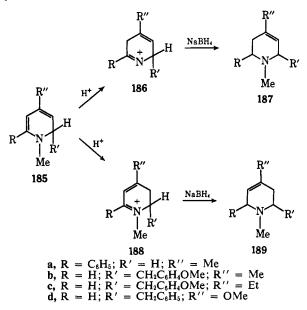
The sodium borohydride reduction of pyridinium salts proceeds via 1,2-dihydropyridines which are further reduced to 1,2,5,6-tetrahydropyridines^{131-133,144,145,572} (see section IV. A.1.a).

The 1,4- and 1,6-dihydropyridines are more resistant to borohydride reduction^{131,573,574} and can sometimes be isolated. The mechanism of the reduction of 3-substituted 1,2dihydropyridines with borohydride in aqueous solvents is postulated to proceed by protonation at C-5 followed by reduction of the C=N bond^{131,132} according to eq 34. The



proton was believed to be derived from the solvent, 131,132 but more recent work 574 has shown that diborane or one of its hydrolysis products is essential for the reduction and the protonating species is claimed to be a borane-water complex $R_3B \cdots OH_2$.

4-Substituted 1,2-dihydropyridines behave somewhat differently in that protonation ocurs at C-3 and/or C-5. Thus, the dihydropyridines 185a gave¹³² 187a via the 3-protonated intermediate 186a, whereas 185d afforded⁵¹³ 189d via the 5-protonated intermediate 188d. The alkyl-substituted di-



hydropyridine 185b yielded mixtures of 187b and 189b; 185c behaved similarly.⁵⁷⁵

An alternative, but less plausible mechanism for the borohydride reduction via a 1,4-dihydropyridine, which is in equilibrium with the corresponding 3,4-dihydropyridine, has been postulated.¹³⁵

In dihydropyridinium salts^{490,576} such as **186** and **188**, and in 2,3-dihydropyridines,³⁴ only the C=N bond is reduced with

(575) M. Takeda, A. E. Jacobson, K. Kanematsu, and E. L. May, J. Org. Chem., 34, 4161 (1969).

⁽⁵⁷¹⁾ D. Craig, U. S. Patent 2,479,815 (1949); Chem. Abstr., 44, 4044 (1950).
(572) K. Wallenfels, D. Hofmann, and H. Schüly, Justus Liebigs Ann.

^(5/2) K. Wallentels, D. Hotmann, and H. Schüly, Justus Liebigs Ann. Chem., 621, 188 (1959).

⁽⁵⁷³⁾ N. Kinoshita and T. Kawasaki, Yakugaku Zasshi, 83, 120 (1963); Chem. Abstr., 59, 5126 (1963).

⁽⁵⁷⁴⁾ F. Liberatore, V. Carelli, and M. Cardellini, Tetrahedron Lett., 4735 (1968).

⁽⁵⁷⁶⁾ A. E. Jacobson and E. L. May, J. Med. Chem., 7, 409 (1964); Chem. Abstr., 61, 4304 (1964).

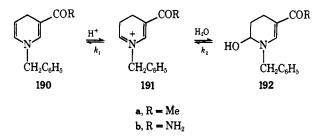
sodium borohydride. In the latter case hydrogenation gave the 1,2,3,4-tetrahydropyridine, presumably by 1,4 addition, and borohydride the expected 1,2,3,6 isomer.³³ Dihydropyridines have also been reduced with sodium-alcohol⁴²² or formic acid.⁴²² The electrochemical reduction of a putative 2,2'-tetrahydrobipyridyl was said to give a hexahydro derivative.²⁸⁶

C. REACTIONS

1. Nucleophilic Addition to Protonated Dihydropyridines

One of the most extensively investigated reactions of dihydropyridines is the addition of the elements of water to give hydroxytetrahydro derivatives. Interest in such compounds was stimulated by their relationship to NADH-X, an enzyme-NADH adduct.

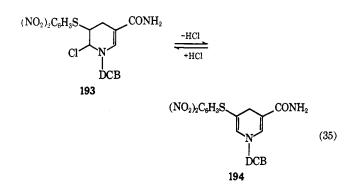
In a pioneering study⁵⁰⁰ it was shown that mild acid treatment of the dihydropyridines **190a** gave a mixture of the tetrahydropyridine **192a** and a dimeric compound (see below). Kinetic measurements suggested a mechanism involving rapid reversible protonation of **190** to give **191** followed by addition



of the nucleophile in a rate-determining step. The structure of **192** has been confirmed by nmr and by X-ray crystallography.⁵⁰² It has also been shown that the formation of **192** was reversible.⁵⁰² More sophisticated mechanistic studies^{477, 478, 502, 522} with related systems have shown that the original mechanism is generally correct, but that the relative magnitudes of the rate constants K_1 and K_2 can be reversed by changes of solvent and pH. A claim¹⁴⁷ that isomeric 1,4- and 1,6-dihydropyridines gave the same adduct was found to be erroneous since it was later shown¹⁴⁸ that the dihydropyridines used in these experiments were mixtures; the kinetic results obtained with these mixtures¹⁴⁷ are therefore also of little value.

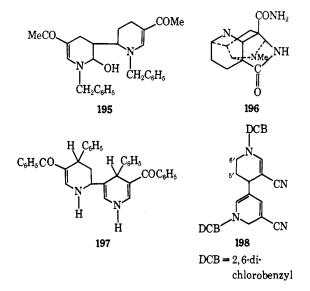
Other nucleophiles add to the protonated dihydropyridines in a similar fashion, and adducts of general structure **192** (OCOR instead of OH) have been obtained from pyruvic⁹⁶ and maleic⁵¹⁷ acids. Adducts **192** (Cl, OMe, OPO₃H₂, and SC₆H₅ instead of OH) have been obtained from hydrogen chloride,¹⁶⁶ methanol,⁵⁰² phosphoric acid,⁵²² and thiophenol,⁵⁷² respectively. Similarly, 2,4-dinitrophenylsulfenyl chloride added to **190b** (2,6-dichlorobenzyl instead of benzyl) to give a compound, of probable structure **193**, which loses HCl reversibly to give the dihydropyridine **194** as shown in eq 35. An isomeric adduct is obtained from the corresponding 1,6-dihydronicotinamide derivative.⁵⁷² The adduct **192b** was obtained as a by-product during the dehydrogenation of **190b** with tropylium ion; it was believed to be formed by reaction of **191** with ditropyl ether followed by protolysis of

(577) A. S. Astakhova and M. L. Khidekel, *Izv. Akad. Nauk SSSR, Ser. Khim.*, 1322 (1964); Chem. Abstr., 61, 11966 (1964).



the cycloheptatrienyloxy analog 192b (C_7H_7O instead of OH).⁴⁸¹

Dihydropyridines are sufficiently nucleophilic to add to the protonated species 191. Thus, in the reaction of 190a with acid⁵⁰⁰ a dimeric by-product 195 was obtained along with 192a. The structure 196 of a cage-like dimer obtained by the action of acid on 1-methyl-1,4-dihydronicotinamide⁵⁴ was



determined by X-ray methods.⁵⁷⁸ Treatment of the HCl adduct of 3-benzoyl-4-phenyl-1,4-dihydropyridine with water gave¹⁸⁶ **197**. On heating a mixture of 1-dichlorobenzyl-3-cyano-1,2and -1,6-dihydropyridines in chloroform the dimer **198** was formed.¹⁴¹ Reaction of the above 1,2-dihydropyridine with the corresponding pyridinium salt gave a product analogous to **198** with an additional double bond in the 5',6' position. These dimeric products are formed by a common mechanism involving the attack of an enamine on the protonated species.

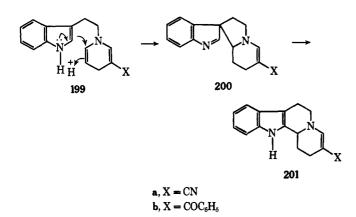
A mechanistically similar reaction is the acid-catalyzed cyclization of **199a** and **199b** to **201a** and **201b**, respectively, which may be of biological significance.¹⁹⁸ Presumably the spiroindolenine **200** is an intermediate by analogy with other reactions of indoles.

Addition of sulfurous acid to dihydronicotinamides led to confusing^{187,542,572,579} results. Eventually it was shown⁵⁸⁰ that

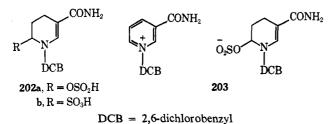
⁽⁵⁷⁸⁾ H. L. Ammon and L. H. Jensen, J. Amer. Chem. Soc., 88, 613 (1966).

⁽⁵⁷⁹⁾ K. Schenker and J. Druey, Helv. Chim. Acta, 42, 2571 (1959).

⁽⁵⁸⁰⁾ H. Diekmann, D. Hofmann, and K. Wallenfels, Justus Liebigs Ann. Chem., 674, 79 (1964).

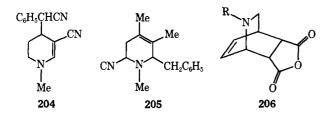


1-dichlorobenzyl-1,4-dihydronicotinamide reacted with sulfurous acid to give 202a which with alkali rearranged to 202b. The salt 203 was also formed in the reaction.



2. Nucleophilic Addition Reactions

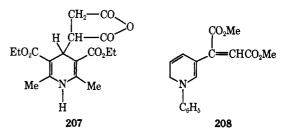
The addition of nucleophiles to the double bond of dihydropyridines has also been achieved. Thus, phenylacetonitrile reacted with 3-cyano-1-methyl-1,6-dihydropyridine in the presence of triton B to give⁵⁷⁹ 204. Alkyl-substituted 1.6dihydropyridines or their salts136,490,494,576 add cyanide ion reversibly to give adducts such as 205; this reaction may be used for protecting these dihydropyridines. The ready formation of a bridged lactone from a 1,4-dihydropyridine-4carboxylic acid⁵²⁴ is a further example.



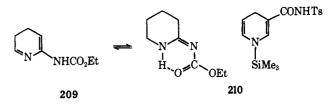
3. Cycloadditions

There are many reports on the reaction of maleic anhydride with dihydropyridines, but structures have not always been assigned. 37,69 Diels-Alder adducts such as 206 have been obtained from a number of 1,2- or 1,6-dihydropyridines; 310,581 their stereochemistry, however, was assumed. One such adduct was reported⁵⁸² for a 1,4-dihydropyridine; the structure of the latter was later 26, 421a shown to be the 1,2-dihydropyridine. Other dienophiles which have been reacted with dihydropyridines include N-phenylmaleimide,71 methyl vinyl ketone,149 and acrylonitrile.583 The reaction of the latter with

3-cyano-1-methyl-1,6-dihydropyridine was shown⁵⁸³ to proceed by a two-step ionic mechanism and not by a concerted process. There is only one report⁵⁷⁷ of the reaction of a 1.4dihydropyridine with maleic anhydride. Two 1:1 adducts were formed but their proposed structures cannot be regarded as proven. The Hantzsch ester 75c with maleic anhydride gave²⁰⁴ the adduct **207**; this reaction is analogous to the reaction⁵⁸⁴ of 1-phenyl-1,2-dihydropyridine with dimethyl acetylenedicarboxylate which yields 208. Both reactions are presumably initiated by hydride transfer followed by combination of the resulting ions; in the case of 208 there is a subsequent sigmatropic 1,5-hydrogen shift. Interaction, possibly of a charge-transfer type, has been observed³⁹² between a 1-aryl-4,4-dimethyl-1,4-dihydropyridine and maleic anhydride. A 1,2-dihydropyridine was shown to add to itself.5848



Two reactions which presumably proceed via cycloaddition are the formation of 209 and 210 from 1-trimethylsilyl-1,4dihydropyridine and ethyl azidoformate and p-toluenesulfonyl isocyanate, respectively. The amidine 209, obtained after methanolysis of the trimethylsilyl derivative, is a tautomeric mixture.43



4. Miscellaneous Addition Reactions

Chlorine,¹ bromine,^{1,435} and thiocyanogen⁵⁸⁵ have been allowed to react with 1,4-dihydropyridines to give heptachloro, tetrabromo, and dithiocyano adducts, respectively. The structures of these have not been established.

Hydroxylation of N-benzyl-1,4-dihydronicotinamide to 1benzyl-5,6-dihydroxy-1,4,5,6-tetrahydronicotinamide has been achieved^{484,563,586,587} by treatment with air in the presence of cupric salts.

Free-radical addition of trimethylsilane to 1-trimethylsilyl-1,2-dihydropyridine has been postulated²⁹⁵ to account for the formation of 1,5-bis(trimethylsilyl)-1,2-dihydropyridine.

5. Substitution Reactions

Displacement of substituents on the dihydropyridine ring sometimes takes place. Thus the intermediate 211a, formed on

⁽⁵⁸¹⁾ K. Wallenfels and M. Gellrich, Justus Liekigs Ann. Chem., 621, 198 (1959).

⁽⁵⁸²⁾ D. Craig, A. K. Kuder, and J. Efroymson, J. Amer. Chem. Soc., 72, 5236 (1950).

⁽⁵⁸³⁾ K. Schenker and J. Druey, Helv. Chim. Acta, 45, 1344 (1962).

⁽⁵⁸⁴⁾ R. M. Acheson and P. A. Tasker, unpublished results.

⁽⁵⁸⁴a) T. Liberatore, A. Casini, V. Cardelli, A. Arnone, and R. Mon-delli, *Tetrahedron Lett.*, 2381 (1971).

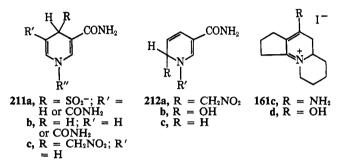
⁽⁵⁸⁵⁾ H. P. Kaufmann and J. Liepe, Ber., 56, 2514 (1923).

⁽⁵⁸⁶⁾ L. A. Negievich, O. M. Grishin, and A. A. Yasnikov, Ukr. Khim. Zh., 34, 684 (1968); Chem. Abstr., 70, 115130 (1969).

⁽⁵⁸⁷⁾ L. A. Negievich, O. M. Grishin, and A. A. Yasnikov, Ukr. Khim. Zh., 34, 802 (1968); Chem. Abstr., 70, 28776 (1969).

dithionite reduction of the corresponding pyridinium salt, is converted into **211b** in acid solution by direct displacement for which a mechanism has been proposed^{192,195,205} (see section IV.A.1.b).

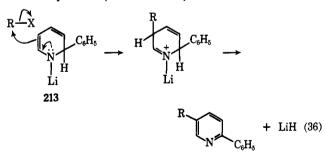
Replacement of nitromethyl and hydroxyl substituents by hydrogen in compounds the structures of which have not been established, but which are probably 211c or 212a, and 212b, have been achieved²²⁵ using dithionite or borohydride. Since the 1,4-dihydropyridine 211b was obtained with the former reagent and the 1,6 isomer 212c with the latter, it is likely that these displacements take place *via* elimination-addition.



Amino and methoxy substituents in the 2,3-dihydropyridinium salts **161a** and **161b** may be displaced by nucleophiles.^{448,449,513}

6. Miscellaneous

Treatment of the lithium complexes 213 with alkyl or aryl halides affords the corresponding 2-phenyl-5-substituted pyridines;¹⁶⁴ bromine gives 5-bromo-2-phenylpyridine. The reaction presumably proceeds by alkylation followed by loss of lithium hydride as shown in eq 36. A similar reaction is believed⁵⁶⁷ to account for the formation of 2-butyl-5-diphenylhydroxymethylpyridine from pyridine, butyllithium, and benzophenone (see also ref 588).



There are a number of reports ^{37,83,589-591} that the condensation of aldehydes with dihydropyridines gives products formulated as **214** or their isomers. However, no structure proofs have been presented and reinvestigation of this reaction is desirable.



⁽⁵⁸⁸⁾ C. Giam and S. D. Abbott, J. Amer. Chem. Soc., 93, 1294 (1971).
(589) A. N. Ginsburg and A. D. Gavrikova, Biokhimiya, 12, 406 (1947); Chem. Abstr., 43, 705 (1949).

D. ACID-BASE PROPERTIES

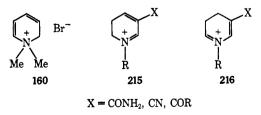
Almost no work has been reported on the acid-base properties of 1,2- and 1,4-dihydropyridines. They are both weakly acidic and weakly basic. One of the few quantitative values reported³⁹² in the literature (see section V.F) is the basicity of 1,4,4-trimethyl-1,4-dihydropyridine which has a pK_a value of 7.4.

Dihydropyridines are insufficiently basic for direct Nalkylation but the corresponding anion, prepared by the action of strong base, is a powerful nucleophile and reacts readily with alkyl halides. Thus, the Hantzsch ester 75c was treated with phenyllithium followed by methyl iodide; the N-methyl derivative, incorrectly formulated as the 1,2 isomer, was obtained in only 3% yield.203 However, high yields of 1-methyl-1,4-dihydropyridines were produced when the parent compounds were treated with sodium hydride in dimethoxyethane followed by methyl iodide or dimethyl sulfate.^{80,119,175} Methyl iodide and an unspecified base were used⁴⁶¹ to methylate a dibromodicyano-1,4-dihydropyridine. The sodium salt of 3.5-dicvano-2,4,4,6-tetramethyl-1,4-dihydropyridine has been isolated.¹⁰⁶ Other metal complexes, prepared by the action of phenyllithium, 162, 566 lithium aluminum hydride, 74, 106 and Grignard reagents 106 on various pyridines are not salts but have covalent metal-nitrogen bonds. For further dihydropyridine-metal complexes, see section IV.A.1.e.

2,3-Dihydropyridines, which are imines rather than enamines, are more basic and are readily methylated with methyl iodide.^{38,39} Attempts to convert N-substituted 1,2- and 1,4dihydropyridines into the corresponding quaternary salts with methyl iodide failed.^{71,392} The only known dihydropyridinium quaternary salt is **160**; it was prepared from the corresponding tetrahydropyridinium salt.²⁴³

N-Acylation of 1,4-dihydropyridines has been carried out using methylmagnesium iodide followed by acetyl chloride,³⁷ acetyl chloride-aluminum chloride,³⁷ and acetic anhydride alone¹²¹ or with pyridine^{121,166} and by acylation of their anions.¹¹⁹ The alleged⁵⁹² N-arylsulfonyldihydropyridine structure of the product obtained from **75a** and a sulfonyl chloride is probably incorrect.

Protonation of 1,2- and 1,4-dihydropyridines having an electron-withdrawing group in the 3 position takes place at C-5 and gives the salts **215** and **216** respectively. Evidence for these structures is derived from uv data^{64,166,500,572,579} and from addition reactions in acid solution (see above).



Salts of type 217 have been isolated in a number of cases. ^{448, 460, 490, 512, 575} Alkyl-substituted 1,2-dihydropyridines not possessing electron-withdrawing groups form salts of type 218; these are readily isomerized to 217. ^{490, 494, 576} Nothing is known of the site of protonation of Hantzsch-type dihydropyridines, *i.e.*, those having electron-with-

⁽⁵⁹⁰⁾ C. Sannié and J. J. Panouse, Bull. Soc. Chim. Biol., 36, 237 (1954); Chem. Abstr., 49, 8273 (1955).

⁽⁵⁹¹⁾ C. Sannié and J. J. Panouse, Bull. Soc. Chim. Biol., 36, 247 (1954); Chem. Abstr., 49, 8273 (1955).

⁽⁵⁹²⁾ B. C. Jain, B. H. Iyer, and P. C. Guha, J. Indian Chem. Soc., 24, 173 (1947); Chem. Abstr., 43, 2597 (1949).

drawing substituents in both the 3 and 5 positions: protonation at oxygen rather than at carbon might be expected. Exploratory experiments⁵⁹³ for such compounds showed that the nature of the protonated species depended upon both the solvent and the acid strength.



E. RING-OPENING REACTIONS

Early workers found that dihydropyridines could be degraded by the action of concentrated acid or alkali.^{1,47,404,594} The Hantzsch esters on treatment with alkali gave the cyclohexenones 219 by ring-opening to 1.5-diketones followed by intramolecular aldol condensation 47, 404, 443, 594, 595 (see also ref 596).



Hydroxylamine opens the dihydropyridine ring in a number of instances^{49, 280, 401, 422, 442} with the formation, usually, of the dioxime derived from the resulting 1,5-diketone.

Much confusion attended the reaction of dihydropyridines with 2,4-dinitrophenylhydrazine. At one time it was regarded as a diagnostic test for distinguishing the 1,2 and 1,4 isomers; only the former were said to react.^{51, 203} Later⁵² it was shown that only N-substituted 1.4-dihydropyridines gave 2.4dinitrophenylhydrazones, formulated as derivatives of the corresponding 1,5-diketones. 1,2-Dihydropyridines and Nunsubstituted 1,4-dihydropyridines do not react. 4-Substituted 3,5-diacetyl-1,4-dihydropyridines are said to form normal 2,4-dinitrophenylhydrazones¹²¹ derived from the two carbonyl groups with the ring intact. The only evidence for the structure of these and other¹⁴⁷ derivatives is their chemical composition.

Among other ring-opening reactions which have appeared in the literature is the action of impure maleic anhydride, presumably containing the acid, which converted the Nmethyl derivative of 75c into the corresponding 1,5-diketone.²⁰⁴ Oxidative ring-opening of 3,5-dicyano-2,6-diphenyl-4-m-hydroxyphenyl-1,4-dihydropyridine has been observed.³⁵⁶ On strong heating with sodium in ethylene glycol 4-benzyl-1-methyl-2,4,6-triphenyl-1,4-dihydropyridine (220) was converted into 1,2,3,5-tetraphenylbenzene.¹⁸³

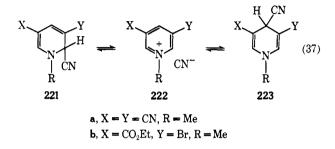
The ring opening of some pyridine betaines on treatment with ketones, e.g., acetone or acetophenone, under mild basic conditions is believed⁵⁹⁷ to proceed via a 1,2-dihydropyridine. Recent work^{597a} on ring-opening reactions of an alleged dihydropyridine is in error since the starting "dihydropyridine" has been shown^{176a} to have an acyclic structure.

(597) F. Kröhnke, M. Meyer-Delius, and I. Vogt, Justus Liebigs Ann. Chem., 597, 87 (1955).
(597a) T. Kato, H. Yamanaka, T. Adachi, and H. Hiranuma, J. Org. Chem., 32, 3788 (1967).

F. ISOMERIZATION

Surprisingly, very few examples of the isomerization of dihydropyridines are known and no systematic work has been done on this topic.

Two examples have been recorded involving isomerization via elimination-addition of cyanide ion. On heating alone or in dimethylformamide 221a is converted into 223a presumably via the ion pair 222a according to eq 37 (see also eq 11 and 12), although an alternative mechanism has been suggested.^{222,223} Spectroscopic evidence indicates⁷⁵ that addition of cyanide



ion to the pyridinium salts 222 proceeds in a kinetically controlled reaction via the unstable 1,2 isomer 221, which then rearranges to the more stable product 223. In one case the 1.2 isomer 221b was isolated and was shown to rearrange to 223b in chloroform. These observations have been confirmed^{160,215} although some of the conclusions have been questioned. More work is clearly needed to establish the exact course of this reaction.

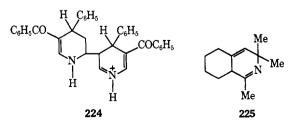
Methoxide ion addition to 2,6-dimethoxy-3,5-dinitropyridine appears²³⁴ to take place in the 4 position followed by rapid isomerization to the more stable 1,2-dihydropyridine.

Similarly, addition of acyloxyoxazoles to acylpyridinium salts seems³⁰³ to involve transient formation of an unstable 1,2-dihydropyridine; the 1,4-dihydropyridine is isolated as the reaction product.

There is very tenuous evidence that isomerization of 3nitro-1,2- and -1,4-dihydropyridines can take place;139 the corresponding dihydroquinolines are known to isomerize.598

Isomerization via an oxidation-reduction process has been invoked to account for the fact that the same addition product 203 is obtained⁵⁸⁰ from both 1,4- and 1,6-dihydronicotinamides and sulfurous acid.

The protonated dihydropyridines 218 have been shown to isomerize, 490, 494 Similarly the protonated species 224 could be converted into either the corresponding 1,4-dihydropyridine or into an alleged 3,4-dihydropyridine.¹⁶⁶ Acid converted the dihydropyridine 225 into the conjugated isomer.34



Metals are capable of isomerizing dihydropyridines. Thus 1-trimethylsilyl-1,2-dihydropyridine is converted into the 1,4 isomer by palladium or rhodium catalysts.²⁹⁵ Evidence

(598) T. Severin, D. Bätz, and H. Lerche, Chem. Ber., 101, 2731 (1968).

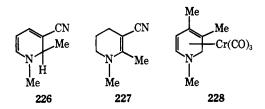
⁽⁵⁹³⁾ P. J. Brignell, Ph.D. Thesis, London, 1964.

⁽⁵⁹⁴⁾ O. Cohnheim, Ber., 31, 1033 (1898).

⁽⁵⁹⁵⁾ A. J. Birch, J. Chem. Soc., 1270 (1947).

⁽⁵⁹⁶⁾ S. Danishefsky and R. Cavanaugh, J. Amer. Chem. Soc., 90, 520 (1968).

for isomerization on a catalyst surface is provided by the observation¹⁸⁴ that on hydrogenation 226 afforded 227. Treatment of 1,3,4-trimethyl-1,2-dihydropyridine with chromium hexacarbonyl gives a mixture of 228 and the complex derived from the 1,6 isomer.¹¹⁰ The same reagent isomerizes 1,4-dihydropyridines to give complexes such as 228. The pure complexes give a mixture of isomers on heating.

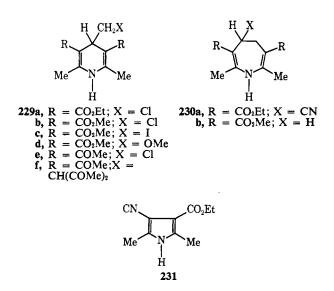


No base-catalyzed isomerization of the quaternary salt 160 could be achieved under conditions more vigorous than required for ring-hydrogen exchange; however, the presence of minute equilibrium concentrations of the 1,4 isomer of 160 was postulated to account for exchange in the 4 position.²⁴³ No isomerization of N-substituted 3-cyano-1,4-dihydropyridines could be detected in the mass spectrometer.¹⁹⁹ The photochemical isomerization of 1,2- to 1,4-dihydropyridines is described below (section VI.H).

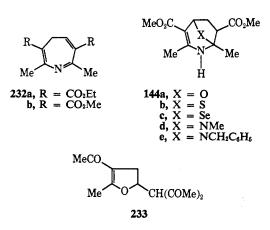
The 1,2 and 1,6 isomers of NADH have been prepared and their isomerization has been described.7,156

G. REARRANGEMENT

Early work⁵⁹⁹ on the rearrangement of the dihydropyridine 229a to a pyrrole has been reinvestigated.³²³ It was found that with cyanide ion 229a was converted into the dihydroazepine 230a which in turn was transformed into the pyrrole 231. The mechanism of the conversion of 229a into 230a involves⁴⁷⁹ formation of the conjugate base of 229a in a rate-determining step followed by rapid rearrangement to the



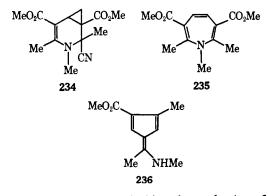
azepine 232a and nucleophilic addition of cyanide ion. The azepines 232a and 232b could be prepared 469 by the action of strong base on 229a and 229b, respectively; under slightly



different conditions, the corresponding 3H-azepine was formed. Other nucleophiles were able to bring about ring expansion of 229b. Thus with sodium borohydride the dihydroazepine 230b was formed.⁴⁷¹ The bridged tetrahydroazepines 144b-e were obtained^{471,472} by the action of hydrosulfide, hydroselenide, methylamine, and benzylamine, respectively, on 229b. The action on 229b of sodium iodide in acetonitrile 479 and of methanol-hydrochloric acid⁴⁷⁰ furnished the dihydropyridines 229c and 229d, respectively. It is unlikely, however, that these were formed by a direct displacement of chloride; a ring expansion-ring contraction sequence is probably involved. A similar ring expansion has recently been described⁶⁰⁰ for a 1.2-dihydropyridine.

The diacetyldihydropyridine 229e behaved quite differently.601,602 On brief treatment with water it rearranged to a mixture of the dihydrofuran 233 and the dihydropyridine 229f. Again, the latter compound is probably not formed by direct displacement.

A substituent on the nitrogen profoundly affects the nature of dihydropyridine rearrangements. Thus the N-methyl derivative of 229b with cvanide ion gave 234 together with other products, 518 with base the 1H-azepine 235, 518 and with barium carbonate in boiling mesitylene⁶⁰³ the fulvene 239.



Attempts have been made to elucidate the mechanism of these conversions. 36 3, 603a

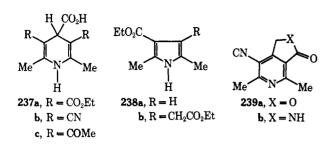
A remarkable series of pyrolytic rearrangements has been described. On heating alone or in various solvents the dihydropyridine 237a gave 343,524 a mixture of products including

⁽⁶⁰⁰⁾ T. J. van Bergen and R. M. Kellogg, J. Org. Chem., 36, 978 (1971).

⁽⁶⁰¹⁾ R. C. Allgrove and U. Eisner, Tetrahedron Lett., 499 (1967).

⁽⁶⁰²⁾ R. C. Allgrove, L. A. Cort, U. Eisner, and J. A. Elvidge, J. Chem. Soc. C, 434 (1971).

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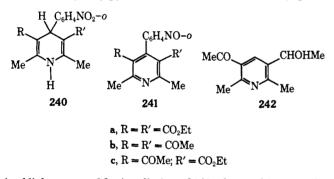


diethyl 2,6-dimethylpyridine-3,5-dicarboxylate and the pyrroles 238a and 238b. The 1-methyl and 4-methyl derivatives of 237a were also investigated.⁵²⁴ The related 237b did not give pyrroles but instead was converted^{173,364} into a mixture of pyridines including 239a and 239b. Similar rearrangements have been carried out with the diketone 237c and a related tricyclic diketone.^{511,604} Mechanisms for these rearrangements have been proposed.^{173,511,524}

H. PHOTOCHEMISTRY

Very little work has been reported on the photochemistry of dihydropyridines, a field which should yield interesting results.

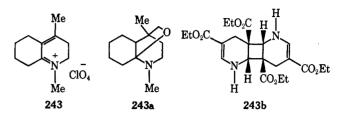
Irradiation of the *o*-nitrophenyldihydropyridines **240a**-c resulted⁶⁰⁵ in disproportionation and loss of water to afford the *o*-nitrosophenylpyridines **241a**-c. When circularly polar-



ized light was used for irradiation of **240c** the resulting pyridine **241c** was found to be very slightly optically active, ⁴⁰⁹ chirality being due to restricted rotation.

A similar reaction was reported for 3,5-diacetyl-2,6-dimethyl-1,4-dihydropyridine. This on irradiation gave the pyridine 242 in which one of the original carbonyl groups has been reduced.⁵⁰⁴ A preliminary report⁶⁰⁶ describes an analogous reaction using 3-benzoyl-4-phenyl-1,4-dihydropyridine.

Dihydropyridines lacking substituents in the 2 and 6 positions behave differently on photolysis.⁵⁰⁴ Diethyl 1,4dihydropyridine-3,5-dicarboxylate is partly isomerized to the corresponding 1,2-dihydropyridine; the main product of the reaction is the photodimer 243. This closes to a cage dimer on further irradiation. In the solid state the anti dimer corresponding to 243 is formed. The diketone, 3,5-diacetyl-1-methyl-1,4-dihydropyridine, behaves analogously. In acetone photooxidation of the above diester to the corresponding pyridine takes place.⁶⁰⁷ 4-Chloromethyl-3,5-dicyano-2,6-dimethyl-1,4-dihydropyridine on irradiation is converted into 3,5-dicyano-2,4,6-trimethylpyridine.⁶⁰⁷



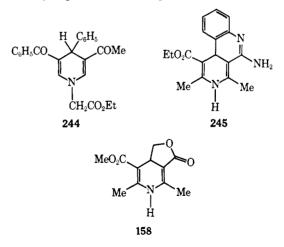
Irradiation of diethyl 1-benzyloxycarbonyl-3,6-dimethyl-1,2-dihydropyridine-2,5-dicarboxylate **140b** yields⁴⁶⁸ the isomeric diethyl 2-aza-2-benzyloxycarbonyl-1,3-dimethylbicyclo-[3.1.0]hex-3-ene-4,6-dicarboxylate **140a**.

Photoaddition of methanol in the presence of chloride ions to the salt **243a** yielded⁶⁰⁸ **243b**.

I. MISCELLANEOUS

Deuterium exchange takes place in the 2 position³¹⁰ of **73a**, the 2,2,4,6 positions²⁴³ of the salt **160**, and the 4 position of 1-substituted 4-cyano-1,4-dihydronicotinamides.²¹⁶ The hydrogen in the 2 position of the 1,4-dihydropyridine **211a** exchanges under conditions where **211b** is unaffected.^{195,526} The methyl hydrogens in the 2 and 6 (but not the 4) positions in 3,5-dicyano-1-phenyl-2,4,4,6-tetramethyl-1,4-dihydropyridine are exchangeable.³⁶³ NADH and model compounds such as 1-propyl-1,4-dihydronicotinamide undergo exchange of the 4 proton with the corresponding oxidized form (pyridinium salt); a 1:1 complex is believed to be involved.^{609,610}

Substituents on the dihydropyridine ring are very stable. Thus ester groups in the 3 and 5 positions of **75** could not be



hydrolyzed^{1, 19, 324} without decomposition of the molecule, although it has been claimed^{1, 404, 594} that unstable monoesters were obtained from 75 by hydrolysis and decarboxylation. On the other hand, the ester group in 244 has been hydrolyzed³⁸⁷ to the corresponding acid. The carboxyl group in 237a has been functionalized¹⁷⁵ and the corresponding mixed anhydride, amide, and benzyl ester have been prepared; hydrogenolysis of the latter regenerates the carboxyl function.

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The ester function in the methyl ester corresponding to 237b has been selectively reduced with lithium borohydride.¹⁷³

The carbonyl group in 3-benzoyl-4-phenyl-1,4-dihydropyridine was inert toward complex metal hydrides and metalloorganic reagents.¹⁶⁶ In contrast the carbonyl groups in the 3 and 5 positions of a tricyclic dihydropyridine could be reduced with zinc and acetic acid.⁴⁶

Involvement of substituents in intramolecular cyclization has been observed. Thus 245 was formed from the corresponding 3-cyano-4-o-nitrophenyl-1,4-dihydropyridine³⁷² on reduction, and dimethyl 4-acetoxymethyl-2,6-dimethyl-1,4dihydropyridine-3,5-dicarboxylate gave 158 with ammonia.⁴⁷²

N-Acetyl substituents are readily removed either reductively¹⁶⁶ or on thermolysis.^{11,296} On heating with dibenzylamine and palladium, 1-benzoyl-4-(3-indolyl)-1,4-dihydropyridine gave hydrogen, benzaldehyde, N,N'-dibenzylbenzamide, and 4-(3-indolyl)pyridine.⁶¹¹ An N-ethoxycarbonyl substituent in a 1,2-dihydropyridine was displaced by lithium on treatment with butyllithium.⁶³

Simple 1-substituted 1,2-dihydropyridines form stable π complexes such as 228 with chromium carbonyls.¹¹⁰

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